

The Standard Definitions and Evaluation Team Final Report: A common comparison of exoplanet yield

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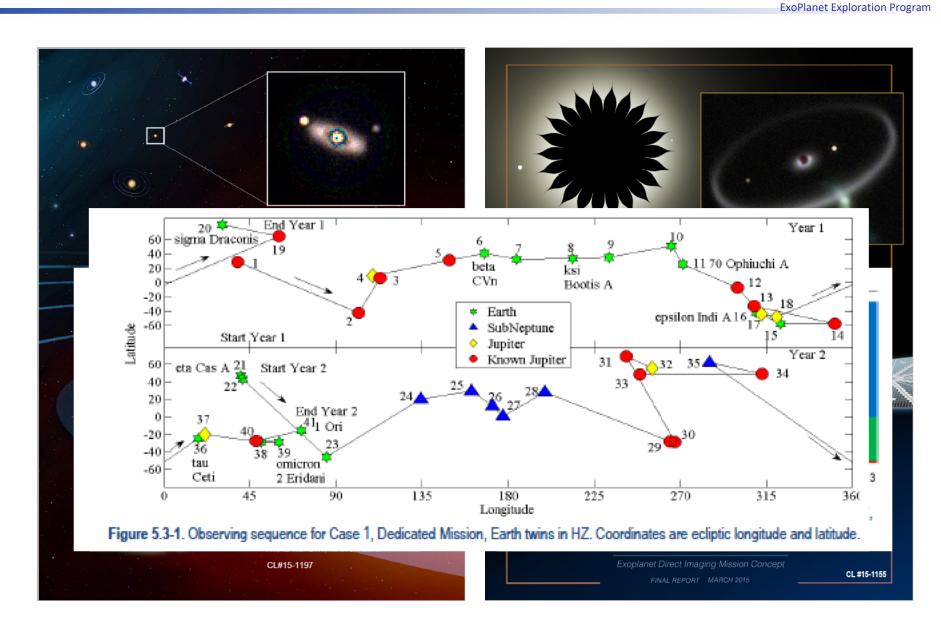
Michael Turmon JPL

Margaret Turnbull SETI Institute



PROGRAMMATIC OVERVIEW AND BACKGROUND





Exoplanet Direct Imaging Concept Missions



ExoPlanet Exploration Program

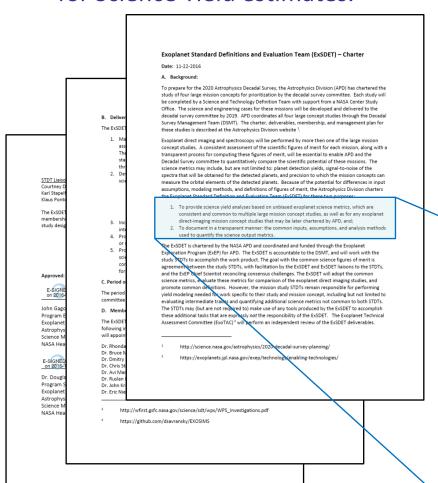


Charter Established for ExSDET Activity



ExoPlanet Exploration Program

 NASA / APD Chartered the Exoplanet Standards Definition and Evaluation Team (ExSDET) in Nov 2016 to address the need for a consistent and common basis for Science Yield estimates.



"A <u>consistent assessment</u> of the scientific figures of merit for each mission, along with a <u>transparent</u> <u>process</u> for computing these figures of merit, will be essential to enable APD and the Decadal Survey committee to <u>quantitatively compare</u> the scientific potential of these missions"

Purpose

- Provide science yield analyses
- Define unbiased exoplanet science metrics
- Be consistent and common to multiple large mission concept studies
- Document in a transparent manner

Summary of ExSDET Activity



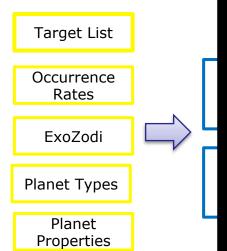
ExoPlanet Exploration Program

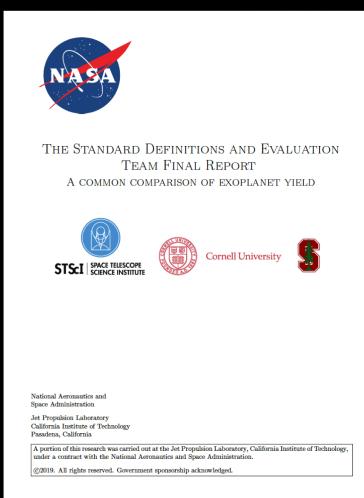
 Over the past three years, Dr. Rhonda Morgan has led a geographically dispersed team in the development of a complex mission planning and science yield tool, EXOSIMS.

 She has worked closely w understand the observing the baseline missions in the

 There has been extensive the common input param to ensure accurate physic

 The final report captures is mission variants and the in





OIR to racterization of

re and reconcile YO and EXOSIMS

rison of the





APPROACH

Standard Definitions and Evaluation Team

https://exoplanets.nasa.gov/exep/studies/sdet



ExoPlanet Exploration Program

Chartered to provide a consistent, transparent yield analysis using common input parameters



Standard Definition and Evaluation Team

Overview

Two of the four large mission concept studies for the Astrophysics Decadal Survey were designed to directly image and spectrally characterize earth-like exoplanets. In 2016, the Astrophysics Division chartered an Exoplanet Standard Definition and Evaluation Team (ExSDET) for the purpose of providing an unbiased science yield analysis of the multiple large mission concepts using a transparent and documented set of common inputs, assumptions and methodologies.

Over the course of the past three years, the ExSDET has responded to the direction provided in the charter and the required deliverables by performing the following tasks:

- Develop analysis tools that will allow quantification of the science metrics of the mission studies
- Incorporate physics-based instrument models to evaluate both internal and external occulter designs
- · Establish the science metrics that define the yield criteria
- · Cross validate the various analytical methodologies and tools
- Provide complete evaluations using common assumptions and inputs of the exoplanet yields for each mission concept.

The primary goal of the SDET Final Report is to present the best understanding of the exoplanet imaging and characterization capabilities of the current STDT observatory and instrument designs, along with their nominal operating plans, using common input assumptions and analysis methodologies. This report is explicitly *not* intended to present an exploration of the capabilities of the full design spaces available to the various mission concepts. Due to large uncertainties in the astrophysics inputs, particularly exo-earth occurrence rate, the yield values should be considered relative rather than absolute.

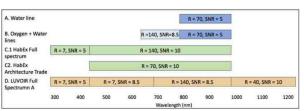


Figure 1. Characterization metric A facilitates a quick search for the water line at 940 nm with a

Documents

- SDET Charter
- SDET Final Report

Cases

- Case 1: HabEx 4H hybrid, metric C1
- Case 2: LUVOIR B, metric A
- Case 3: HabEx 4C, metric C2
- Case 4: HabEx 4S, metric C2

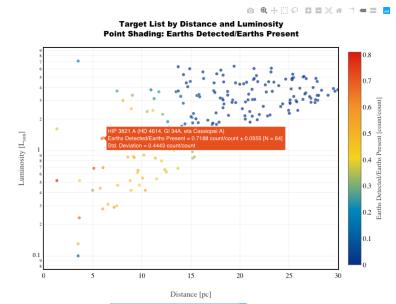
Links

- EXOSIMS on Github
- AYO for LUVOIR
- Habitable Exoplanet Observatory (HabEx)
- Large UV-Optical-Infrared Surveyor LUVOIR

Papers

- EXOSIMS Overview in JATIS
- EXOSIMS Overview
- EXOSIMS Validation
- AYO 2014
- AYO 2015
- AYO 2016 Starshades

Interactive Detection Plot Widget



Detection QOI for Plot Shading: Earths Detected/Earths Present

Plot shading: Mean success fraction for exo-earth detections at that target, where success fraction is the number of exo-earths detected divided by the number of exo-earths present.

Outline

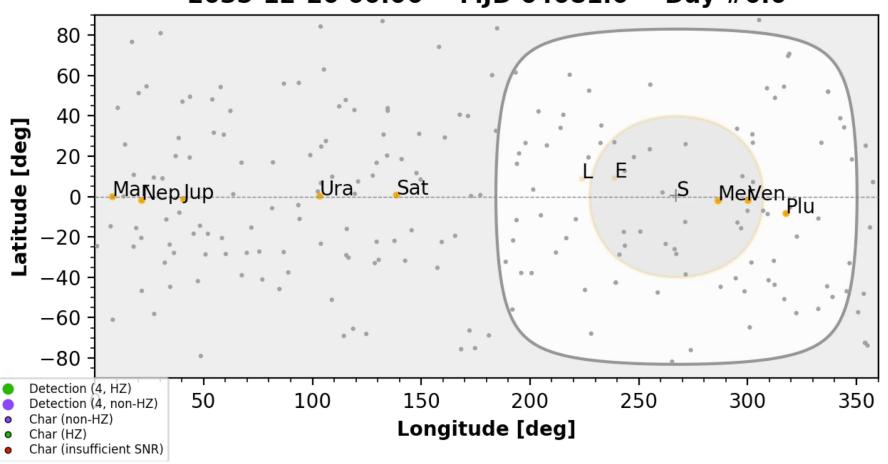


- ✓ Introduction
- Analysis
 - EXOSIMS overview
 - AYO overview
 - Observing scenarios
- Yield Definition
- Inputs
 - Occurrence Rates
 - Planet bins
 - Binary stars
 - Zodi
 - Exozodi

- Orbit determination
- Star catalog
- Yield Model Results
 - HabEx 4H
 - LUVOIR B
 - HabEx 4C
 - HabEx 4S
- Summary/Conclusions
 - EPRV precursor

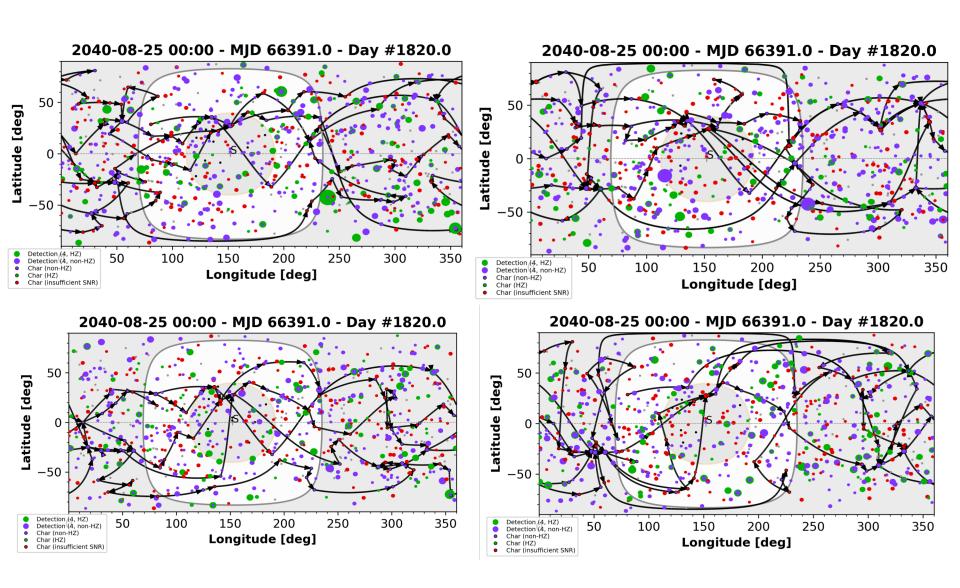


2035-12-20 00:00 - MJD 64681.0 - Day #0.0



Monte Carlo Ensemble of 1000 DRMs





Calculating Yield via Completeness

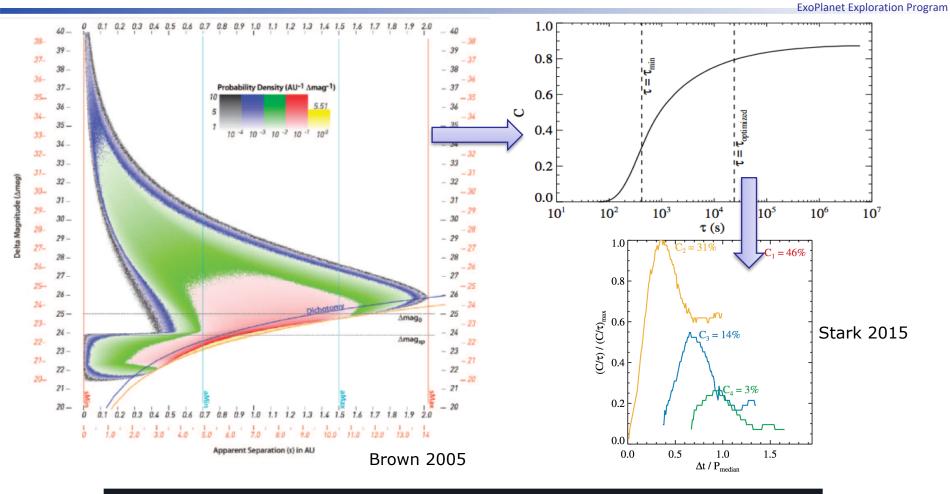


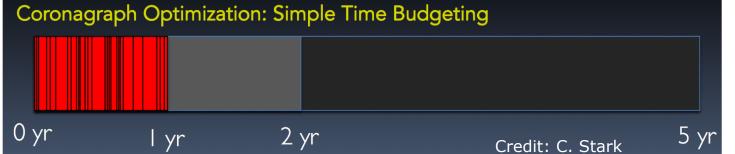
- Completeness, C = chance of observing a given planet "type" around a given star if that planet exists (Brown 2004)
- Yield = $\eta_{\text{planet}} \Sigma \mathbf{C}$
- Calculated via Monte Carlo simulation with ≥ 10⁵ synthetic planets per star

 Credit: C. Stark

Completeness

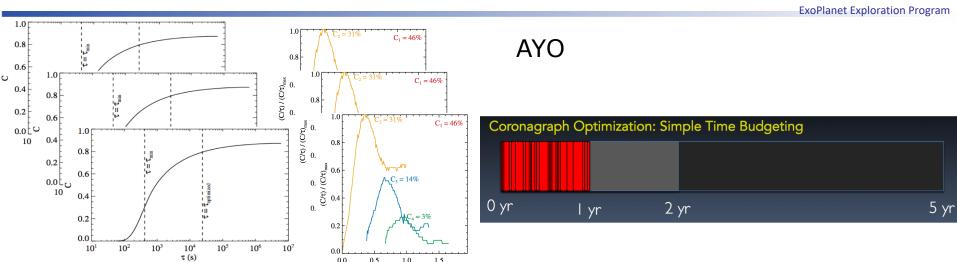


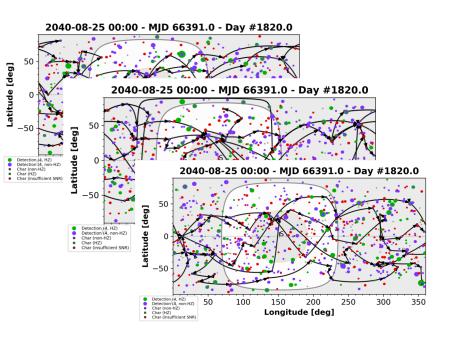




Two different yield simulation methodologies







0.0

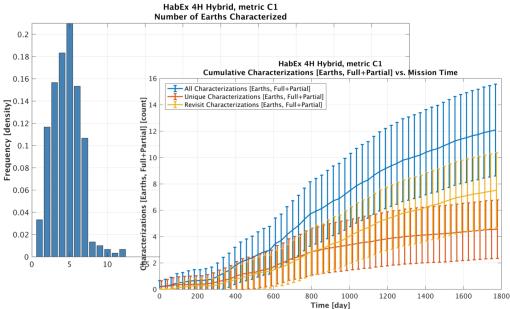
0.5

1.0

Δt / P_{median}

1.5

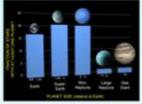
EXOSIMS



Calculating Yield with a DRM Code

Astrophysical Constraints

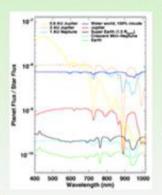
- η_{Earth}
- η_{exozodi}
- Planet sizes
- Albedos
- Phase functions





Observational Requirements

- Central wavelength
- Total bandpass
- Spectral resolution
- Signal-to-Noise
- Observing strategy





Technical Requirements

- Telescope diameter
- Contrast
- Contrast floor
- Inner working angle
- Outer working angle
- Total throughput
- Overheads



Physics Comparison of Count Rates



ExoPlanet Exploration Program

– LUVOIR B: $0.8 \lambda/D$ photometric aperture, 500 nm. 9 stars

Average fractional difference in count rates:

	Star				dark		integration
Planet	leakage	zodi	exozodi	read noise	current	CIC noise	time
(s^-1)	(s^-1)	(s^-1)	(s^-1)	(s^-1)	(s^-1)	(s^-1)	(d)
0.09	-0.60	0.35	-0.59		1.00	-0.21	-0.56

Overall agreement is good

- Sources of variation:
 - Star Leakage: EXOSIMS does not account for variable stellar diameter in the stellar leakage. A nominal 0.4 mas stellar diameter PSF was used.
 - Zodi: AYO assumes observation at minimum Zodi
 - Exozodi: EXOSIMS employs an empirical scaling model for exozodi based on observed local zodi variation and applies to planet inclination
 - CIC: AYO uses an optimized, variable frame time
 - Integration time: different integration time formulas are used

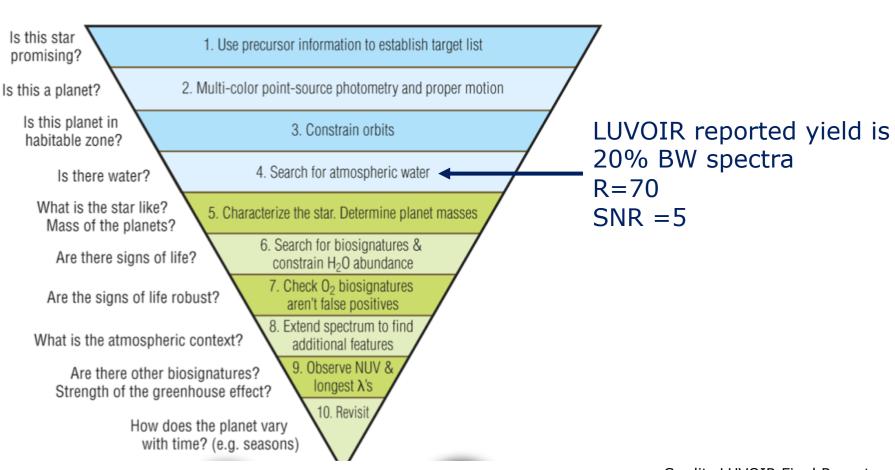


4 OBSERVING SCENARIOS

Scenario: LUVOIR B

The Large UV Optical Infrared Surveyor

LUVOIR

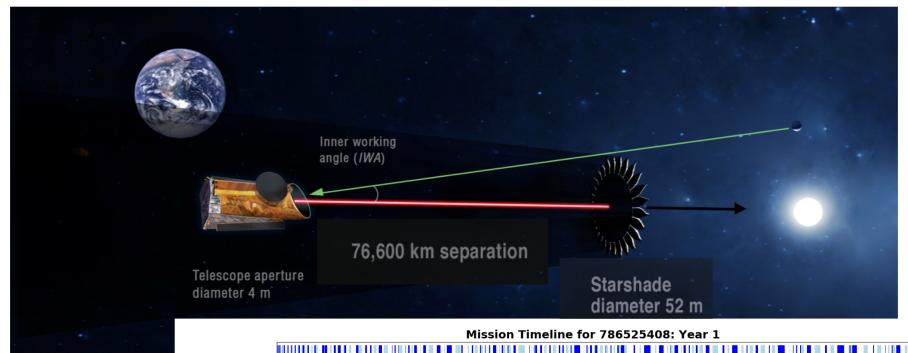


Credit: LUVOIR Final Report

Scenario: Habex 4H hybrid



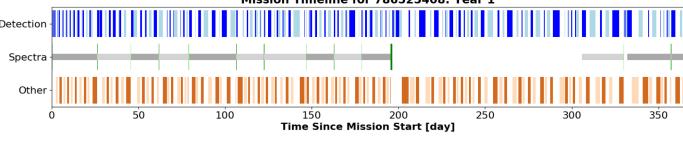


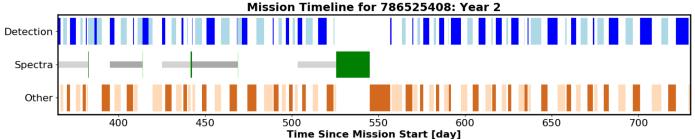


Coro. Det, SNR=7, 20% BW Spectra, SNR=10: 450-1000 nm:

R=140 300-450 nm:

R=7





Four Observing Scenarios



ExoPlanet Exploration Program

				Exorialiet Exploration Program
Parameter	LUVOIR A & B	HabEx 4C	HabEx 4H hybrid	HabEx 4S
Starlight Suppression	Coronagraph	Coronagraph	Coronagraph + Starshade	Starshade
Blind Search and Orbit Determination	bit		Coro.	Starshade
SNR	7	7	7	7
Bandwidth	20%	20%	20%	75%
Spectral Characterization	Coro.	Coro.	Starshade	Starshade
SNR	5	10	10	10
Bandwidth	20%	4 x 20%	100%	100%
Spectral Resolution	70	70	140*	70*
				ΨD 7: IN/

*R=7 in UV

Full spectra with HabEx coronagraph vs starshade



ExoPlanet Exploration Program

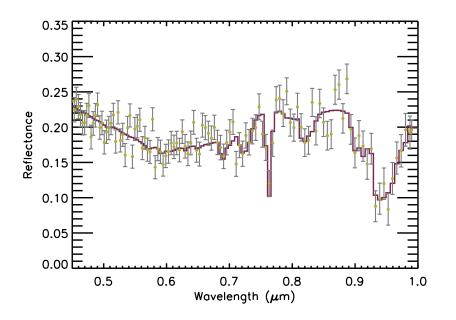
HabEx 4 m Starshade

450 – 1000 nm

R = 140, SNR = 10

Continuous spectra (metric C1)

int. time = 390 hrs



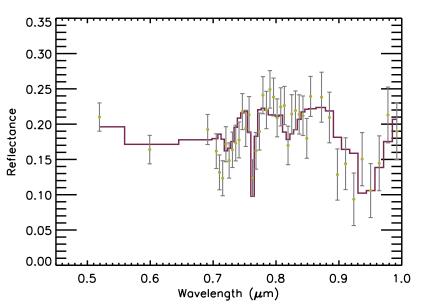
HabEx 4 m Coronagraph

450 – 700 nm, R=7, SNR=8.5

700- 1000 nm, R = 140 , SNR=8.5

20% BW aggregated spectra D

total int. time = 392 hrs



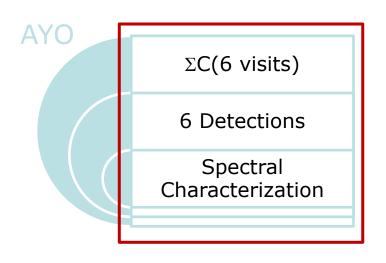
Credit: Ty Robinson

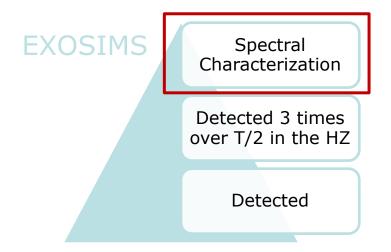
What is Yield?



									ExoPlanet Ex	ploration Progra
A. Water line						R =	70, SNR = 5			
C.1 HabEx Full spectrum	R = 7, SNR = 5									
C2. HabEx Architecture Trade		R = 70, SNR = 10								
D. LUVOIR Full Spectrumn A	R = 7, SNR = 5		R = 7, SNR = 8.5 R = 140, SN			SNR = 8.5 R =		= 40, SNR = 10		
	300	400	500	600	700 Wavele	800 ngth (nm)	900	1000	1100	1200

• What is the science product? How is it calculated?







ASTROMETRIC INPUTS

SAG13 Occurrence Rates: Parametric fit for G-dwarfs



ExoPlanet Exploration Program

$$\frac{\partial^2 N(R,P)}{\partial \ln R \, \partial \ln P} = \Gamma_i R^{\alpha_i} P^{\beta_i}$$

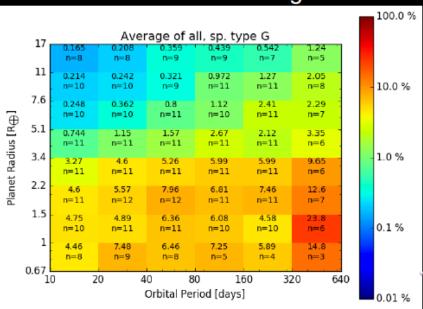
in region $R_{i-1} \leq R < R_i$

(R in Earth radius, P in years)

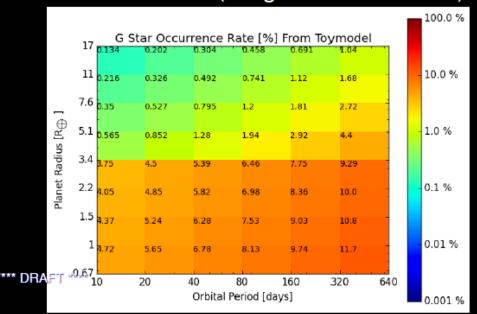
Γ_{i}	α_i	eta_i	R_i		
0.38	-0.19	0.26	3.4		
0.73	-1.18	0.59	Inf		

[to be updated uncertainties]

Submission average



Parameteric fit (integrated across bins)



Dulz/Plavchan Occurrence Rates



ExoPlanet Exploration Program

Planet Type	SAG13	Optimistic	Nominal	Pessimistic	; •	Fernandes et al. 2019 for large
Hot rocky	0.67	1.82	0.64	0.22	_	radius
Warm rocky	0.30	1.07	0.31	0.09	•	Hill stability criteria for large
Cold rocky	1.92	3.80	1.89	0.50		periods
Hot super-Earths	0.47	0.88	0.43	0.21		perious
Warm super-Earths	0.21	0.56	0.22	0.09		
Cold super-Earths	1.42	1.36	1.33	0.51		
Hot sub-Neptunes	0.48	0.66	0.44	0.28		
Warm sub-Neptunes	0.22	0.41	0.23	0.12		
Cold sub-Neptunes	1.63	1.19	1.38	0.78		
Hot sub-Jovians	0.07	0.10	0.07	0.05		0.072
Warm sub-Jovians	0.07	0.13	0.07	0.04		- 0.065
Cold sub-Jovians	1.35	1.14	1.06	0.58		0.057
Hot Jovians	0.056	0.07	0.06	0.05		- 0.057
Warm Jovians	0.053	0.13	0.08	0.06		- 0.05
Cold Jovians	1.01	1.48	0.85	0.45		- 0.043
Earth	0.24*	0.71	0.24	0.09		
			<u> </u>			- 0.036
			۳ .			- 0.029
						- 0.022
						- 0.014
			1.0			- 0.007
			-			
						0.0
			<u></u>			
				10.0	100.0	1000.0 10000.0

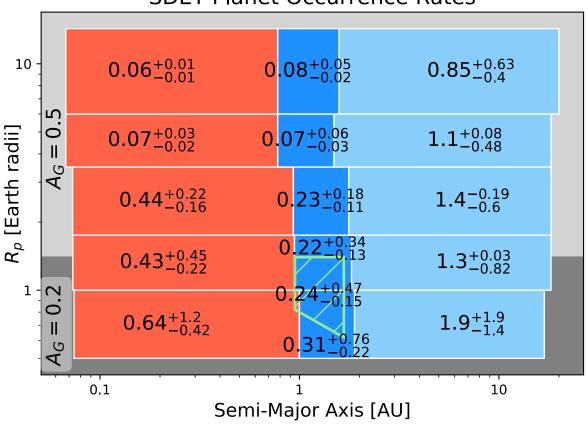
P [days]

Planet bins



Dulz et al. occurrence rates with Kopporapu et al. bins

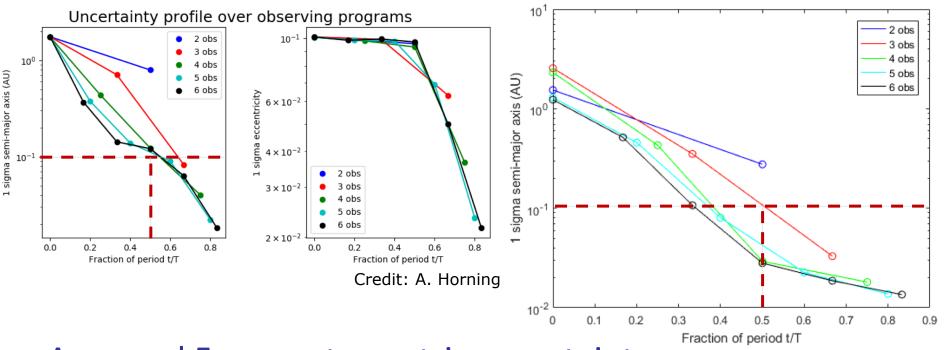
SDET Planet Occurrence Rates



Orbit determination: Is it in the Habitable Zone?



ExoPlanet Exploration Program



Assumed 5 mas astrometric uncertainty

Credit: E. Nielsen

- Heuristic:
 - 3 detections spanning half a period, generally
 - 4 detections required for higher inclination orbits

Stray Light from Binary Stars



Star Catalog

- EXOSIMS uses EXOCAT-1
 - https://exoplanetarchive.ipac.ca
 ltech.edu
- AYO uses union of the Hipparcos New Reduction catalog and the Gaia TGAS catalog
- Stark showed variation in catalog resulted in ~4% variation in yield, largely because Hipparcos is the backbone of both catalogs

Stray Light from Binary Stars

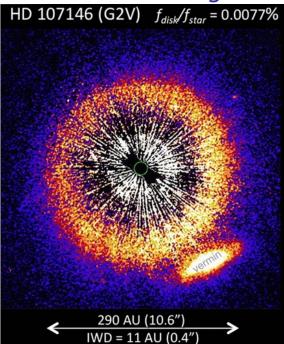
- Scatter from binary companions can exceed the suppressed starlight
- We included stray light from the companion star using λ/20 nm RMS surface roughness and f^{-2.5} model (based on WFIRST primary mirror)
 - Maggie Turnbull provided an addendum to EXOCAT-1 catalog with the WDS information for the brightest and closest binary companions

Zodiacal Light



Table from Leinert et al. 1998 based on color and pointing





Schneider et al. 2014

Smoothly varying 1/r² optical depth of number of zodis from the LBTI HOSTS survey results

 EXOSIMS uses Lindler 2008 model for inclination, color



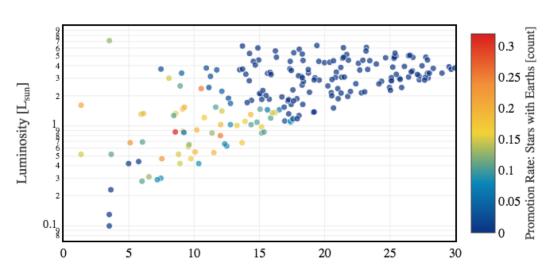
RESULTS

HabEx 4H: Coronagraph blind search

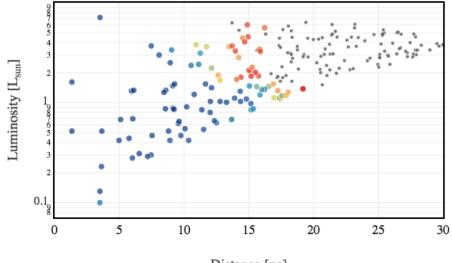


ExoPlanet Exploration Program

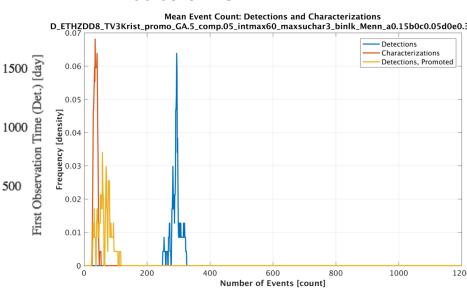
Promotion Rate



First Observation Time



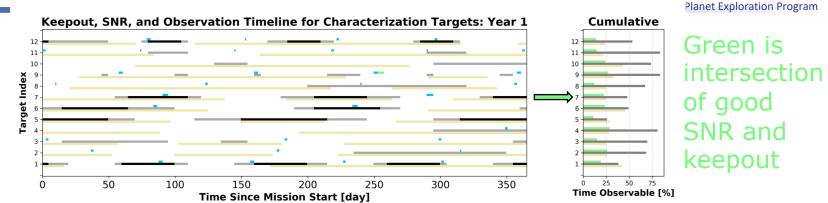
- Stars are ranked C/t and observed in order
- Revisit after T/3 elapsed
- Promote for Characterization:
 - 3 detections spanning > T/2
 - In habitable zone
 - Radius is EEC

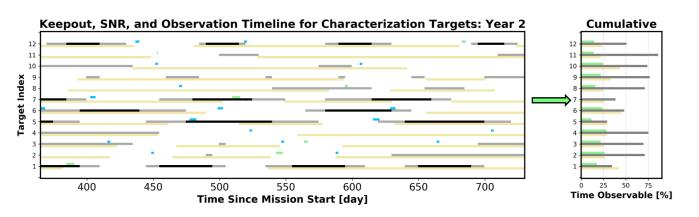


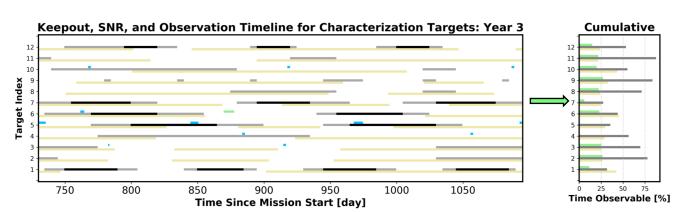
Timeline: Observational Constraints Keepout, SNR, and Observations for Characterization Targets Characterizations: Green; Detections: Blue Solar Keepout: Gold; Bad WA: Black; Low SNR: Grays











Target List $N_{\text{stars}} = 50 \mid N_{\text{observations}} = 278$ $d_{\text{max}} = 15.1 \text{ pc} \mid \theta_{\text{max}} = 5.5 \text{ mas}$

Spectral Type

Apparent magnitude

Stellar Diameter

Luminosity-Distance Plots

15 20 25

d (pc)

15

d (pc)

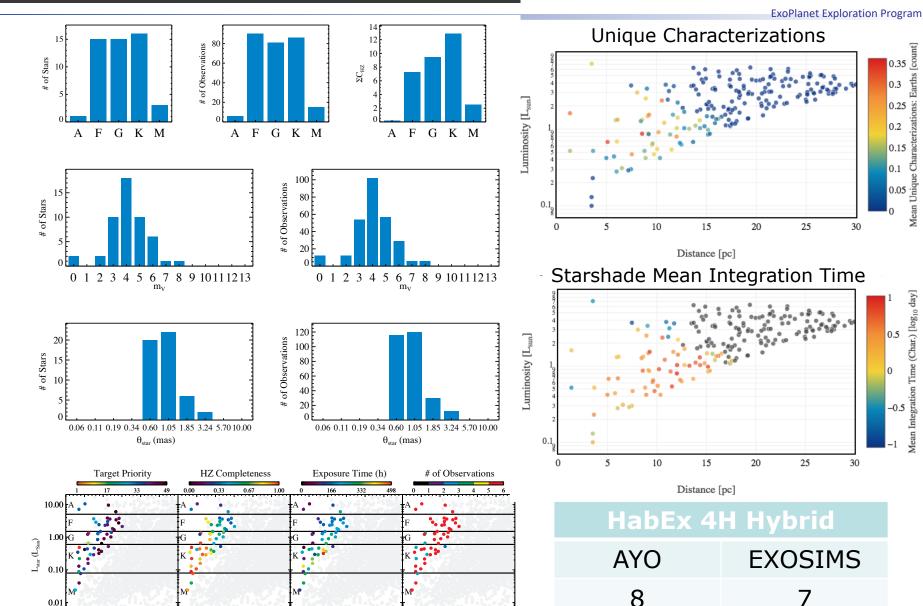
20 25

0 5

15 20 25

d (pc)

HabEx 4H Hybrid Yield **EXEP**



15 20

d (pc)

d (pc)

LUVOIR B yield

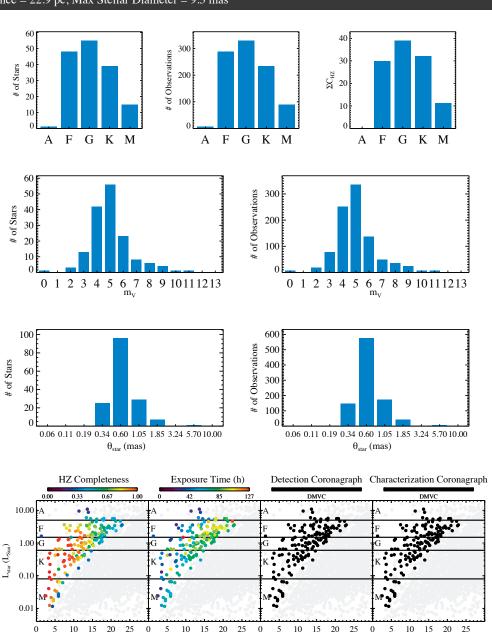


Spectral Type

Apparent magnitude

Stellar Diameter

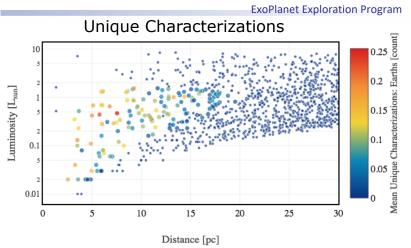
Luminosity-Distance Plots

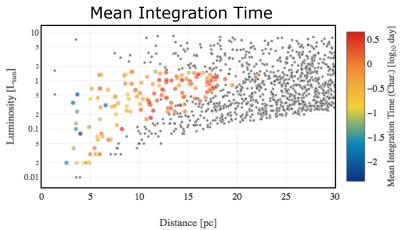


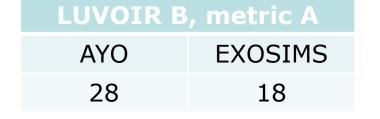
d (pc)

d (pc)

d (pc)

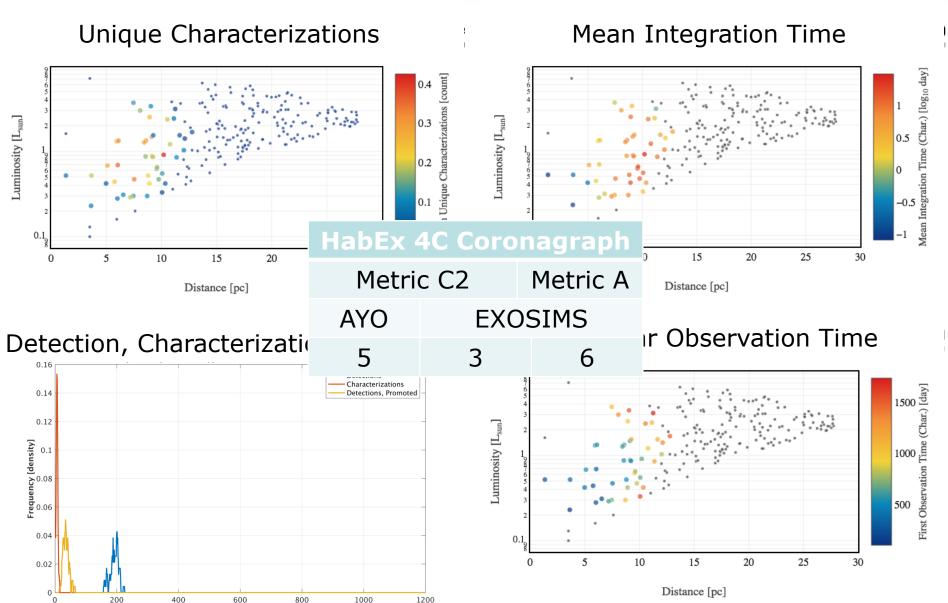






HabEx 4C: Coronagraph only metric C2



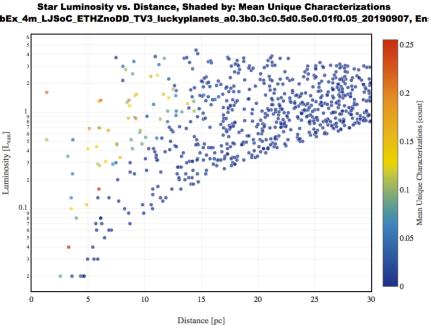


Number of Events [count]

HabEx 4S: Starshade only

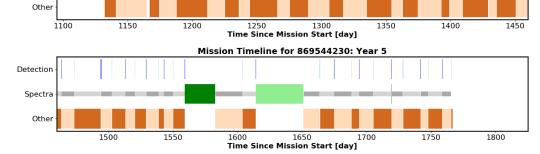






Mission Timeline for 869544230: Year 1								
Detection -								
Spectra								
Other -								
(50	100		200 ince Mission Sta		300	350	
	Mission Timeline for 869544230: Year 2							
Detection -								
Spectra								
Other								
	400	450	500 Time S	550 ince Mission Sta	600 art [day]	650	700	
	Mission Timeline for 869544230: Year 3							
Detection -								
Spectra		_	_					
Other ·								
	750	800	850 Time S	900 ince Mission Sta	950 art [day]	1000	1050	
	Mission Timeline for 869544230: Year 4							

HabEx 4S Starshade					
AYO	EXOSIMS				
	Char	orbit			
5	3	2			



Detection: 33 days = 1.8% Spectra: 283 days = 15.5% Slew: 1473 days = 80.6% Other: 1456 days = 79.7%

Detection Spectra

Results Summary



ExoPlanet Exploration Program

13

Entransit Enperation 1100, and									
	H ₂ 0 Line: metric A		Broad (metric C1)			Broad (metric C2)			
Scenario	AYO	EXO SIMS	Omni	AYO	EXOS IMS	Omni	AYO	EXOSIMS	Omni
HabEx 4H	-	9	29	8	5	9	8	7	17
LUVOIR A	54*	-	50	-	-	-	-	-	-
LUVOIR B	28*	18	28	-	4	6	-	7	10
HahFy 4C	_	6	12	_	2	3	5	3	5

*AYO evaluated LUVOIR A & B for 40% of a 5 year mission. AYO yield is cumulative completeness.

9

3

• Full spectra is costly.

3

HabEx 4S

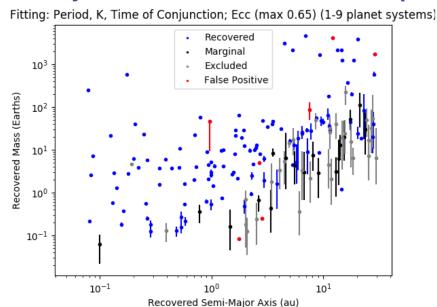
Coronagraph search for water line is an efficient filter step

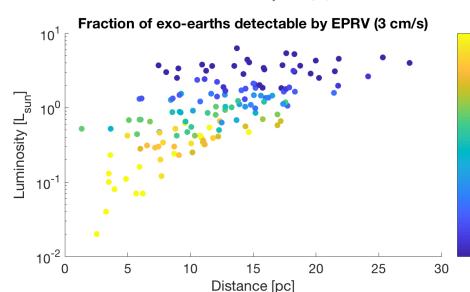
18

- Starshade spectra has one cost for the full spectrum
- Blind search is costly
 - Front loading exoplanet mission portion may increase yield
- Starshade blind search is not as inefficient as one might expect, though orbit determination is a challenge
- HabEx is target starved and can return a fair number of EECs

8.0

Sensitivity to simulated RV recovered planets





- Plavchan et al. modeled a ground-based Super-NEID
 - 3 cm/s RV machine
 - on a 10-m class telescope
 - surveying ~53 HabEx targets
 - 5 year, 25% time survey
- Heuristic sensitivity added to EXOSIMS
 - Monte Carlo universes of synthetic planets showed which were detectable by EPRV
- EPRV can find 30%-50% of present earths.
- ~50 earths *.24 *.5 = 6

Conclusions



- A yield prediction for a flagship mission of this complexity needs more formality and more resources
- Through a collaborative community based activity, we arrived at a widely accepted set of inputs for yield calculations and produced an Open Source code available for all studies
- The comparison of different yield methods shows very similar results for the same input assumptions.
 - Uncertainties in yield are dominated by uncertainty in knowledge of astrophysics inputs
- The knowledge gained through this activity has identified the areas to be addressed in the field of yield modeling to make these tools/processes as effective as possible for the future studies emerging from Astro 2020



BACKUP

Astrophysics Input Summary

FYFP

Parameter	AYO	EXOSIMS	Description		
$\overline{\eta_{\oplus}}$	0.24 SAG13 power law		Fraction of sunlike stars with an exo-Earth candidate		
R_p	[0.6,	$1.4]R_{\oplus}$	Exo-earth candidate planet radius ^a		
a	[0.95,	1.67]AU	Semi-major axis for solar twin		
e	•	0	Eccentricity (circular orbits)		
$\cos i$	[-	-1, 1]	Cosine of inclination (uniform distribution)		
ω	0	$,2\pi$	Argument of pericenter (uniform distribution)		
M	[0	$,2\pi]$	Mean anomaly (uniform distribution)		
Φ	Lam	bertian	Phase function		
A_G		0.2	Geometric albedo of rocky planets		
A_G		0.5	Geometric albedo of gas planets		
z_c	$23~{\rm mag~asec^{-2}}$	Lindler model ^b	Average V band surface brightness of zodiacal light for coronagraph observations		
z_s	22 mag asec^{-2}	Lindler model ^b	Average V band surface brightness of zodiacal light for starshade observations		
x	22 ma	$g asec^{-2}$	V band surface brightness of 1 zodi of exozodiacal dust $^{\rm c}$		
n	LBTI best fit distribution		Number of zodis for all stars		

^aActual lower bound is $R_p > 0.8/\sqrt{a}$

^bLindler zodiacal light model as a function of ecliptic latitude and longitude at observation time

^c Local zodi based on ecliptic pointing of telescope. On average, starshade observes into brighter zodiacal light.

^d For solar twin. Varies with spectral type, as zodi definition fixes optical depth.

Instrument Parameters



ExoPlanet Exploration Program

Parameter	LUVOIR B	HabEx		
Primary Diameter (m)	8.0	4.0		
Obscuration Factor	0.14	0		
Integration Time Limit	60 days	60 days		
	Coronagraph Performance			
Raw contrast floor ^a	1×10^{-10}	1×10^{-10}		
Raw contrast stability ^b	1×10^{-11}	2×10^{-11}		
Post-processing Factor	0.25	0.29		
Systematic noise floor	$26.5~\Delta\mathrm{mag}$	$26.5 \Delta \text{mag}$		
Core throughput ^b	0.46	0.5		
Photometric Aperture	$0.8 \; \lambda/D$	$0.7 \ \lambda/D$		
Inner Working Angle, $IWA_{0.5}$	$3.9\lambda/D$	$2.4\lambda/D$		
Inner Working Angle, $IWA_{0.1}$	$1.5\lambda/D$	$1.5\lambda/D$		
Outer Working Angle	$60 \lambda/D$	$26 \lambda/D$		
Bandwidth $(\Delta \lambda)$	20%	20%		
	Imaging Channel 1^{\dagger}			
Non-coronagraph Throughput	0.17	0.28		
Bandwidth	20%	20%		
	Imaging Channel 2*			
Non-coronagraph Throughput	0.39	0.42		
Bandwidth	20%	20%		
	Spectral Channel			
Non-coronagraph Throughput	0.39	0.42		
Bandwidth	20%	20%		
$\Delta \lambda / \lambda$	140	140		
λ	500 nm	500 nm		

Instrument Parameters (cont.)



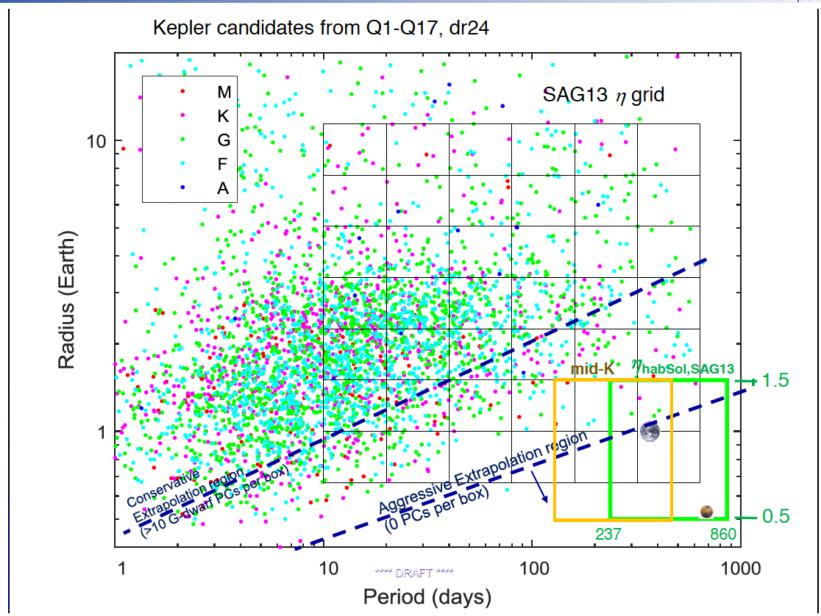
<u>Detectors</u>	
0.9	0.9
0.75	$^{0.75}$. WFIRST
3×10^{-5}	3×10^{-5} EMCCD
0	
1.3×10^{-5}	1.3×10^{-5}
$\underline{\text{Starshade}}$	
-	1040
-	3000
-	308
-	11180
-	4550
-	76600
	$ \begin{array}{r} \hline 0.9 \\ 0.75 \\ 3 \times 10^{-5} \\ 0 \\ 1.3 \times 10^{-5} \end{array} $

SAG 13 Occurrence rates from Kepler





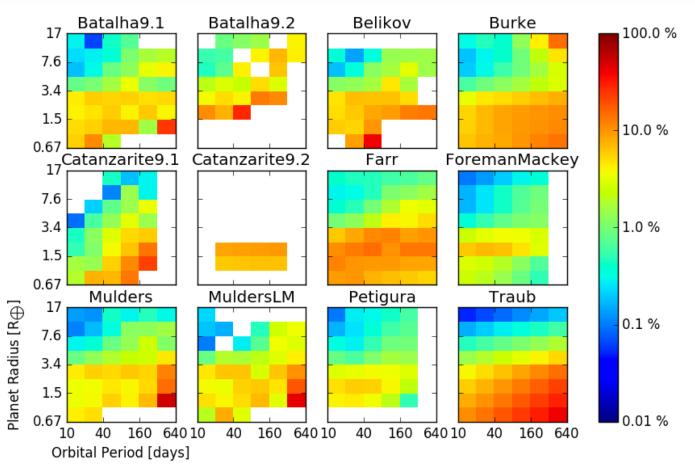
ExoPlanet Exploration Program



Crowd-sourced inputs

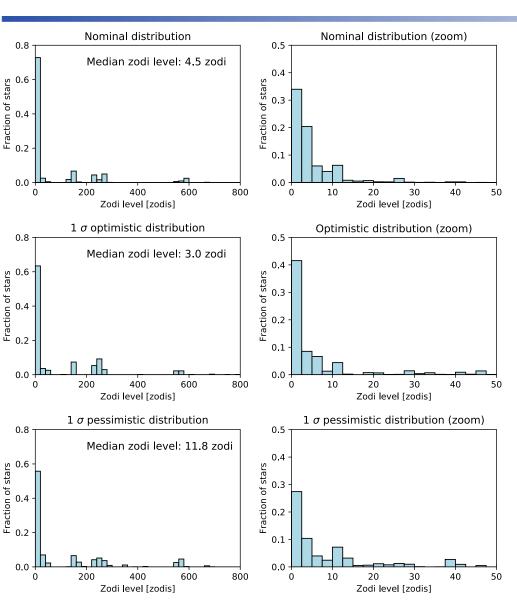






- Some overlap in data pipelines
- Some data re-binned from publications



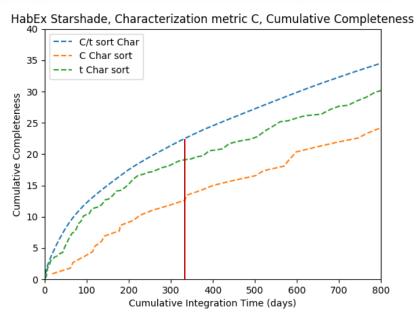


LBTI HOSTS survey

- 35 stars
- Data fit to nominaldistribution has median of4.5 zodis
- Yields evaluated with draws from nominal, optimistic, and pessimistic distributions

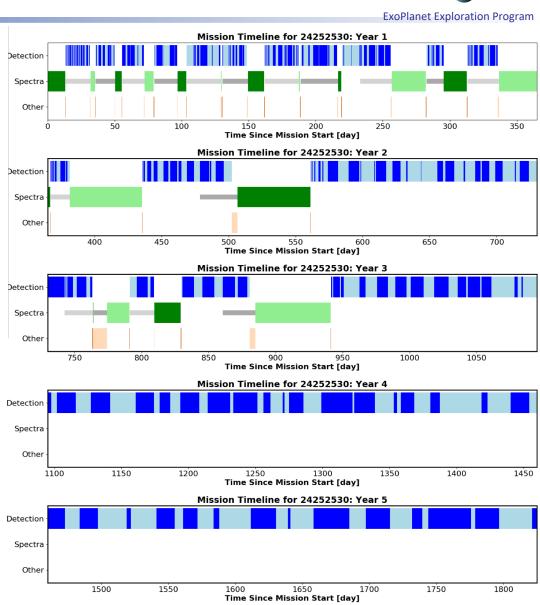
What is the best we can do? Simple upper bound







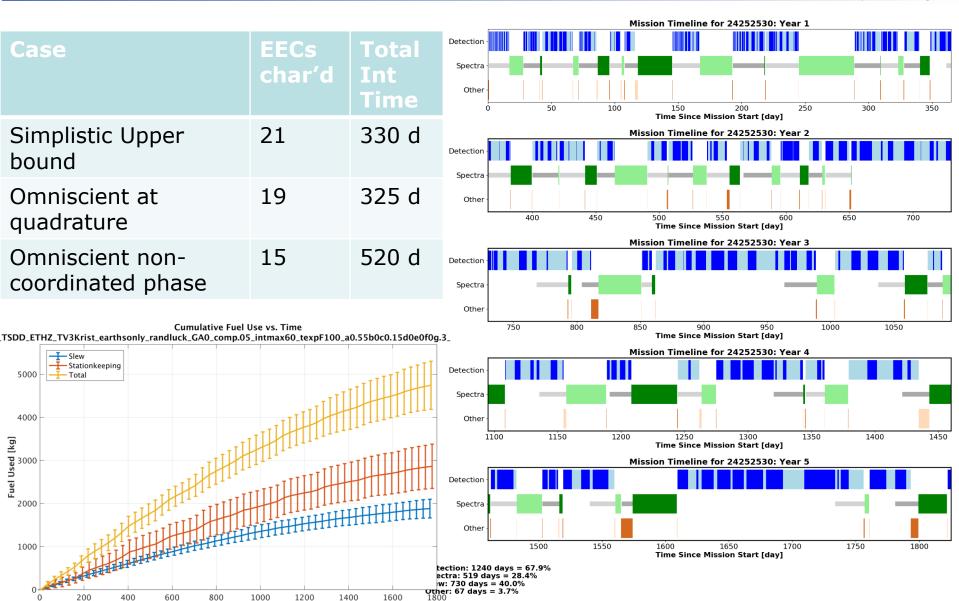
- 333 days: 21 earths
- 110 days: 11 exo-earths x 3 visits
- 325 days for omniscient case to exhaust targets



Impact of Starshade slewing



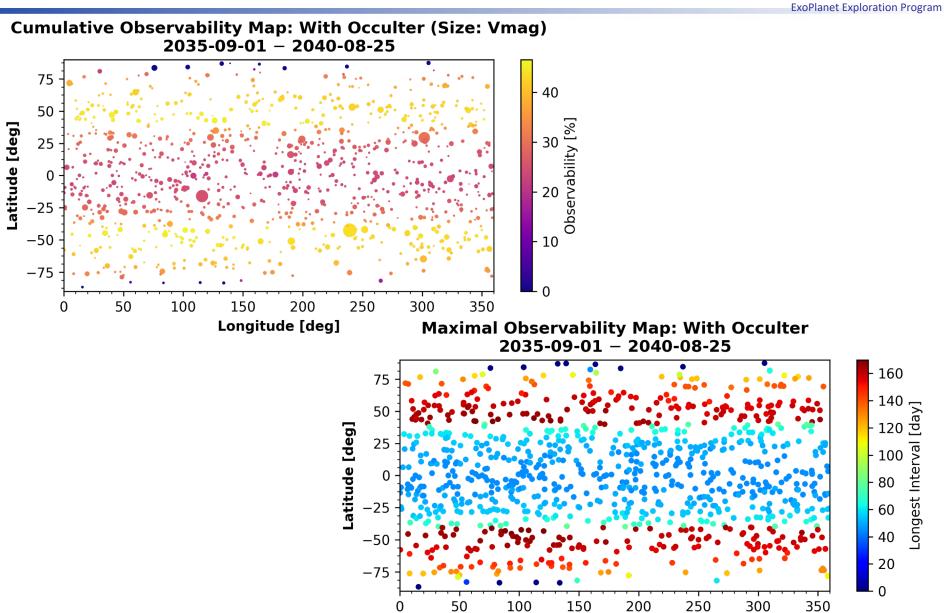
ExoPlanet Exploration Program



Time [days]

Starshade Cumulative and Maximal Observability





Longitude [deg]

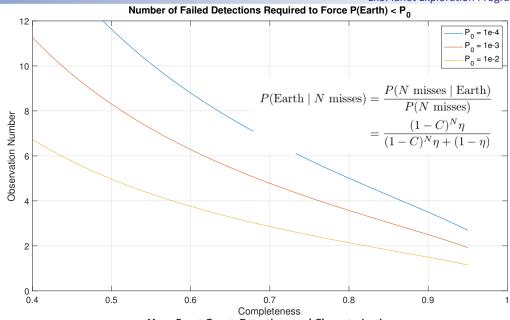
Crafting the coronagraph blind search



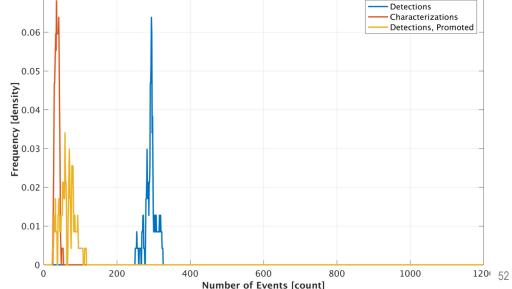
ExoPlanet Exploration Program

- Trade thoroughness for efficiency
 - Max null detections = 2
 - Max successful det = 4
 - Max det visits = 10

 Promotions after tuning is ~8

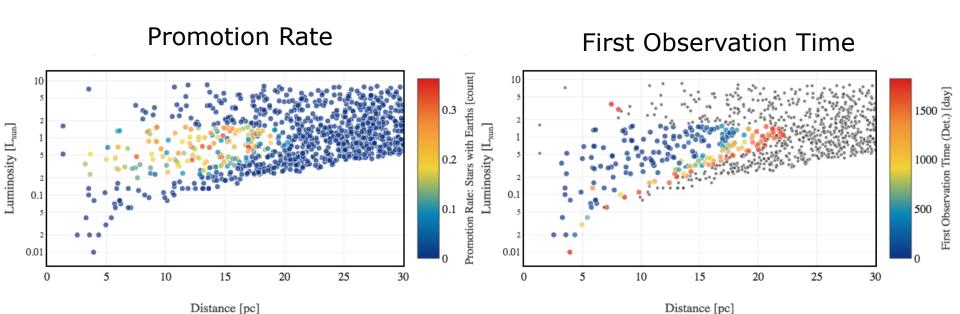


Mean Event Count: Detections and Characterizations D_ETHZDD8_TV3Krist_promo_GA.5_comp.05_intmax60_maxsuchar3_binlk_Menn_a0.15b0c0.05d0e0.3 Detections Characterizations Detections, Promoted



LUVOIR B Detections





Detection, Characterization Histogram Detections Characterizations Detections, Promoted 0.1 Frequency [density] 90.0 90.0 90.0 0.02

Number of Events [count]

800

1000

1200

200

400

