

# Fast and accurate Fresnel scalar diffraction for starshade modeling

**Alex Barnett**<sup>1</sup>

Starshade Science and Industry Partnership, 3/25/21

Thanks for discussion: David Spergel, Stuart Shaklan, Anthony Harness, Philip Dumont

<sup>1</sup>Center for Computational Mathematics, Flatiron Institute, Simons Foundation

## Overview

Starshade design needs fast Fresnel diffraction from hard-edged (“0-1”) occulter, evaluated at many (eg  $10^6$ ) target-plane (pupil) points

- New method: has speed of FFT, but high accuracy of edge-integral
- $10^4 \times$  faster than edge-integral methods in starshade context

Today:

- Explain how works. Ingredients:
  - i) areal quadrature: *accurate* rule for 2D integral over occulter
  - ii) “nonuniform FFT”: a black-box software library
- Test results, demos

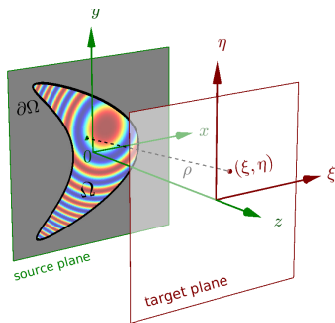
“Efficient high-order accurate Fresnel diffraction via areal quadrature and the nonuniform FFT,” A. H. Barnett, *J. Astron. Telesc. Instrum. Syst.* **7**(2), 021211 (21 pages), 2021. arxiv:2010.05978.

Code/doc: <https://github.com/ahbarnett/fresnaq>

## Fresnel scalar diffraction setup and task

Region  $\Omega \subset \mathbb{R}^2$  is planar occulter (eg, starshade)

Unit-amplitude incident plane wave along  $z$ -axis: target plane field  $u^{oc}$



$$\text{Babinet: } u^{oc}(\xi, \eta) = 1 - u^{ap}(\xi, \eta),$$

$$u^{ap}(\xi, \eta) = \frac{1}{i\lambda z} \iint_{\Omega} e^{\frac{i\pi}{\lambda z} [(\xi-x)^2 + (\eta-y)^2]} dx dy$$

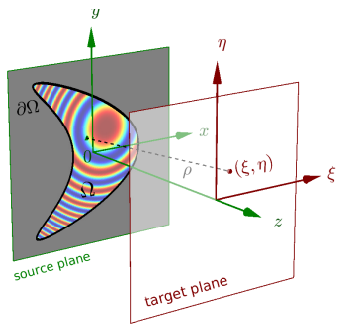
$\lambda$  = wavelength     $z$  = downstream dist.

"0-1" source func. convolved w/ complex Gaussian

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Fresnel number  $f := \frac{R^2}{\lambda z} \sim 5$  to 20 for starshades

$R$  = max radius

Is Fresnel approx. good? yes! next term  $\frac{R^4}{\lambda z^3} \sim 10^{-7}$  even for scale models

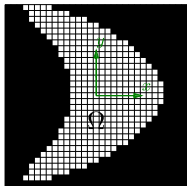
Is scalar approx. good? yes for full scale; not perfect for scale models

Need  $u^{oc}$  abs error  $< 10^{-6}$  to model intensity suppression  $10^{-10}$

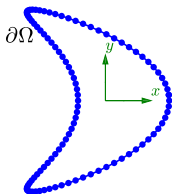
# Overview numerical approaches

Two usual approaches for apertures/occluders: we propose a third...

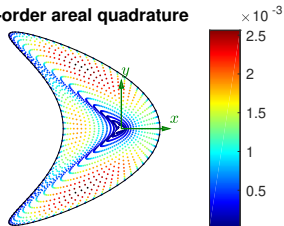
(a) uniform 2D grid sampling



(b) line integral quadrature



(c) high-order areal quadrature



2D FFT (or pair)  
convolution

fast  $\mathcal{O}(n^2 \log n)$

(Mas, Lo, Junchang et al)

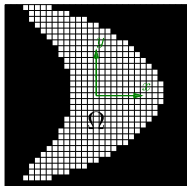
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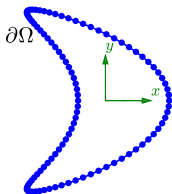
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direct summation

$$u^{\text{ap}} = \frac{1}{2\pi} \int_{\partial\Omega} (1 - e^{\frac{i\pi}{\lambda z} r^2}) \frac{\mathbf{r} \times d\mathbf{s}}{r^2}$$

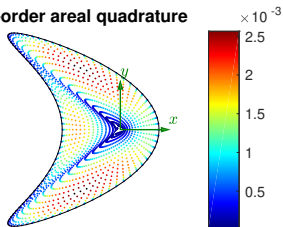
slow  $\mathcal{O}(n^3)$

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high-order accurate

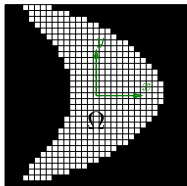
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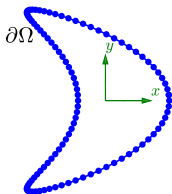
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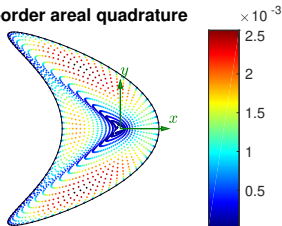
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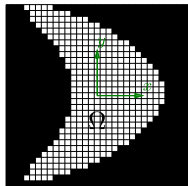
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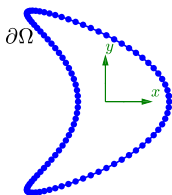
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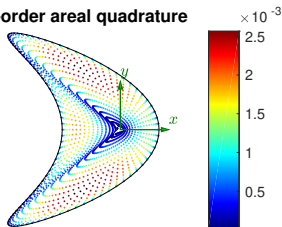
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Define “high-order”: error  $\leq C/n^p$  for large algebraic order  $p$  (eg 10)

or error  $\leq Ce^{-\alpha n}$  exponential

$n$  = linear resolution

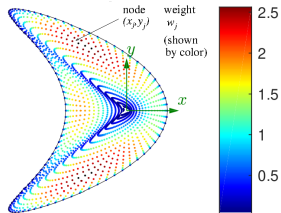


## Areal quadrature over $\Omega$

AQ is simply set of nodes  $(x_j, y_j)$ ,  $j = 1, \dots, N$ , with weights  $w_j$ , so

$$\iint_{\Omega} f(x, y) dx dy \approx \sum_{j=1}^N f(x_j, y_j) w_j$$

should be high-order accurate in  $N$



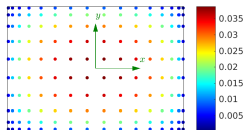
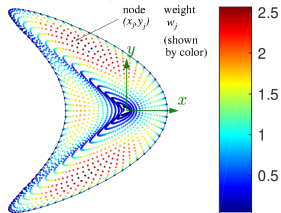
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Eg:  $\Omega =$  rectangle:  
product Gauss–Legendre rule

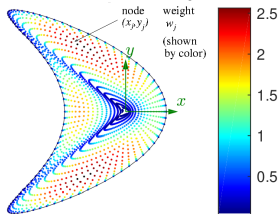


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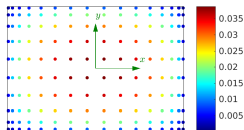
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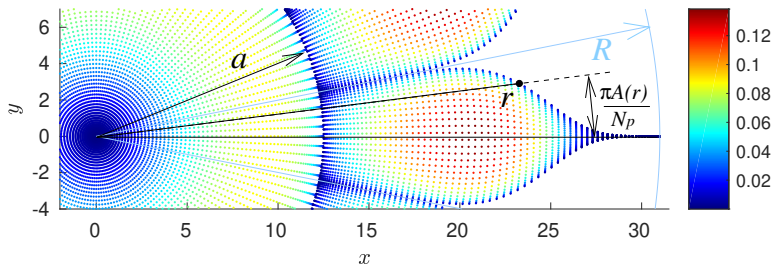
AQ for “arbitrary” geometries?

- get from existing line (edge) integral quadrature rule, via dilation
- union of simple pieces + smooth transformations Jacobian scales  $w_j$
- auto-generate from CAD/FEM formats? probably, not attempted

need precise ( $< 10^{-6}$ ) geometry description; what format?

## Areal quadrature for starshades

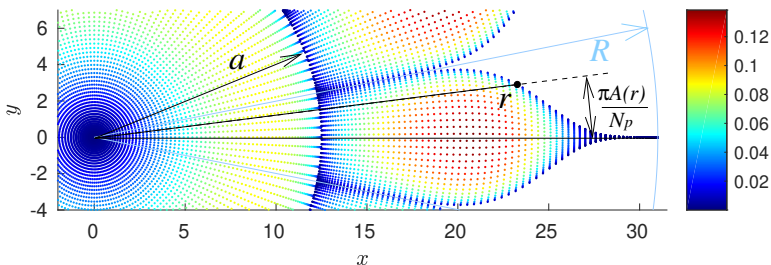
Easy to build AQ for ideal starshade  $N \sim 10^4\text{--}10^6$ , err  $10^{-6}$ , 20 lines MATLAB  
High-order interpolation from points giving petal apodization func  $A(r)$ :



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Non-ideal starshades:

- get from existing line (edge) integral quadrature rule, via dilation  
*fine, but not very efficient,  $N \sim 10^8$  too large*
- adding/subtracting a variable-width strip region to an ideal one?
- rigid motion of petals + AQs for gluing pieces? Add/sub defects. . .

We need to talk: *precise* geometry description, noise autocorr. . .

## The fast algorithm: factorization

For all targets  $k = 1, \dots, M$ , eval. quadrature rule for Fresnel integral:

$$\begin{aligned} u_k^{\text{ap}} &\approx \frac{1}{i\lambda z} \sum_{j=1}^N e^{\frac{i\pi}{\lambda z} [(\xi_k - x_j)^2 + (\eta_k - y_j)^2]} w_j \\ &= \frac{1}{i\lambda z} e^{\frac{i\pi}{\lambda z} (\xi_k^2 + \eta_k^2)} \cdot \sum_{j=1}^N e^{\frac{-2\pi i}{\lambda z} (\xi_k x_j + \eta_k y_j)} \left( e^{\frac{i\pi}{\lambda z} (x_j^2 + y_j^2)} w_j \right) \end{aligned}$$

iii) post-multiply      ii) 2D "type 3 NUFFT"      i) pre-multiply

Three sequential steps (very simple, core < 10 lines of MATLAB)

Cost  $\mathcal{O}(N + M + f^2 \log f)$

In practice:  $10^7$  targets/sec on laptop

If targets on regular grid, use (faster) type 1 NUFFT Abbrev by "t3" and "t1"

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Recommended software library for NUFFTs: FINUFFT

<http://finufft.readthedocs.io>

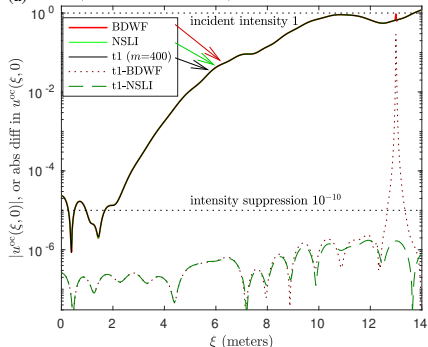
C++/OpenMP; beats others by  $10\times$  (Barnett et al '19)



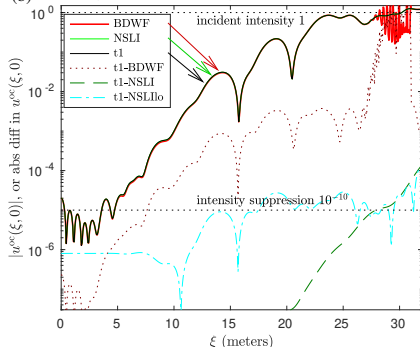
# Performance & validation for ideal starshades NI2, HG

Validation vs edge-integrals: (Cady '12; BDWF as pulled from SISTER codebase)

(a) NI2 ( $n = 192000$  bdry nodes):  $\lambda = 5e-07$  m,  $Z = 3.72e+07$  m



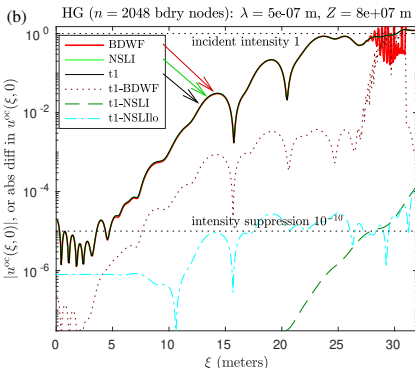
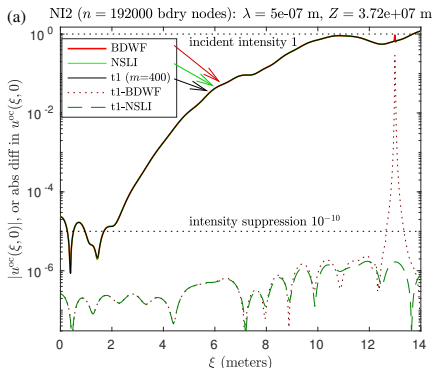
(b) HG ( $n = 2048$  bdry nodes):  $\lambda = 5e-07$  m,  $Z = 8e+07$  m





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Speed against BDWF, for million-point target grid:

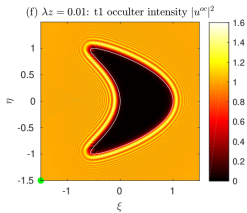
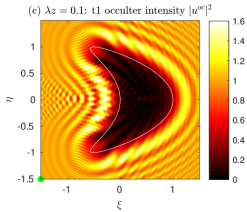
i7 laptop

design	$\lambda$ (m)	$z$ (m)	$f$	$m$ (petal)	total nodes	$M$ (targets)	method	CPU time
NI2	5e-7	3.72e7	9.1	6000	$n=192000$	$10^6$ , grid	BDWF	5361 s
				400	$N=499200$		NUFFT t1 ( $\epsilon=10^{-8}$ )	0.076 s
HG	5e-7	8e7	24	60	$n=2048$	$10^6$ , grid	BDWF	80.5 s
				60	$N=37440$		NUFFT t1 ( $\epsilon=10^{-8}$ )	0.042 s

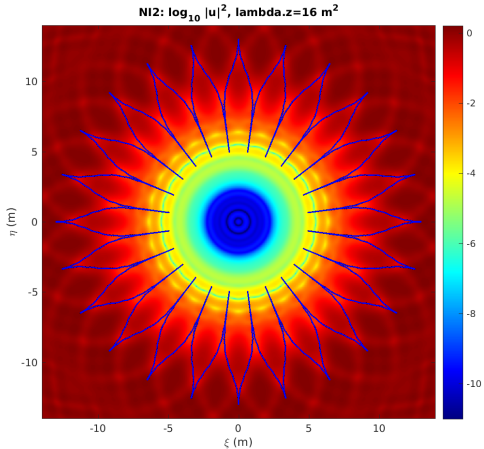
Conclusions: same accuracy reached, 3–5 orders of magnitude faster

# Results pictures

Smooth kite test:

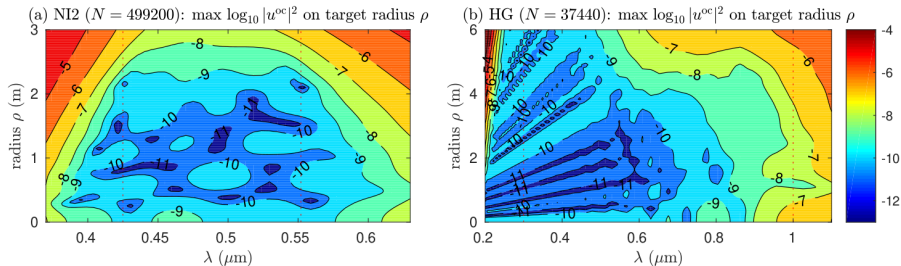


NI2 ideal starshade:



# Wavelength sweeps & SISTER modifications

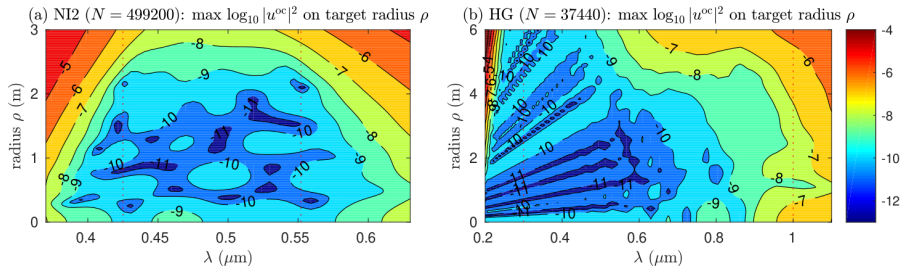
Shadow depth study, 50  $\lambda$  values, takes 6 seconds: including AQ gen; laptop



**Fig 6** Intensity (on  $\log_{10}$  scale indicated on the right) as a function of wavelength and target radius  $\rho$  from the center, for the two starshade designs (NI2 and HG) of Fig. 5. At each of 200  $\rho$  values, the maximum over 300 angles is taken. The incident intensity is 1. The NUFFT t3 method is used. Vertical dotted lines show the designed  $\lambda$  range.

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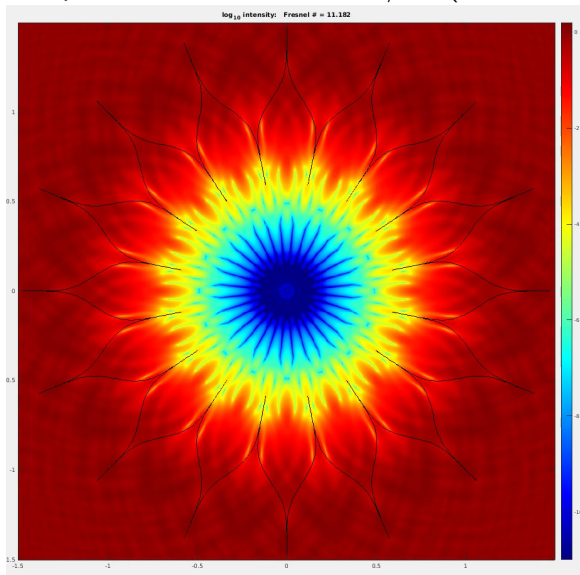
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Replacing BDWF by t3 in SISTER “PSF basis” task: [hack, proof-of-principle](#)

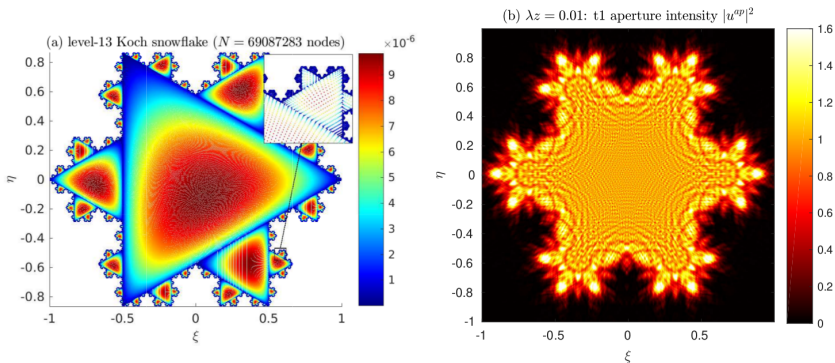
- 3149 shifts of  $16 \times 16$  pupil grids  $\rightarrow$  group targets together!
- reduces run-time from 6.5 hours to 2.6 seconds

# Movie (analytic starshade design)

Computed at around 10 frames/sec (close to real time):



# Fun demo of complicated geometry



**Fig 7** Koch fractal aperture diffraction example from Sec. 3.3. (a) shows the areal quadrature constructed by a union of about 67 million triangles. The color of each node  $(x_j, y_j)$  indicates its weight  $w_j$  using the scale on the right. The inset shows a zoom into the region shown, resolving individual nodes. (b) shows intensity (on  $\log_{10}$  scale indicated on the right) computed on a million-point grid by the NUFFT t1 method in under 5 seconds.

## Conclusions

Much faster method for accurate ( $< 10^{-6}$ ) hard-edged Fresnel diffraction  
 $\sim 10^7$  pupil plane targets/sec, almost indep of starshade geom

excels for large # targets. Crossover vs edge-integral for NI2 starshade:  $\sim 50$  targets

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Future / questions:

- non-ideal (notches, shape variation) started (Dumont, Shaklan)
- continuous phase variation? trivial to include
- probably useful for other "0-1" design problems: coronagraphs, etc

Code/docs: MATLAB, including all figures from paper, and SISTER modifications

<https://github.com/ahbarnett/fresnaq>