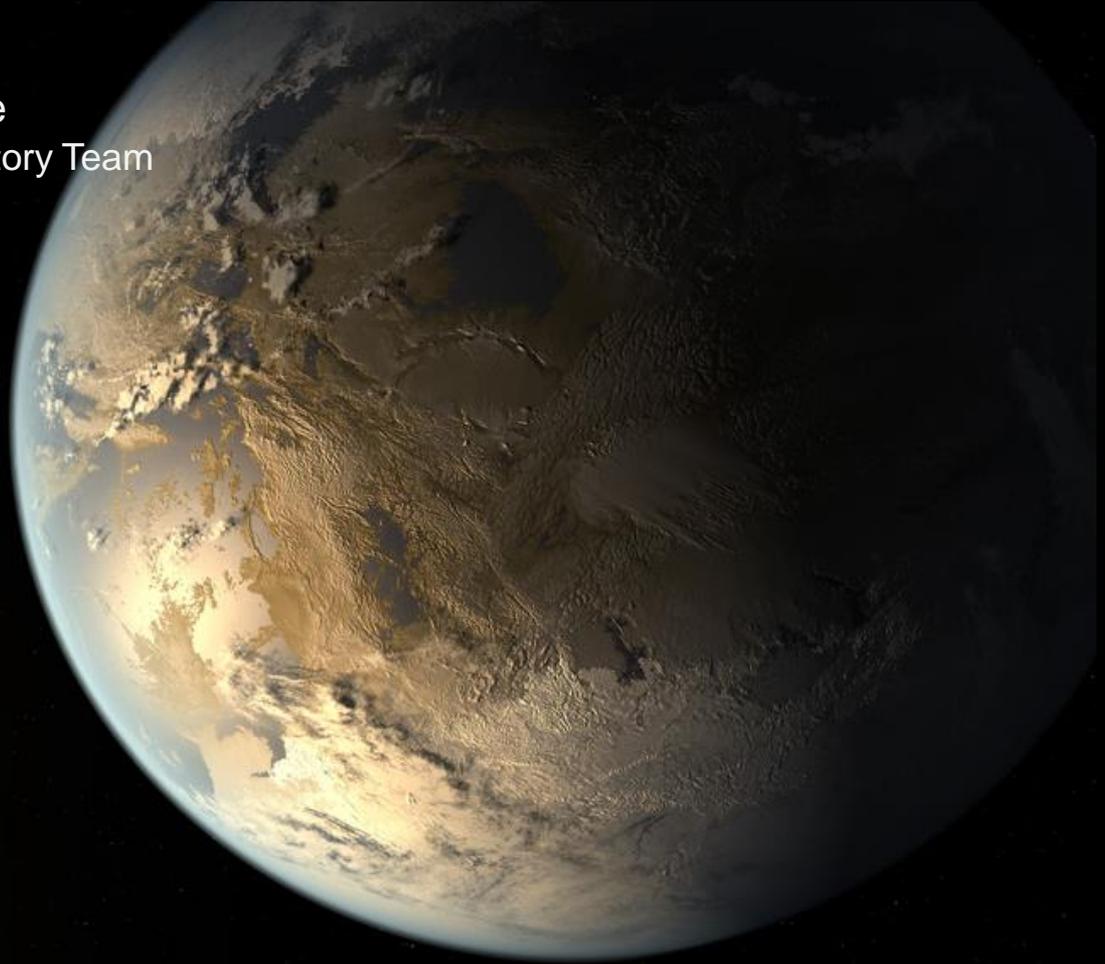


# Reflections on Oxygen as a Biosignature

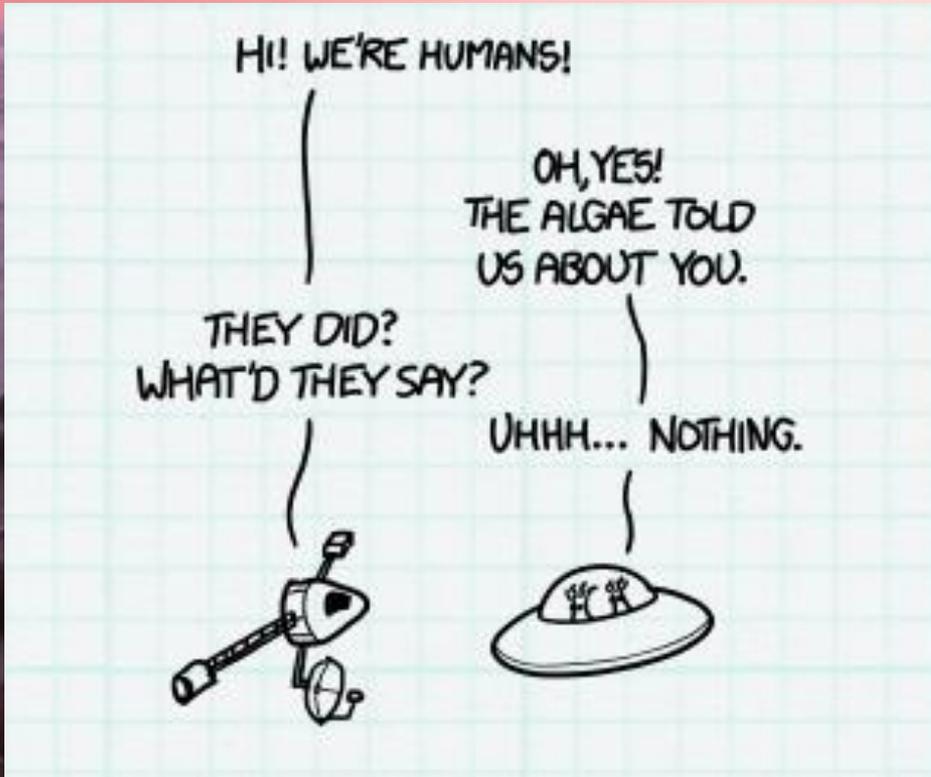


Victoria Meadows and the  
NAI Virtual Planetary Laboratory Team



# Photosynthesis is Earth's Dominant Metabolism

The first photosynthesizers likely evolved 3.8-3.4 Gya.  
Cyanobacteria - oxygenic photosynthesizers - may have evolved < 2.7Gya.  
Cyanobacteria are responsible for the large O<sub>2</sub> fraction in our atmosphere  
Our abundant O<sub>2</sub> is the most detectable sign of life on this planet  
It is also considered the most robust against false positives  
It's likely to be the first biosignature we try to detect.

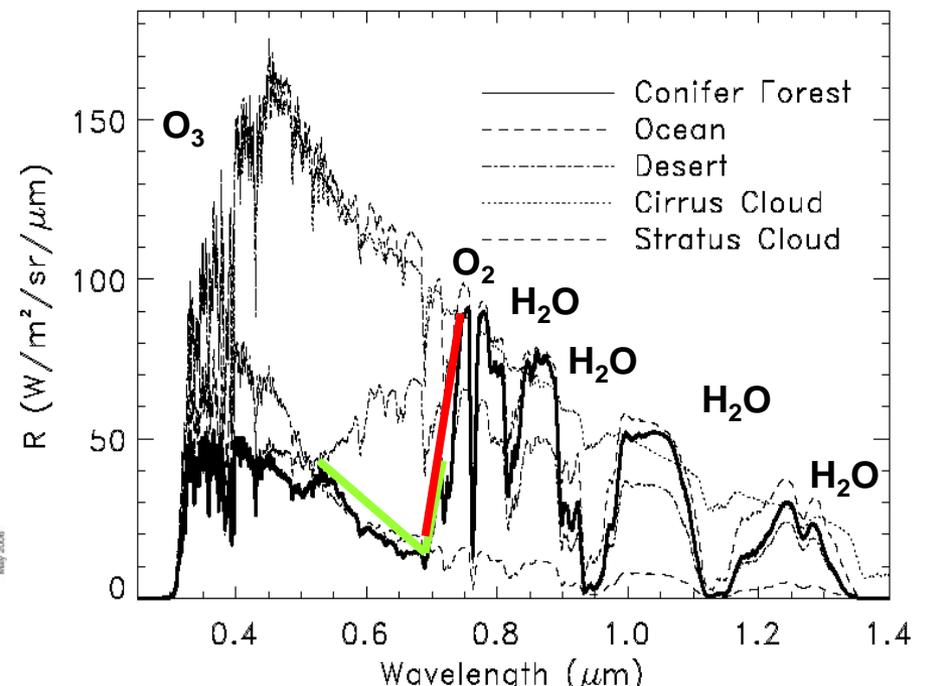
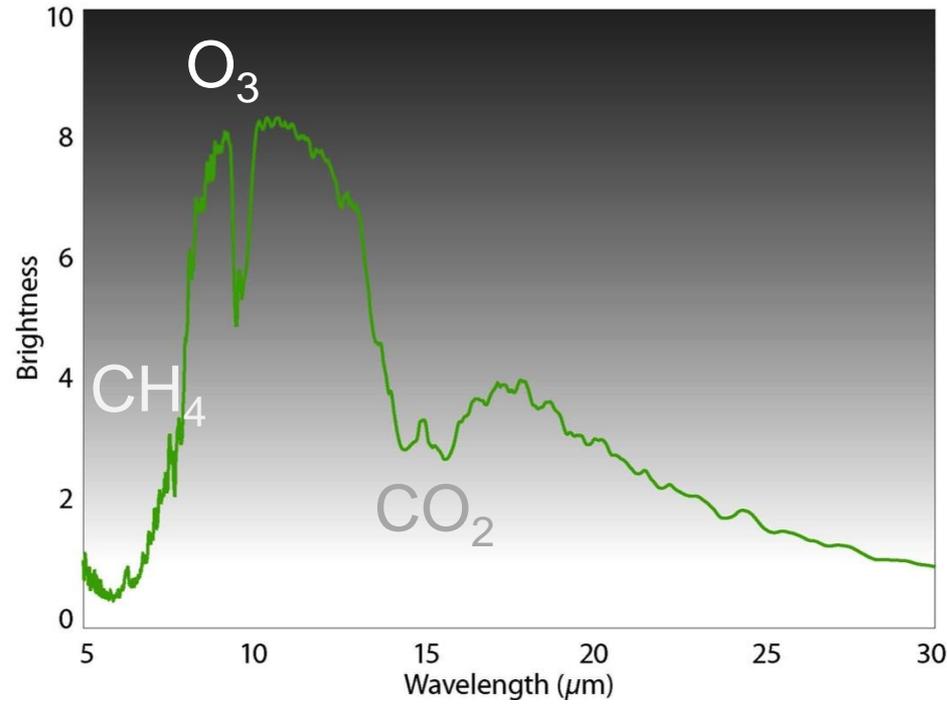
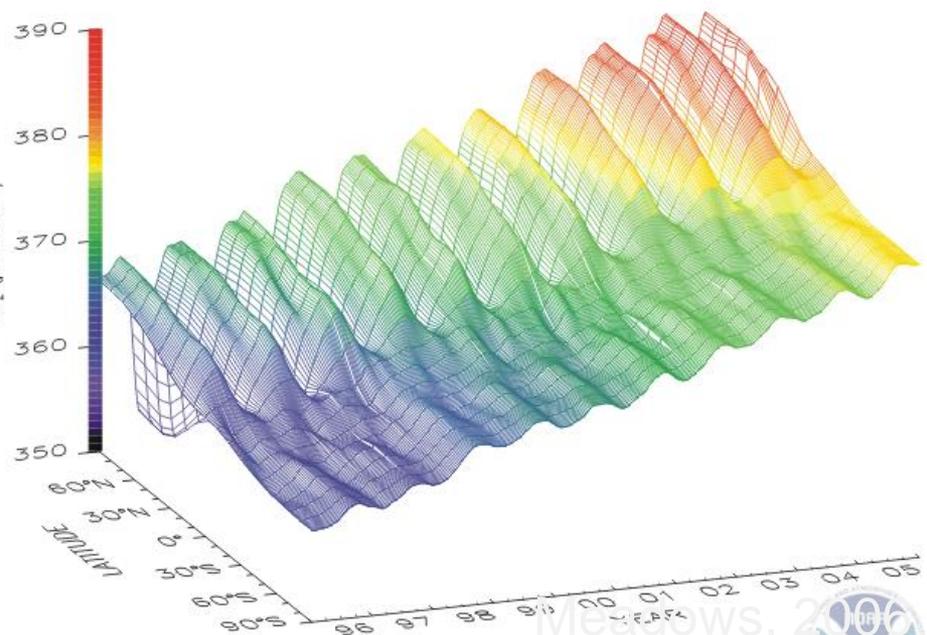


# Photosynthetic Biosignatures

- Photosynthesis has globally modified the Earth's:
  - Atmosphere: Abundant  $O_2$  (and  $O_3$ ) in the presence of  $N_2$  and an ocean.
  - Surface: Reflectivity red edge from vegetation.
  - Temporal behavior: Seasonal  $CO_2$  cycle, seasonal surface albedo changes.

Meadows, 2006

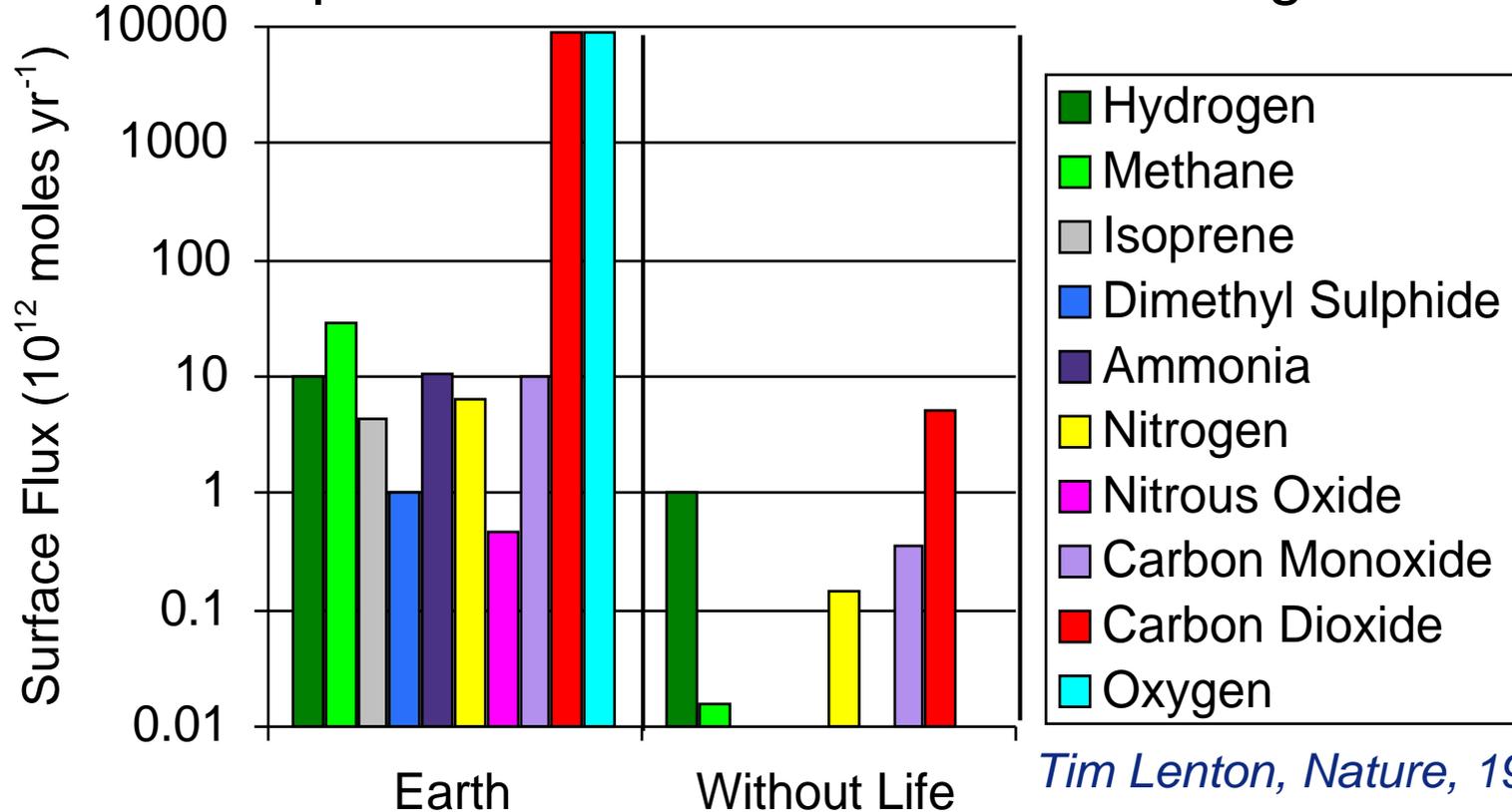
Global Distribution of Atmospheric Carbon Dioxide  
NOAA ESRL GMD Carbon Cycle



Three dimensional representation of the latitudinal distribution of atmospheric carbon dioxide in the marine boundary layer. Data from the GMD cooperative...

# Biological Modification of the Atmosphere

Life is responsible for a LOT of our surface gas fluxes



- Life can modify the atmosphere via production of gaseous by-products of metabolism (e.g.  $\text{O}_2$  from photosynthesis).
- Because there is an active source, life's gases are often seen in the atmosphere in *chemical disequilibrium*.

# Earth's Disequilibrium Biosignatures

TABLE 1 Constituents of the Earth's atmosphere (volume mixing ratios)

Molecule	Standard abundance (ground-truth Earth)	Galileo value*	Thermodynamic equilibrium value	
			Estimate 1†	Estimate 2‡
N <sub>2</sub>	0.78		0.78	
O <sub>2</sub>	0.21	0.19 ± 0.05	0.21§	
H <sub>2</sub> O	0.03–0.001	0.01–0.001	0.03–0.001	
Ar	9 × 10 <sup>-3</sup>		9 × 10 <sup>-3</sup>	
CO <sub>2</sub>	3.5 × 10 <sup>-4</sup>	5 ± 2.5 × 10 <sup>-4</sup>	3.5 × 10 <sup>-4</sup>	
CH <sub>4</sub>	1.6 × 10 <sup>-6</sup>	3 ± 1.5 × 10 <sup>-6</sup>	< 10 <sup>-35</sup>	10 <sup>-145</sup>
N <sub>2</sub> O	3 × 10 <sup>-7</sup>	~10 <sup>-6</sup>	2 × 10 <sup>-20</sup>	2 × 10 <sup>-19</sup>
O <sub>3</sub>	10 <sup>-7</sup> –10 <sup>-8</sup>	> 10 <sup>-8</sup>	6 × 10 <sup>-32</sup>	3 × 10 <sup>-30</sup>

\* Galileo values for O<sub>2</sub>, CH<sub>4</sub> and N<sub>2</sub>O from NIMS data; O<sub>3</sub> estimate from UVS data.

† From ref. 16 (P, 1 bar; T, 280 K).

‡ From ref. 17 (P, 1 bar; T, 298 K).

§ The observed value; it is in thermodynamic equilibrium only if the under-oxidized state of the Earth's crust is neglected.

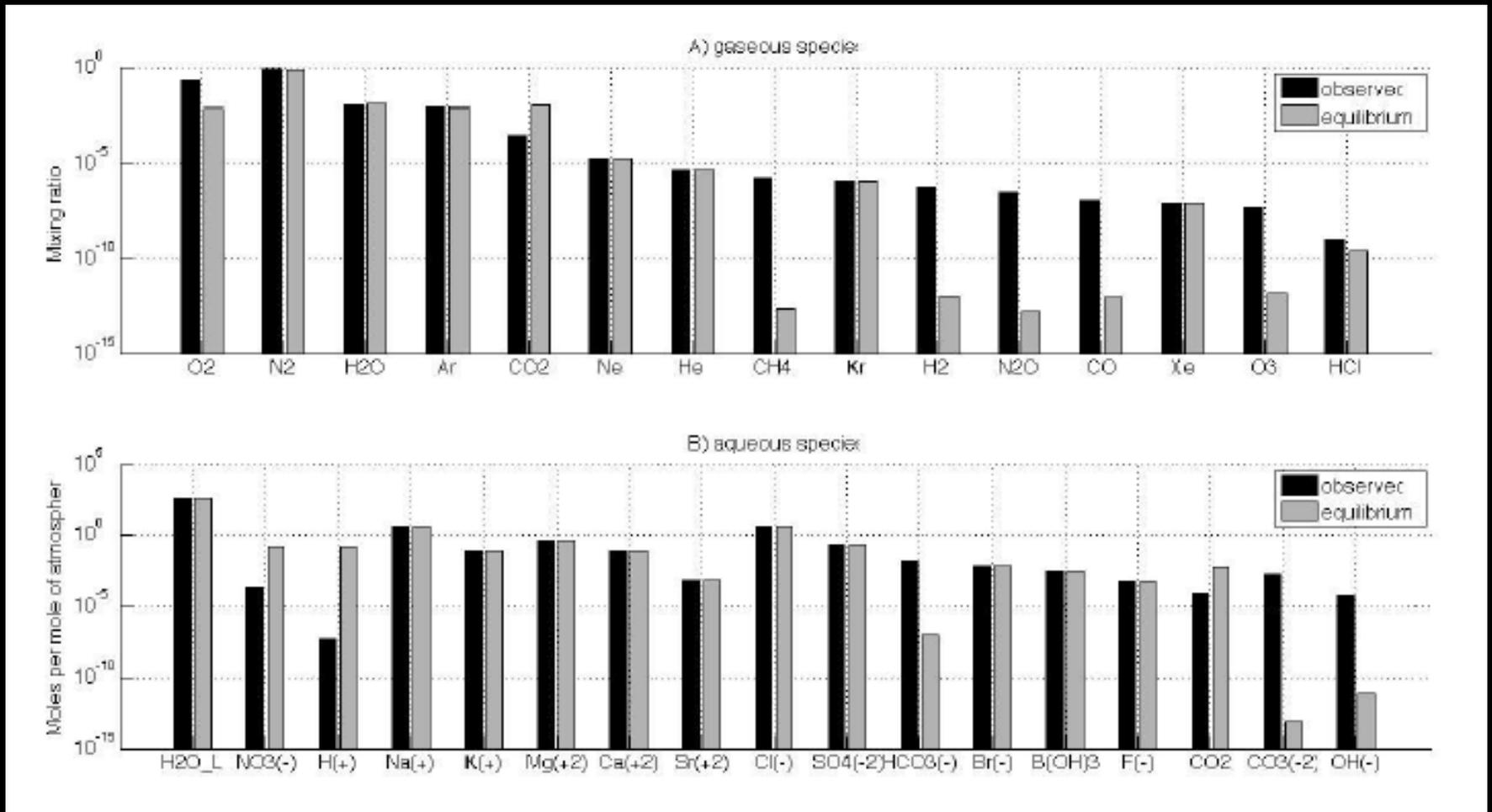
Sagan et al., 1993

Methane observed in our oxygen rich atmosphere is out of thermodynamic equilibrium by many orders of magnitude.

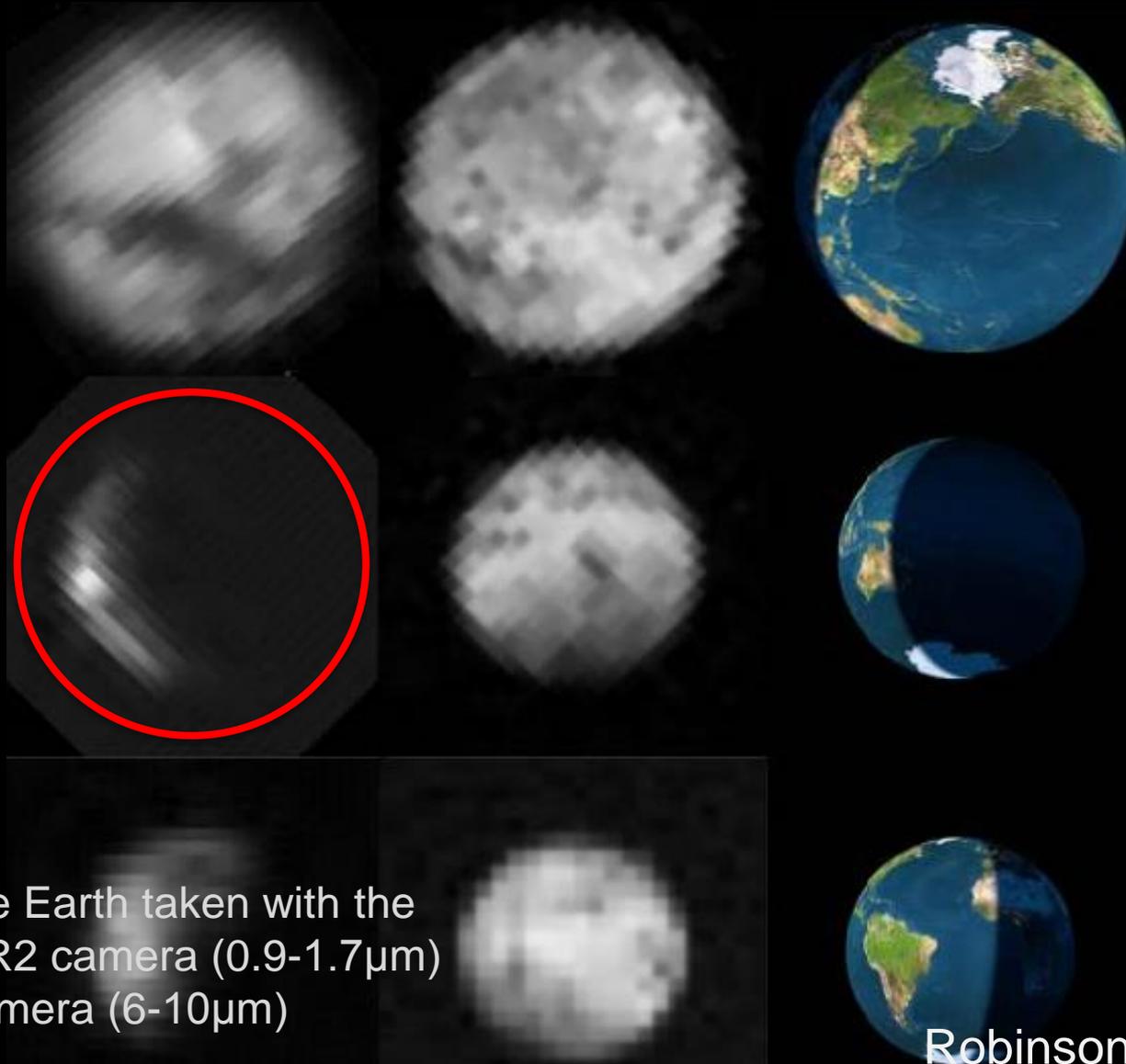
# Earth's Disequilibrium Biosignatures



Earth's thermodynamic disequilibrium is biogenic in origin, and the main contribution is the coexistence of  $N_2$ ,  $O_2$  and liquid water instead of more stable nitrate.

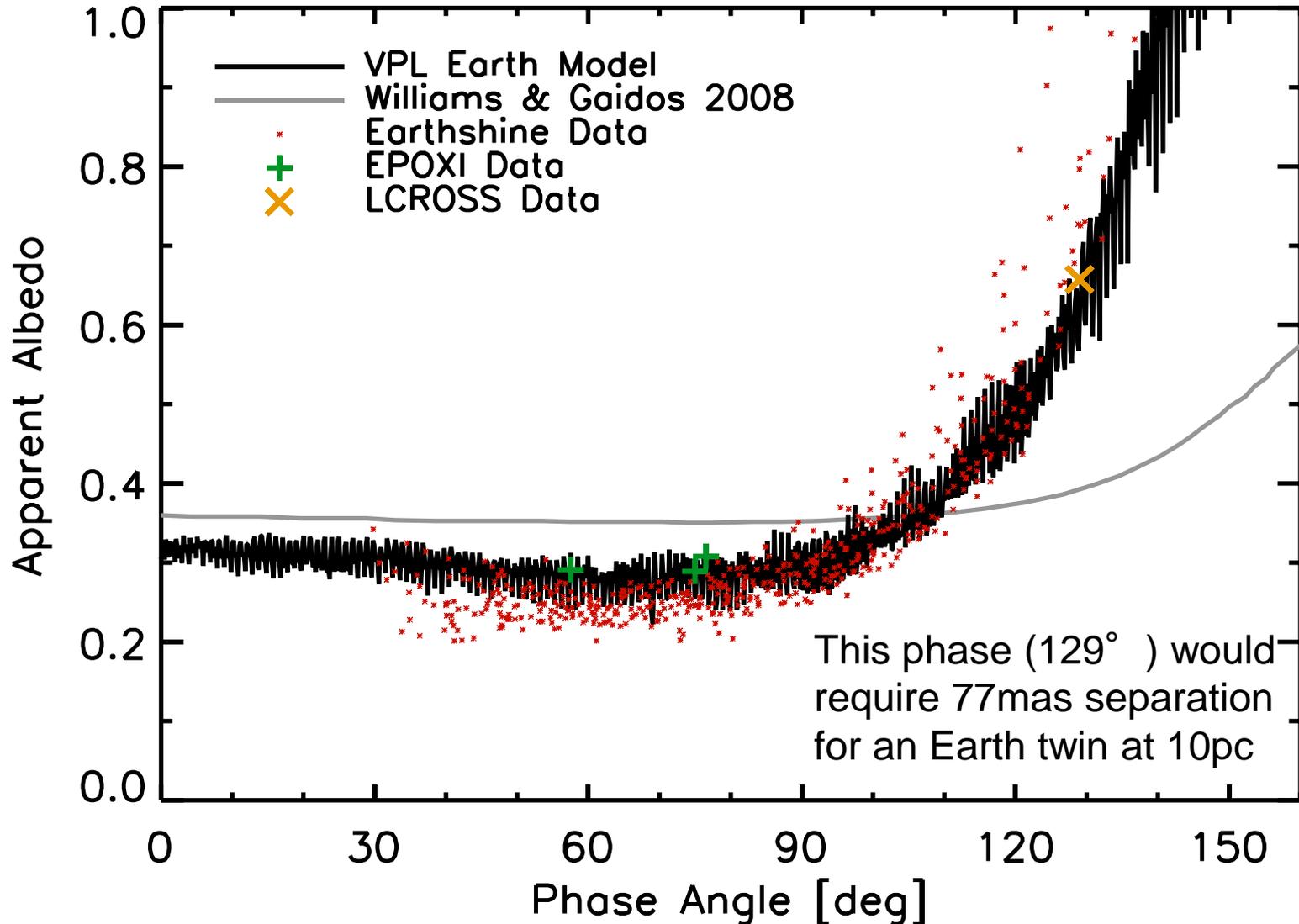


# Detecting Earth's Ocean via Glint



Images of the Earth taken with the LCROSS NIR2 camera (0.9-1.7 $\mu\text{m}$ ) and MIR1 camera (6-10 $\mu\text{m}$ )

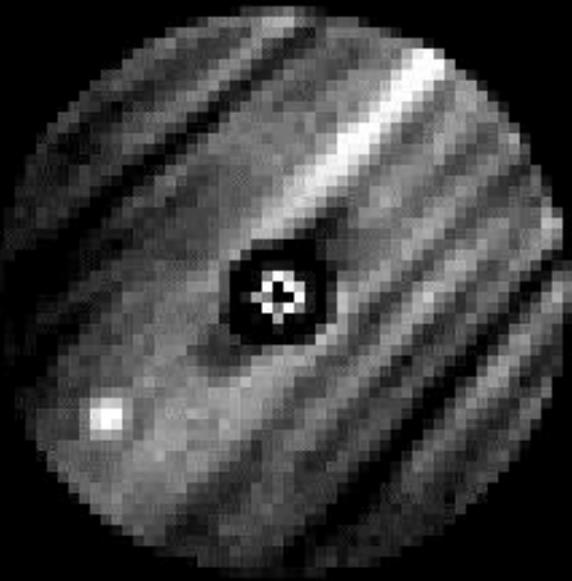
# Earth's Glint Most Detectable at Phases $> 90^\circ$



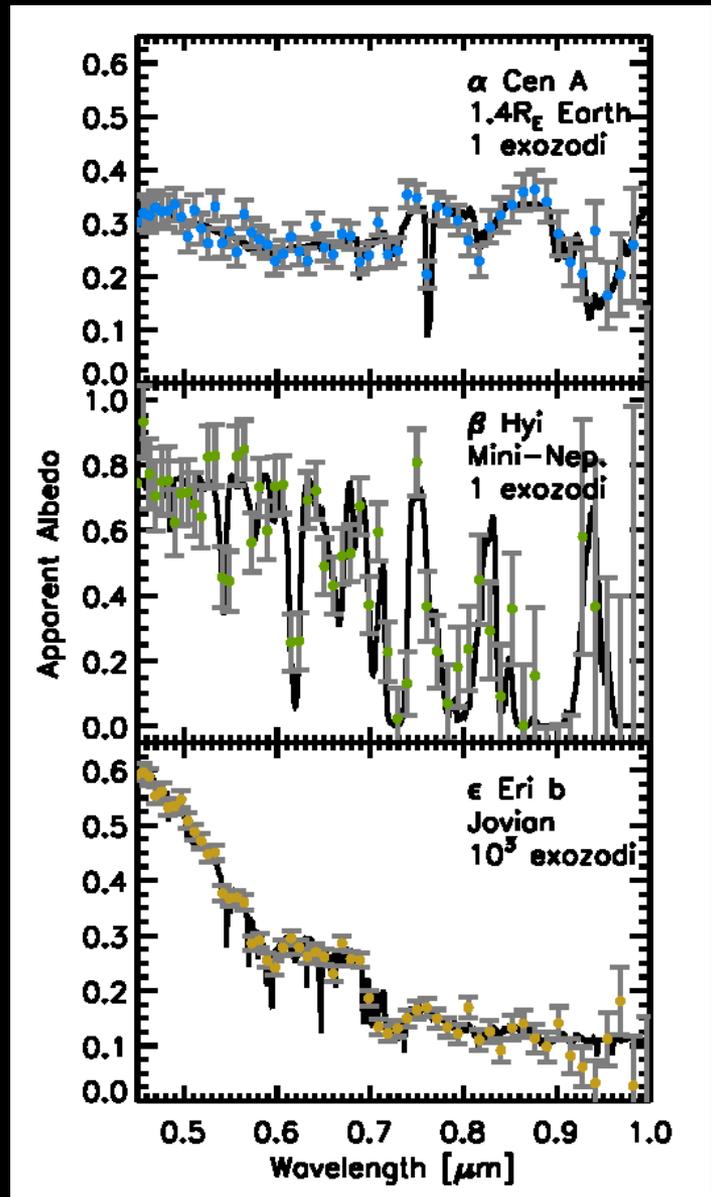
This phase ( $129^\circ$ ) would require 77mas separation for an Earth twin at 10pc

# Exo-C and Alpha Cen A

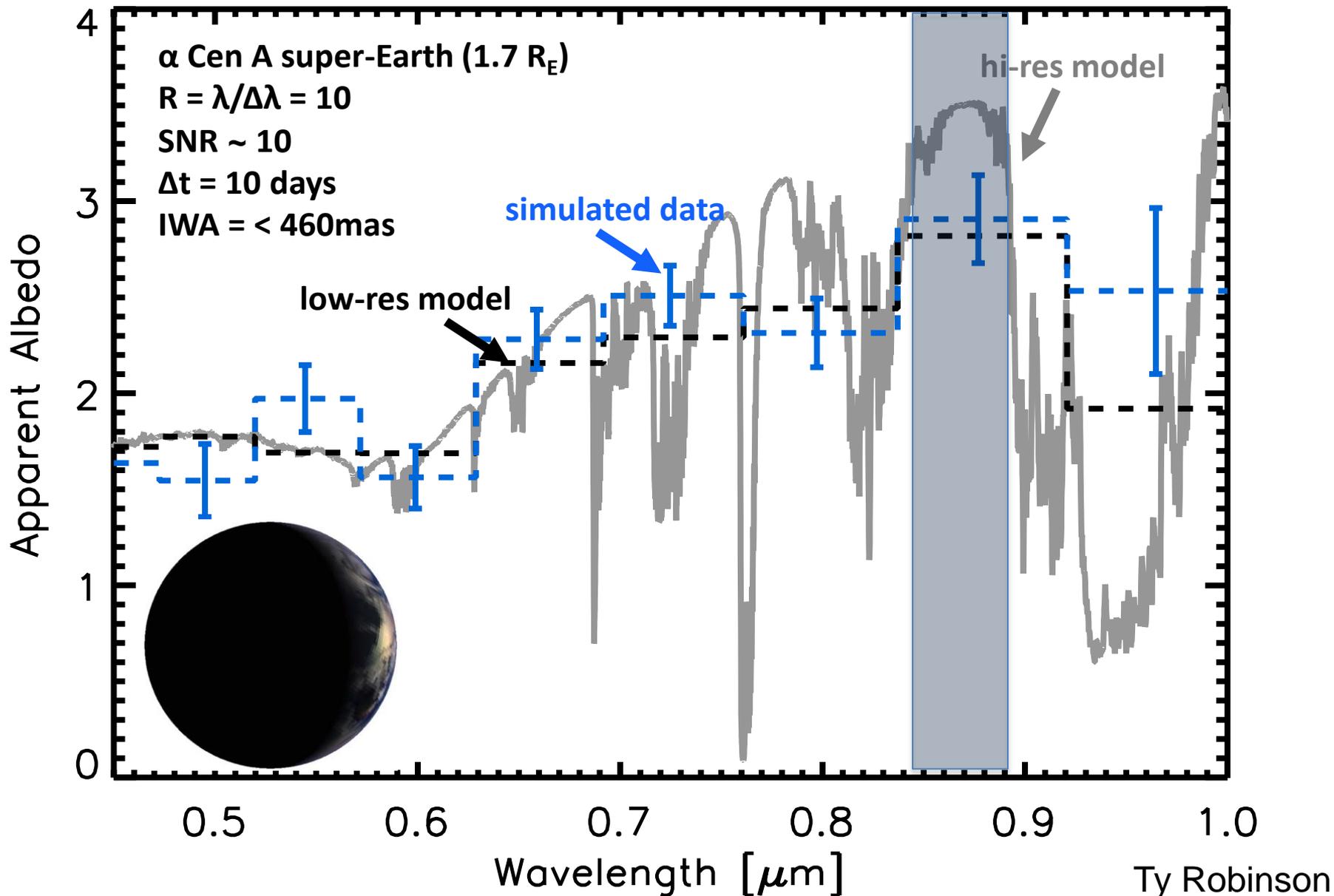
Simulated 5-day V band Exo-C exposure  
of an Earth analog in the habitable zone of  
□ Cen A

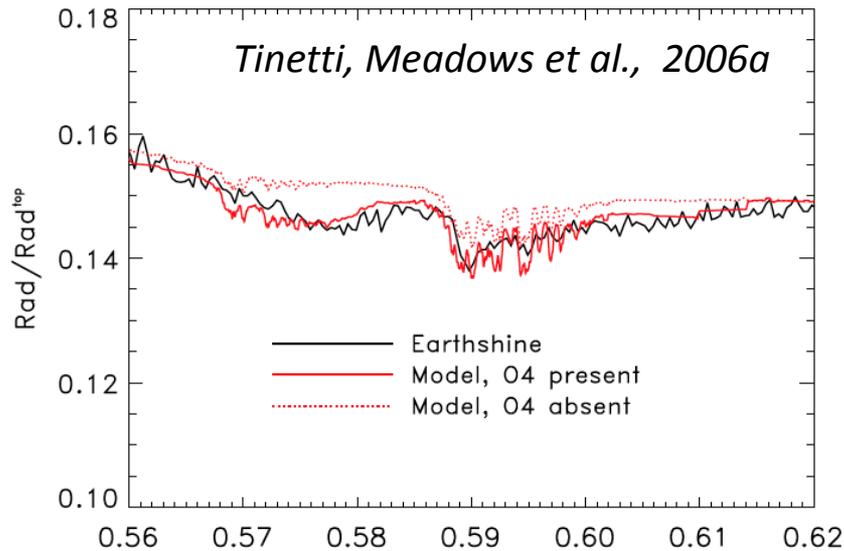


In 660 hrs of integration time we can get a spectrum

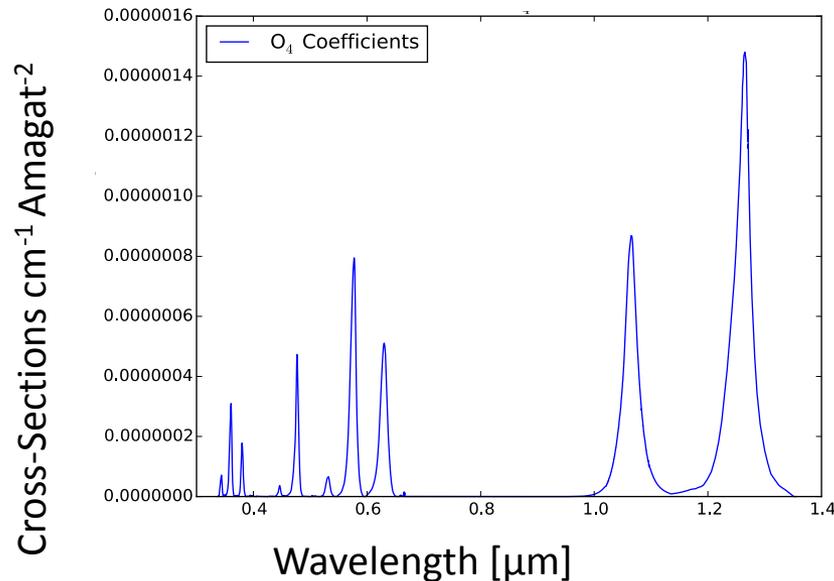


# Detecting Glint for Earth orbiting $\alpha$ -Cen A





## O<sub>4</sub> Dimers in the VIS/NIR



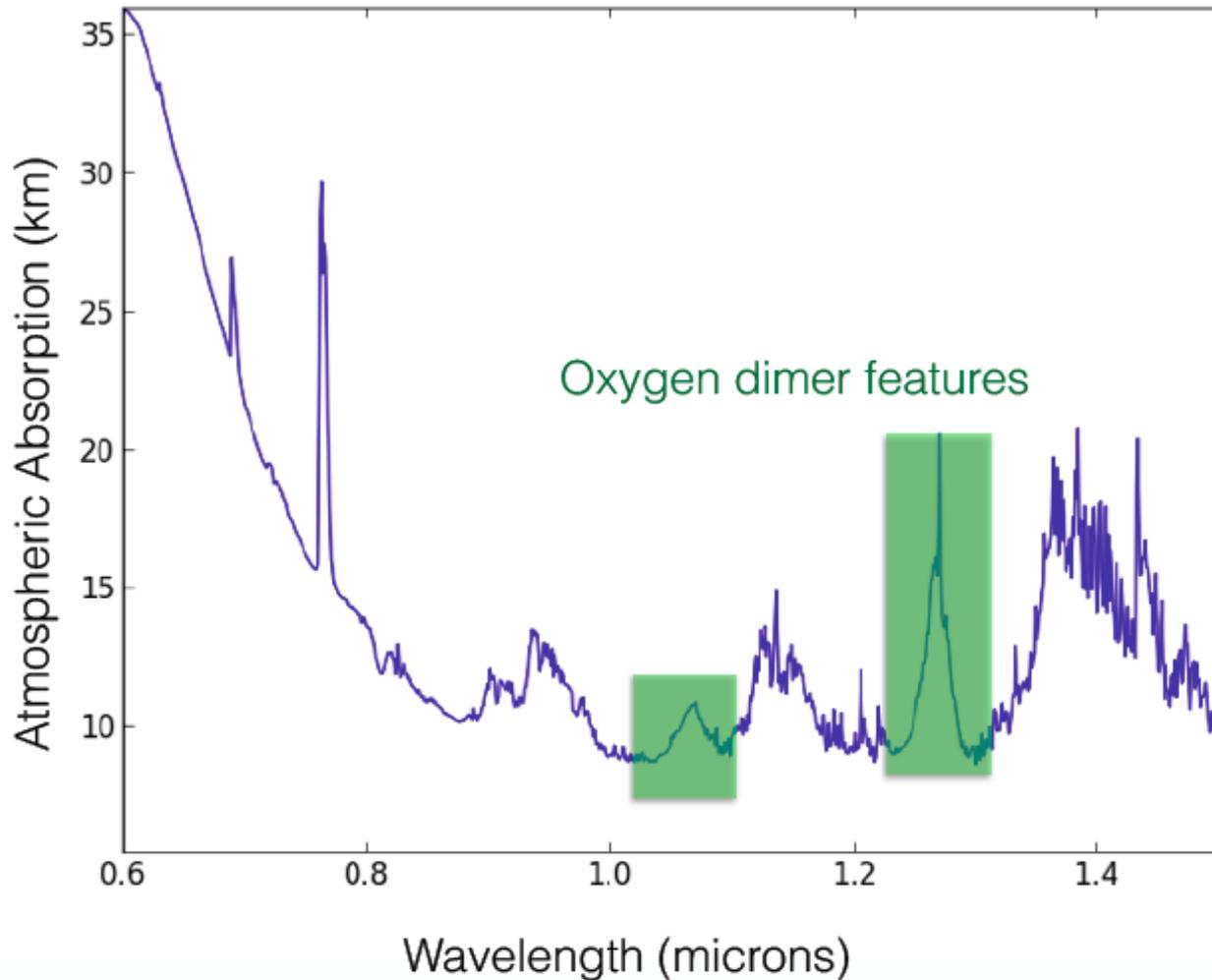
Dimer molecules are bound or quasi-bound states between two molecules (e.g. O<sub>2</sub>-O<sub>2</sub> or O<sub>4</sub>).

Monomer (O<sub>2</sub>) abs ~ density  
Dimer (O<sub>4</sub>) abs ~ density<sup>2</sup>

O<sub>4</sub> absorption is present in Earth's atmosphere (Tinetti et al., 2006; Palle et al, 2009), and would be much stronger in more massive atmospheres

Dimer bands for O<sub>2</sub> exist through the visible and NIR

# O<sub>4</sub> in Transit Transmission



Dimer absorption is more sensitive to pressure than the monomers (e.g. O<sub>2</sub>), so dimers could be used as pressure gauges.

JWST may be able to detect (SNR > 3) the 1.06μm O<sub>4</sub> and 1.27μm O<sub>2</sub> features for an Earth analog orbiting an M5 dwarf 5pc away.

IF we can get every transit in the mission lifetime or  
IF the sensitivity is better than expected.

# FINDING EXTRATERRESTRIAL LIFE USING GROUND-BASED HIGH-DISPERSION SPECTROSCOPY

I. A. G. SNELLEN<sup>1</sup>, R. J. DE KOK<sup>2</sup>, R. LE POOLE<sup>1</sup>, M. BROGI<sup>1</sup>, AND J. BIRKBY<sup>1</sup>

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Received 2012 October 8; accepted 2013 January 8; published 2013 February 5

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SNELLEN ET AL

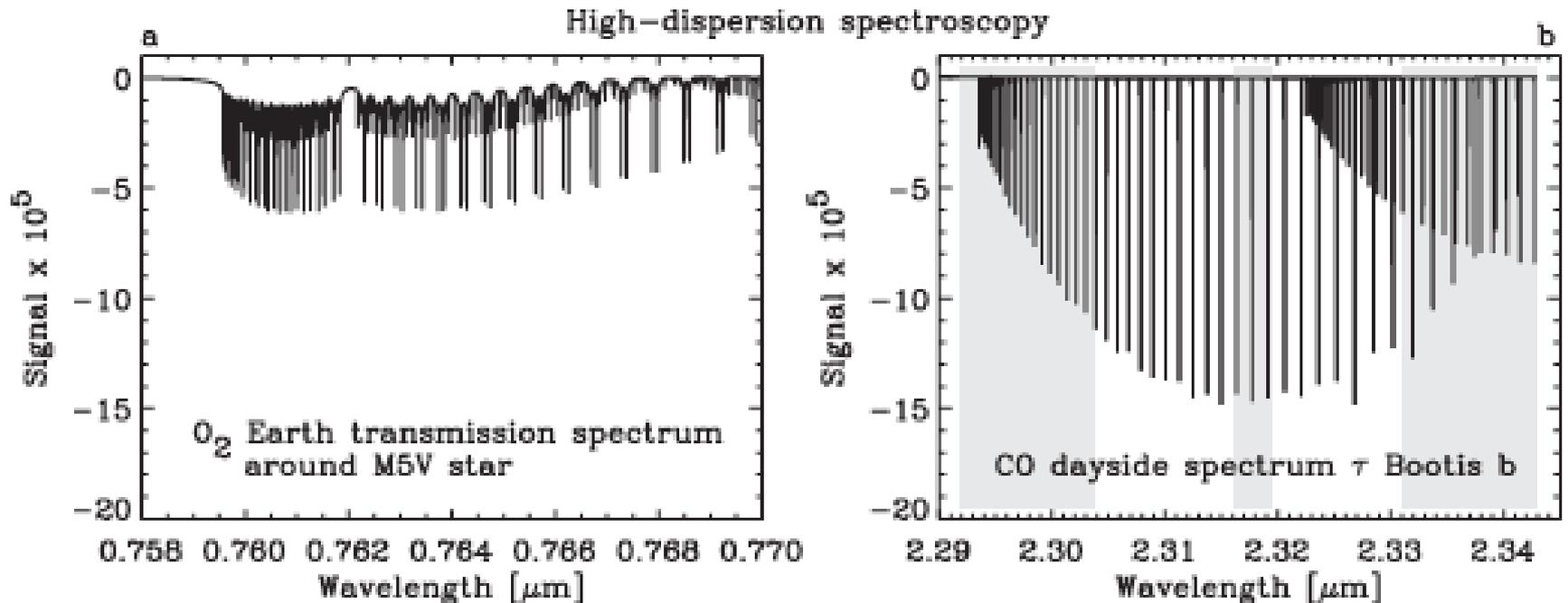
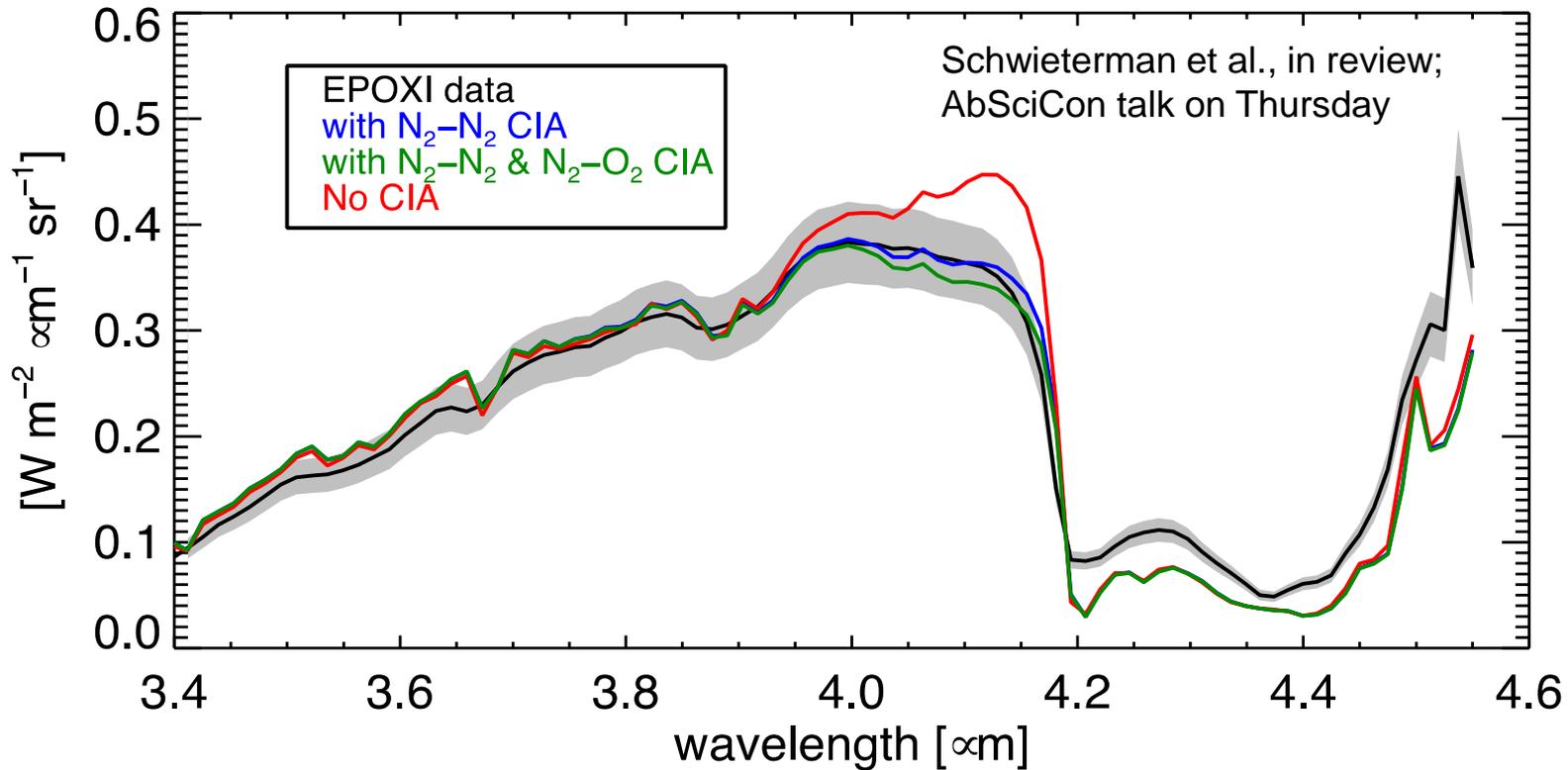


Figure 2. Left panel shows the simulated O<sub>2</sub> transmission signal for an Earth-twin transiting an M5 dwarf star. The wavelength range is centered on the oxygen 6 μm A band. The transit signal of the bulk of the planet is removed. The right panel shows the model spectrum that best fitted the CO detection in the dayside spectrum of the non-transiting hot Jupiter τ Bootis b (Brogi et al. 2012). The white areas are those wavelength ranges covered by the observations with the Very Large Telescope. It shows that the predicted O<sub>2</sub> transmission signal from an Earth-twin in the habitable zone of an M5 red dwarf star is only a factor of three lower than the signal detected by Brogi et al. (2012).

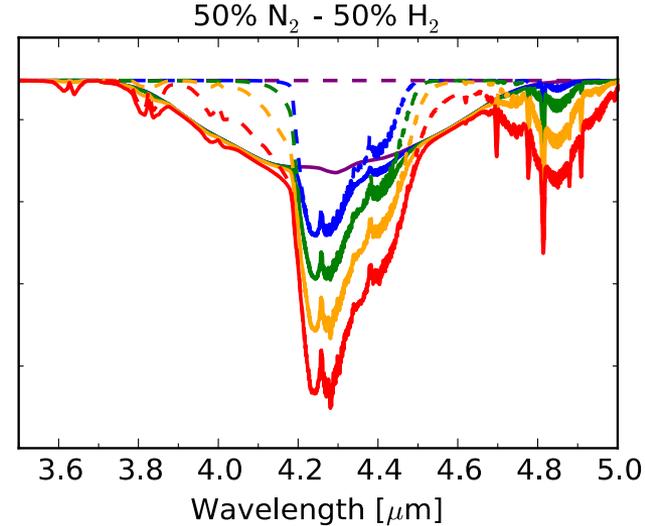
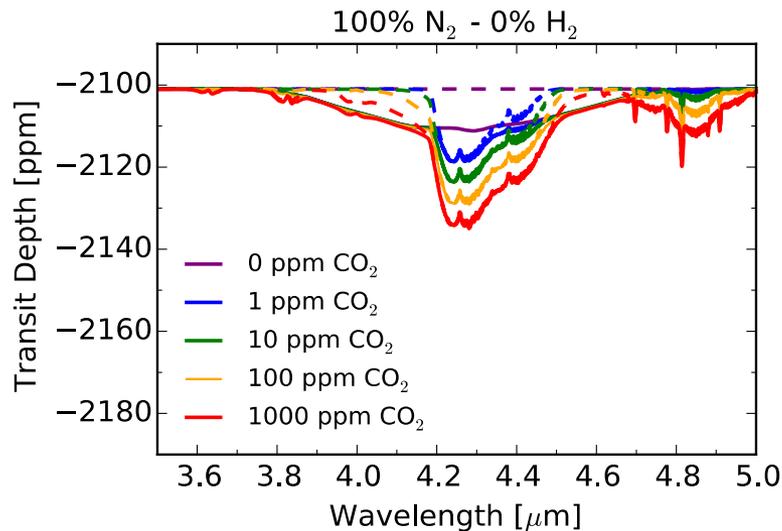
Searching for O<sub>2</sub> using high-resolution spectroscopy may be possible with ELTs

# (N<sub>2</sub>)<sub>2</sub> in Earth's Direct-Imaging Disk-Averaged Spec

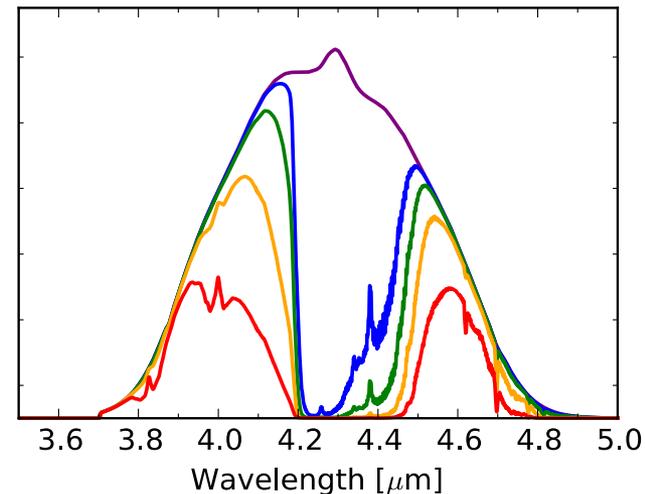
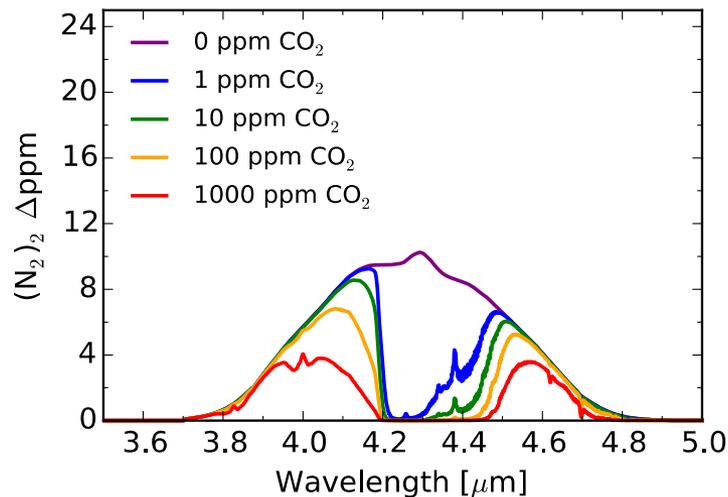


- The  $\text{N}_2\text{-N}_2$  dimer has been detected in EPOXI spectra of the Earth, but its detection in both direct imaging and transit transmission will likely be challenging.
- However, it could be used to determine a lower limit for surface P and help corroborate  $\text{O}_2$  as a biosignature.

# The $(N_2)_2$ Molecule in Transit Transmission



Schwieterman et al., in review;  
AbSciCon talk on Thursday!



For an  $N_2$  only atmosphere, the spectral transmission signal from  $(N_2)_2$  has a peak amplitude near 10 ppm, ( $\sim 3\text{ppm}$  for Earth-like levels of  $\text{CO}_2$ ). Increases to 20ppm for  $N_2/H_2$  atmospheres.

# False Positives for O<sub>2</sub> and O<sub>3</sub> Exterior to the HZ

## The Runaway Greenhouse Classic:

- O<sub>2</sub> buildup due to H<sub>2</sub>O photolysis during runaway greenhouse (Ingersoll 1969; Kasting 1988; Schindler and Kasting)
- O<sub>3</sub> buildup not possible until the odd H from H<sub>2</sub>O photolysis is removed (Schindler and Kasting, 2000; Leconte et al. 2013).

## Mars-like Object:

- Available sinks for O<sub>2</sub> would be small, as the planet is too small for volcanism and too cold for surface liquid water
- O<sub>2</sub> produced from H<sub>2</sub>O or CO<sub>2</sub> photolysis accumulates on a cold, dry planet (Schindler & Kasting, 2000)
- Mars' atmosphere contains 0.1% O<sub>2</sub> by volume – might be more if Mars more massive and resistant to O<sub>2</sub> loss (McElroy, 1972).



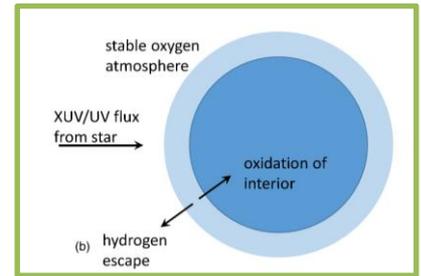
## Identifying these cases:

- Position at the edge of or exterior to the habitable zone.
- Strong Lack of H<sub>2</sub>O vapor in the spectrum for Runaway O<sub>3</sub> and Mars-like O<sub>2</sub>
- Very strong H<sub>2</sub>O in the spectrum for Runaway O<sub>2</sub>, until H<sub>2</sub>O lost to space.

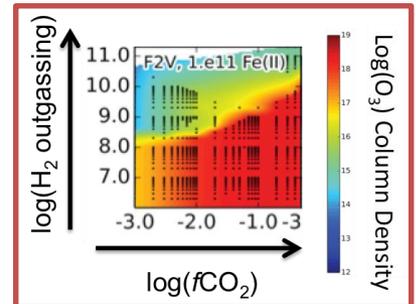
# False Positives For Habitable Zone Planets



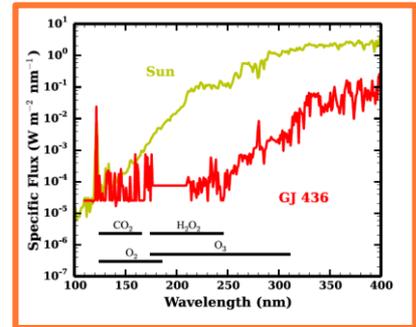
1. H Escape from Thin N-Depleted Atmospheres  
(Wordsworth & Pierrehumbert 2014)



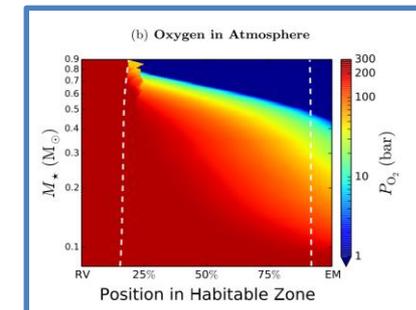
2. Photochemical Production of  $O_2/O_3$  (Domagal-Goldman, Segura, Claire, Robinson, Meadows 2014)



3.  $O_2$ -Dominated Post-Runaway Atmospheres from XUV-driven H Loss (Luger & Barnes 2014)



4.  $CO_2$  Photolysis in Dessicated Atmospheres (Gao, Hu, Robinson, Li, Yung, 2015)



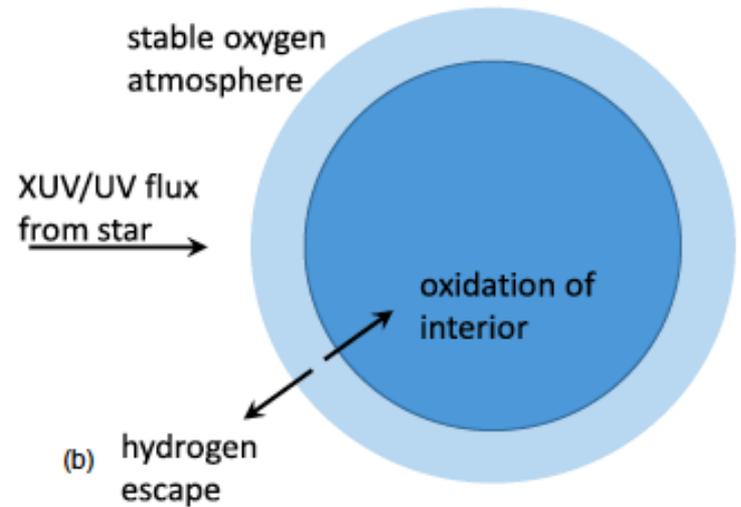
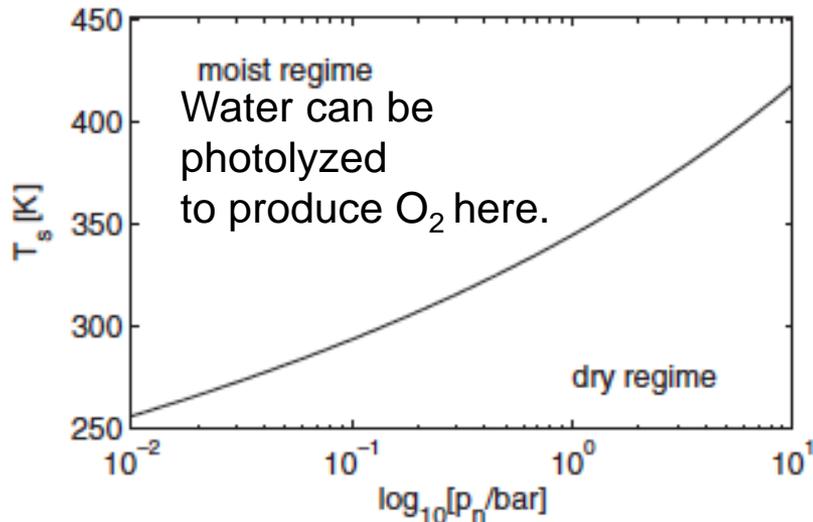
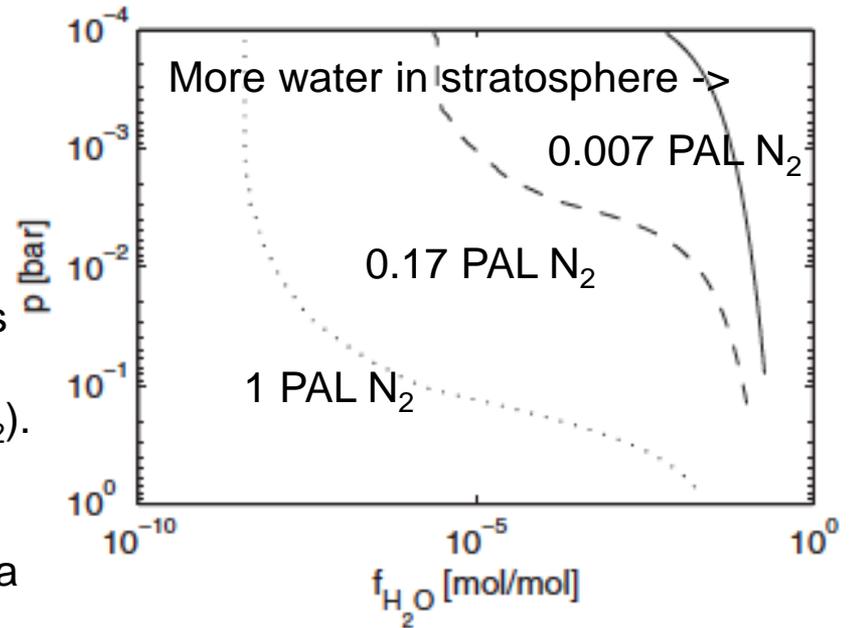
# H Escape in N Depleted Atmospheres

**O<sub>2</sub>-dominated atmospheres form abiotically around stars of any spectral type**

(Wordsworth and Pierrehumbert 2014)

The cold trap mechanism that protects H<sub>2</sub>O on Earth from photolysis is ineffective when the temperature is high or when the atmospheric inventory of non-condensing gases (e.g., N<sub>2</sub>, Ar) is low (< 0.01 PAL N<sub>2</sub>).

A self-regulating mechanism (surface oxidation balanced by H escape and increasing O<sub>2</sub> serving as a non-condensing gas) **gives 0.15 bars O<sub>2</sub>** for an otherwise Earth-like atmosphere.

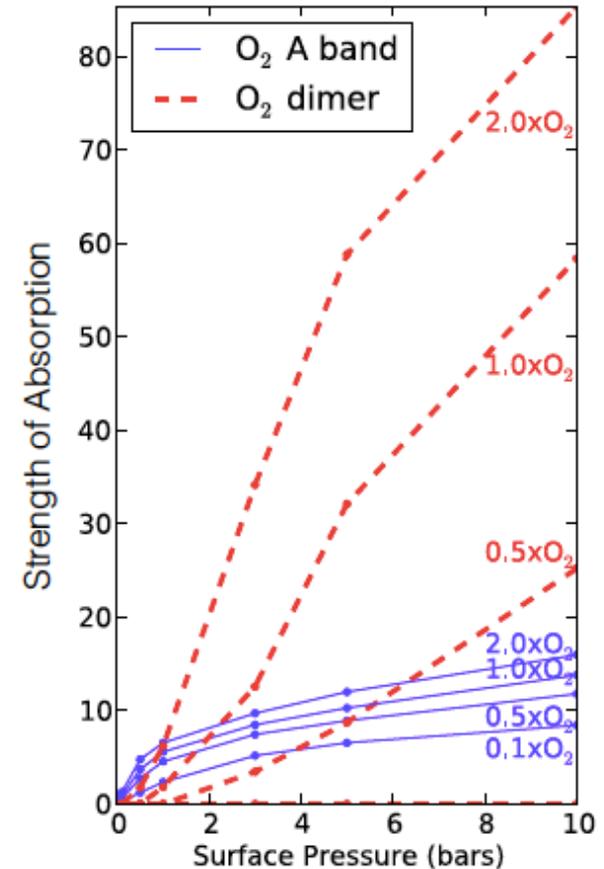
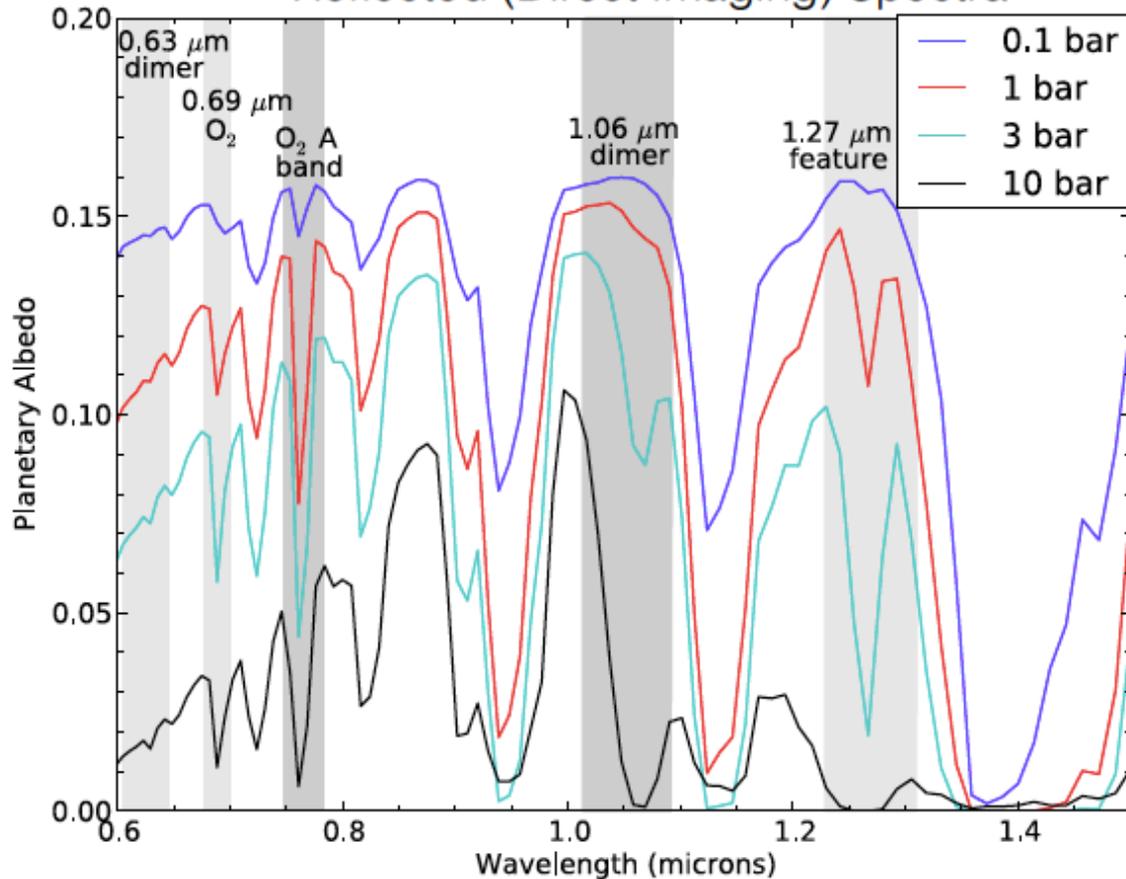


- Need to set limits on non-condensable gas abundance and understand  $N_2/O_2$  abundance.
- $N_2$  possibly detected via  $N_2-N_2$  (4.0-4.2 $\mu$ m).
  - Not impossible, but definitely challenging!
  - Easier in atmospheres with high H fraction.
- $O_2-O_2$  collisional pairs may also provide limits on the the partial pressure of the  $O_2$  component.
  - However, equilibrium value is close to 0.2 bars  $O_2$ !

# Dimer Molecules as Pressure Indicators



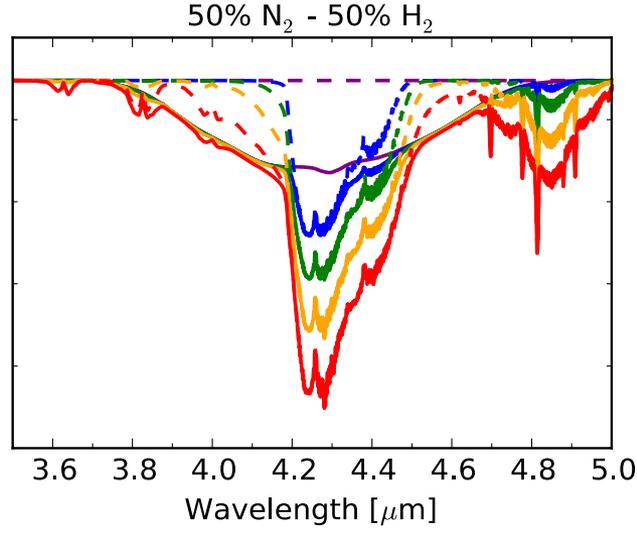
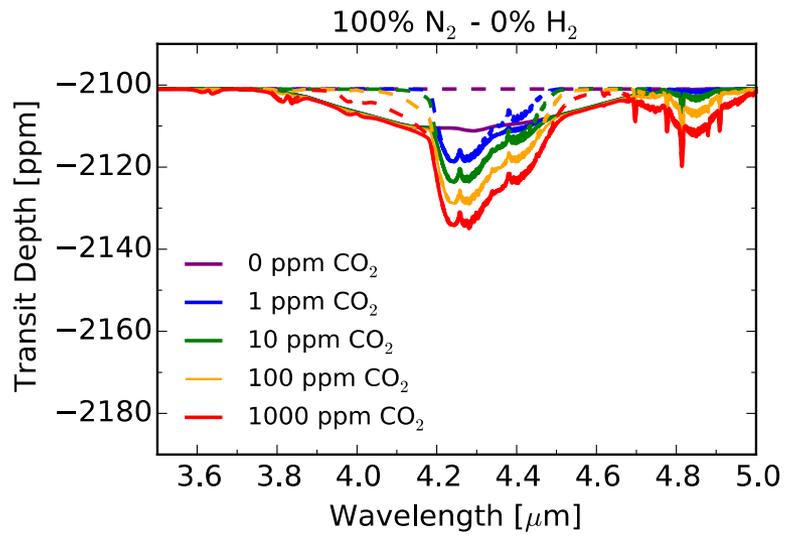
### Reflected (Direct Imaging) Spectra



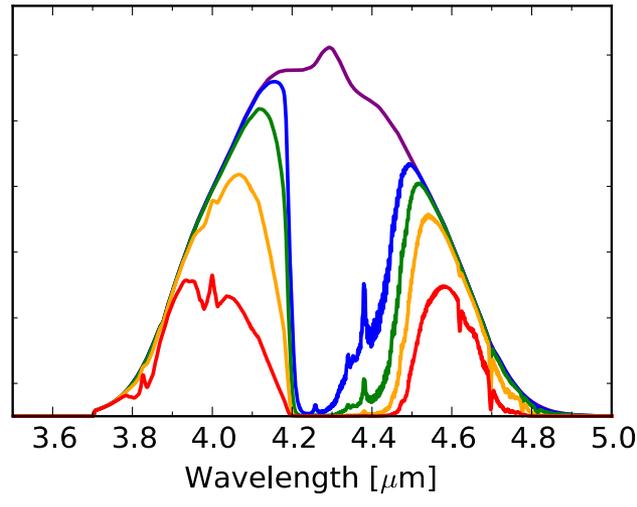
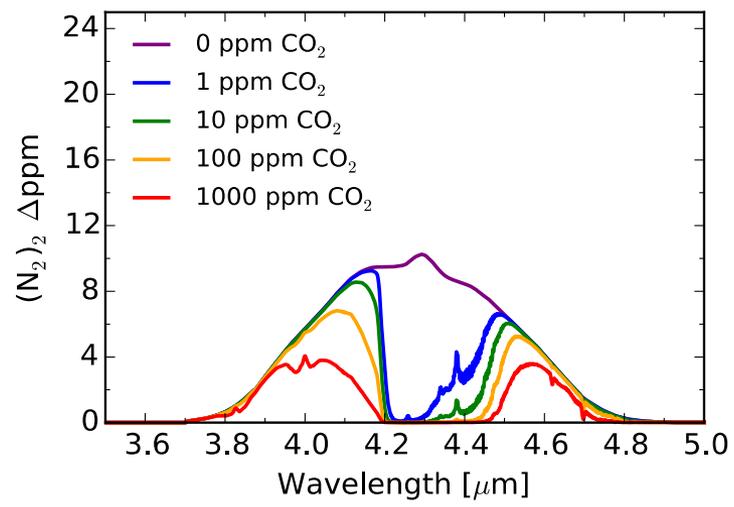
Misra, Meadows, Claire, Crisp (2014).

For transit transmission, JWST may be able to detect the 1.06 and 1.27 μm (5.2-sigma) dimer features for a (cloud-free) Earth analog orbiting an M5V star at 5pc, if every single transit it observed! The oxygen A band would likely not be detectable (1.1-sigma), even in the cloud-free case.

# The $(N_2)_2$ Molecule in Transit Transmission



Schwieterman et al., in review;  
AbSciCon talk on Thursday!



For an  $N_2$  only atmosphere, the spectral transmission signal from  $(N_2)_2$  has a peak amplitude near 10 ppm, ( $\sim 3$  ppm for Earth-like levels of  $CO_2$ ). Increases to 20 ppm for  $N_2/H_2$  atmospheres.

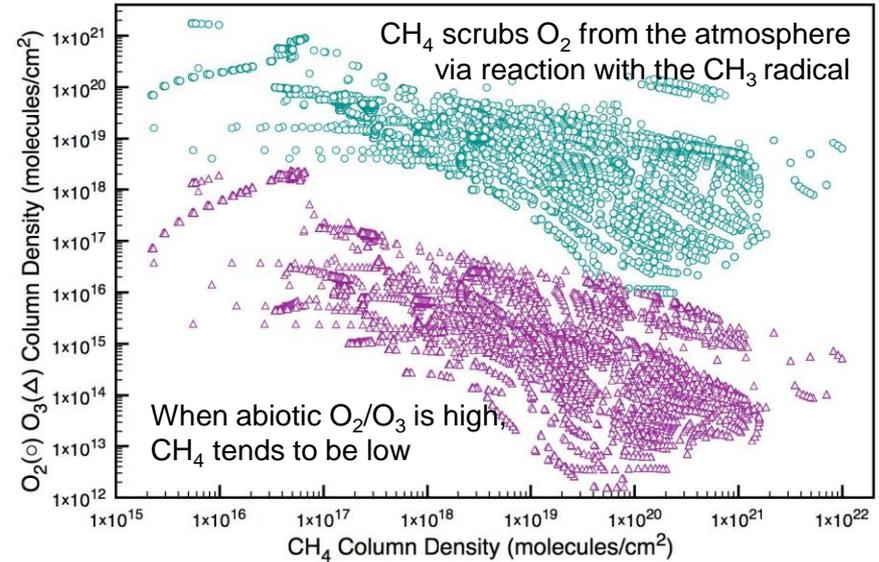
# Generation of Abiotic $O_2/O_3$ on Planets with Oceans



**Host star UV flux and planetary redox state may produce detectable levels of  $O_2$  and/or  $O_3$  abiotically.**

- FUV radiation photolyzes  $CO_2$  and  $O_2$  and produces  $O_3$ ,
- MUV radiation destroys  $O_3$
- If FUV exceeds MUV (as it can do for F and M dwarfs),  $O_3$  can be abiotically produced.

**Detectable  $O_3$  and  $CH_4$  may be possible around M dwarfs:** Build up of  $O_3$  from photolysis of  $CO_2$ , for planets with high incident FUVs (Domagal-Goldman et al., 2014).

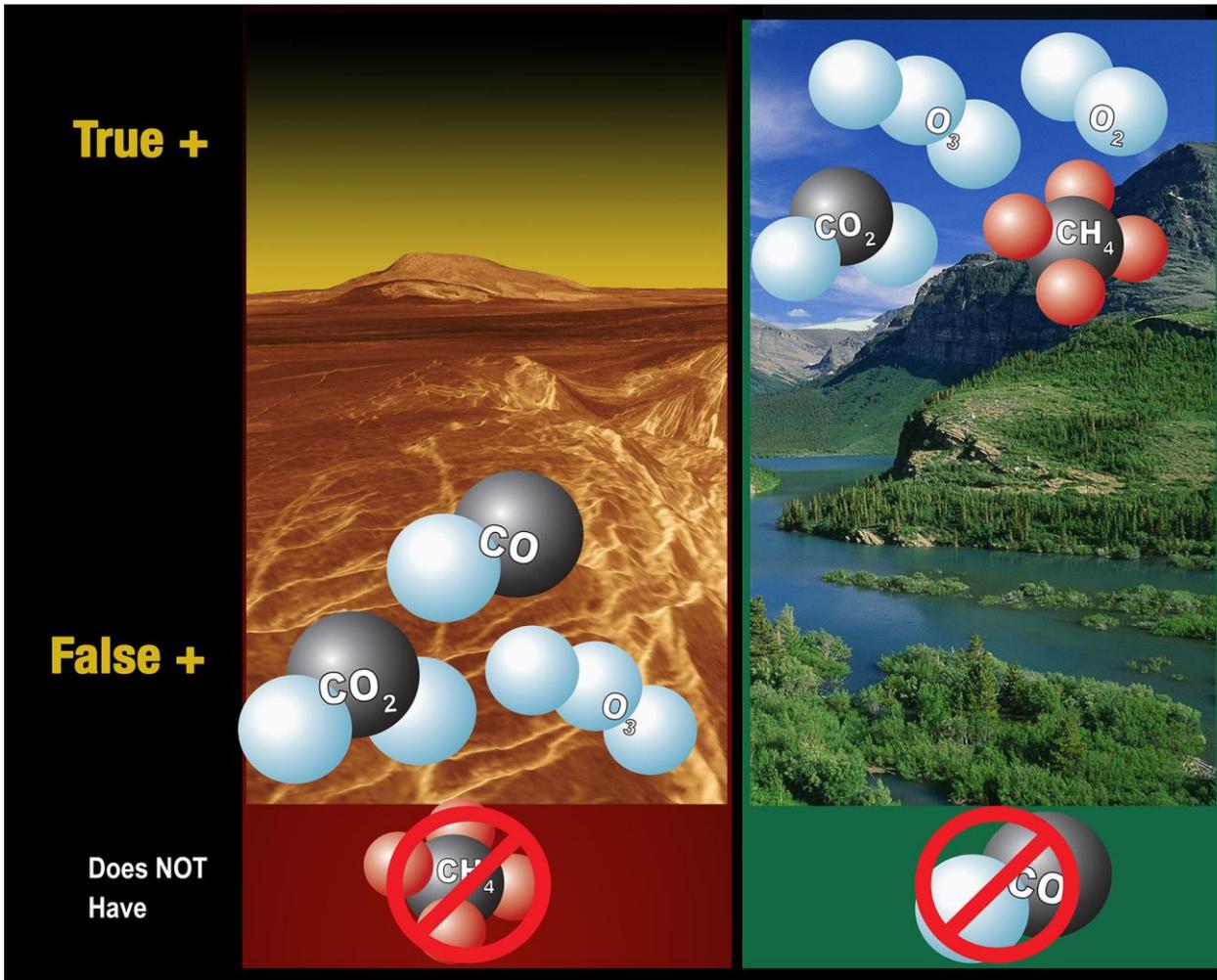


Domagal-Goldman, Segura, Claire, Robinson, Meadows, 2014

**High  $O_2$  around M dwarfs:** Build-up of  $O_2$  in  $CO_2$ -rich atmospheres for M star planets, due to elevated XUV/NUV ratios (Harman et al., 2015)

These processes are highly sensitive to boundary conditions including sinks for CO (aqueous chemistry), which is still poorly understood (Lyons – UCR NAI Team).

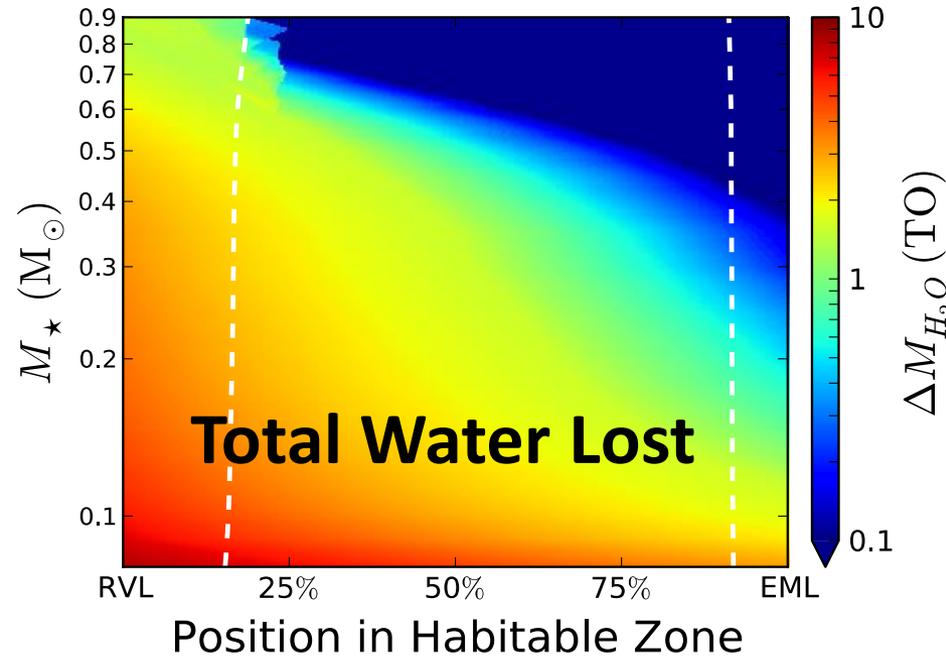
# Distinguishing False Positives for Abiotic $O_2/O_3$



Detecting and quantifying other gases such as  $O_2$ ,  $CO$  and  $CH_4$  could help assess the planetary redox state and discriminate between biological and abiological sources.

Planetary atmospheres with  $O_2$  from photolysis are more likely to show a lack of methane or the presence of  $CO$

# The Super-luminous Phase and Abiotic O<sub>2</sub> Production

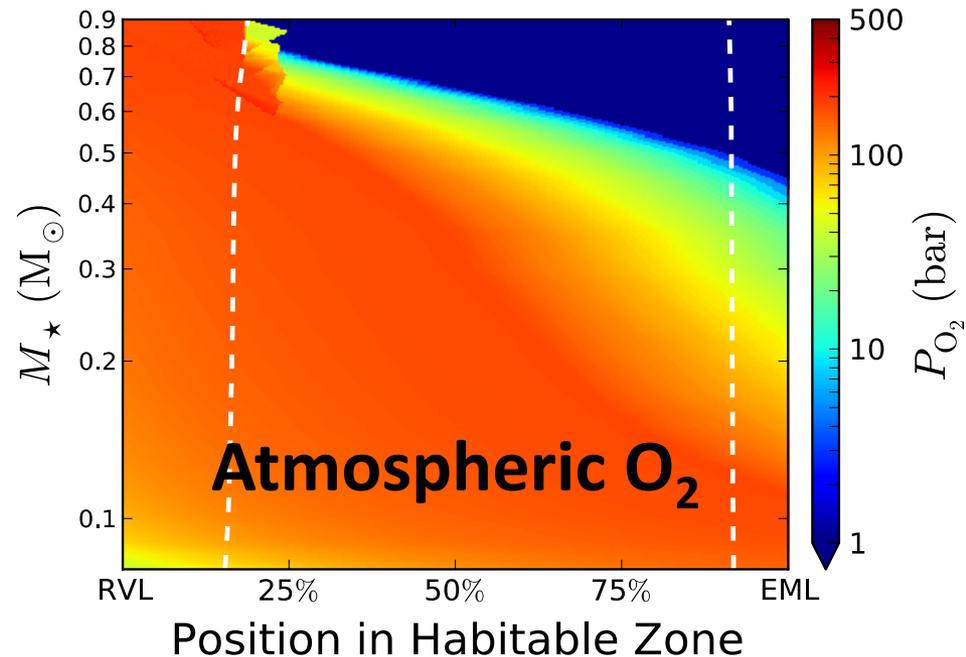


Terrestrial planets can lose several Earth oceans of water via hydrodynamic escape during the PMS “super-luminous” phase of M dwarfs.

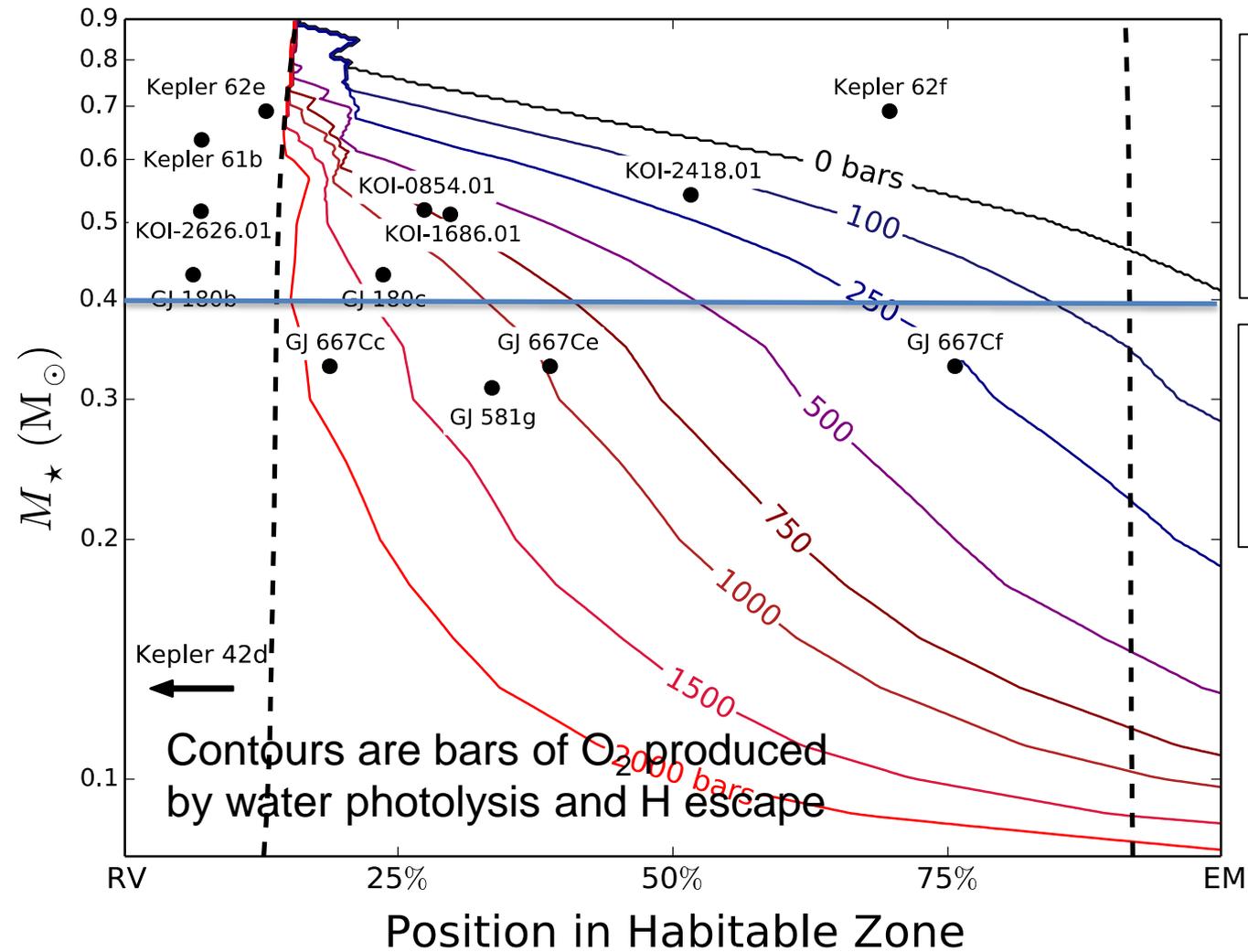
Luger & Barnes (2015)

Depending on the strength of surface sinks, several hundreds of bars of photolytically-produced O<sub>2</sub> can potentially build up in the atmospheres of these planets.

Luger & Barnes (2015)



# Early Atmospheric Loss for M dwarf planets



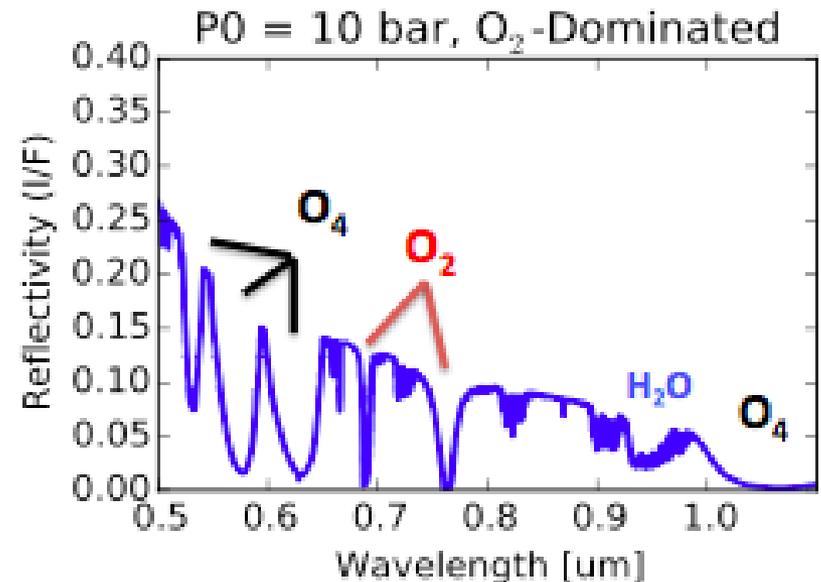
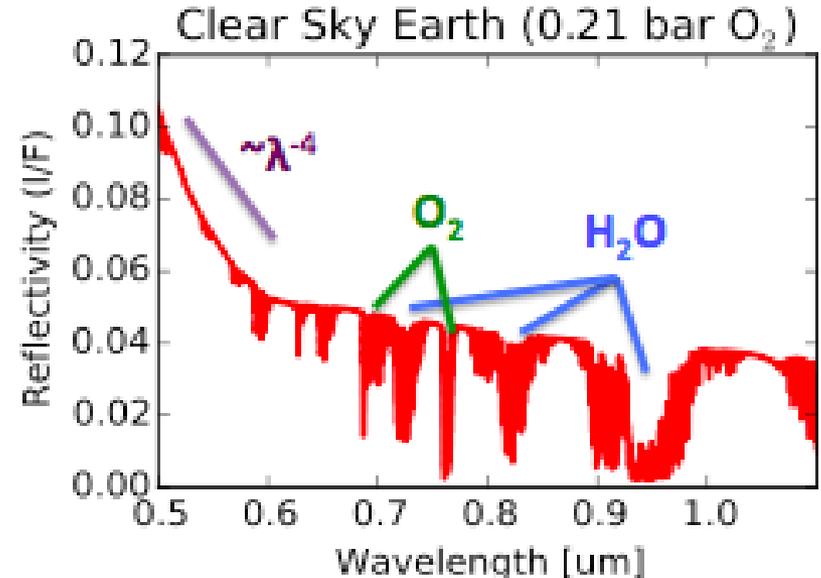
**This extra pre-MS luminosity can last for up to a billion years and could dessicate planets formed in the habitable zone of low mass stars within the first 100 Myr**

**THE PUNCHLINE:** Planets orbiting stars above a stellar mass of  $\sim 0.4$  are less likely to experience this phenomenon, especially towards the outer edge of the HZ.

# Recognizing Abiotic O<sub>2</sub> Production from Massive H<sub>2</sub>O Loss

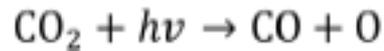


- Likely significant for the later type M dwarfs ( $M_* > 0.4 M_{\odot}$ )
- In transit transmission O<sub>4</sub> in the near infrared may be significant.
- In direct imaging, would expect strong bands from the visible wavelength O<sub>4</sub> bands.
- H<sub>2</sub>O may be significantly depleted.

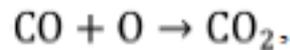
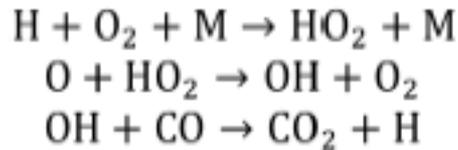


# Generation of Abiotic O<sub>2</sub>/O<sub>3</sub> on Planets without Oceans

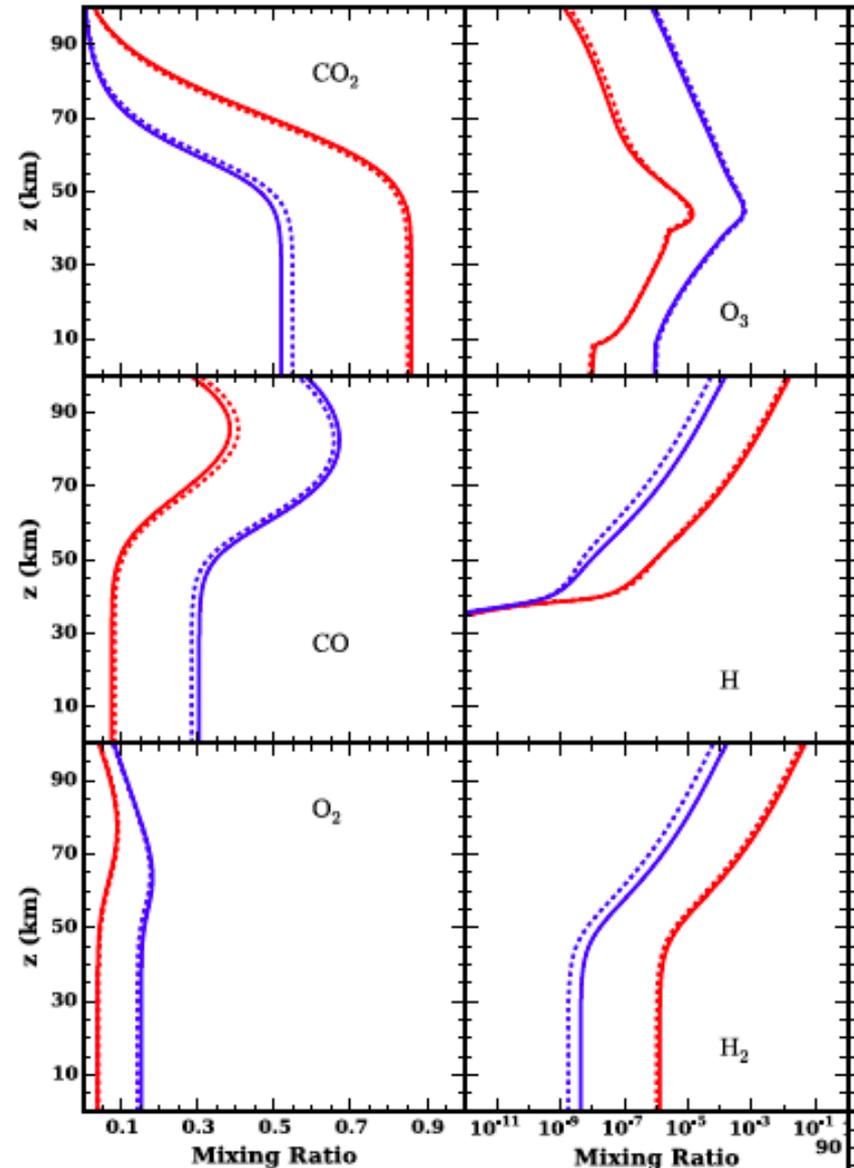
- **High O<sub>2</sub> and O<sub>3</sub> for dessicated planets orbiting M dwarfs**
- Around Sun-like stars CO<sub>2</sub> photolysis by FUV



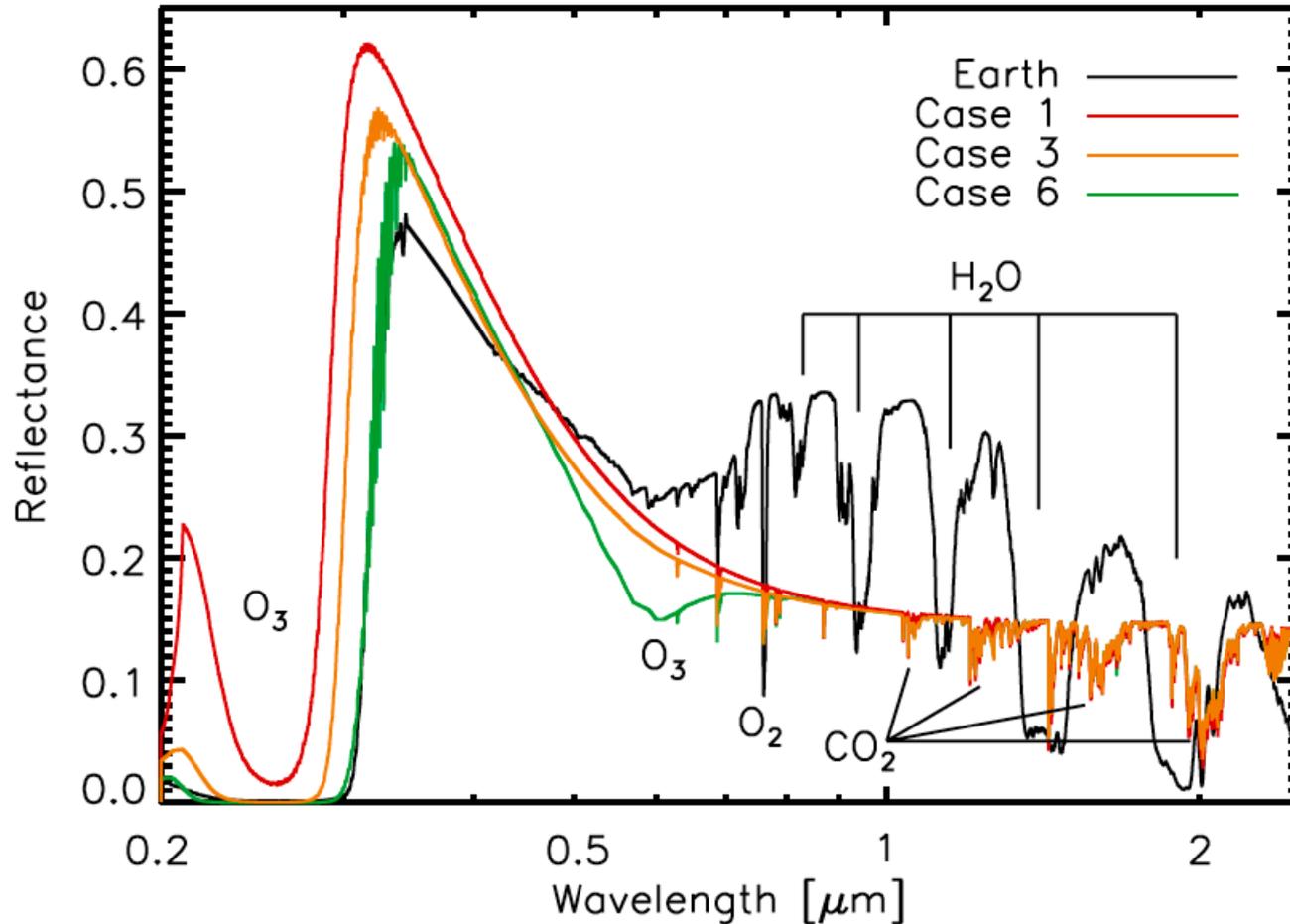
- is balanced by recombination reactions that depend on water abundance.



- For HZ M dwarf planets dessicated by pre-MS runaway (atmospheric H < 1ppm) there could be a build up of Earth-like quantities (mixing ratio 0.15) of O<sub>2</sub> as up to 50% of the atmospheric CO<sub>2</sub> is destroyed.



# Recognizing Abiotic $O_2/O_3$ on Planets without Oceans



Both  $O_2$  and  $O_3$  may be present.

Look for absence of  $H_2O$  and presence of  $CO_2$

# General Summary



- We now know that abundant oxygen or ozone in an atmosphere could be produced by several abiotic mechanisms, including photolysis and atmospheric escape.
- By better understanding these mechanisms we can choose optimal targets and design better measurements to guard against false positives.
- Understanding the host star's UV spectrum will improve our understanding of the photochemistry of the planetary atmosphere and help in identifying abiotic and biological sources for atmospheric gases.

# Impact for Transit Transmission



- JWST and EELTs – observations of  $O_2$  or even  $O_4$  will be extremely challenging
  - $O_2$  (0.76 $\mu$ m) and visible  $O_4$  (0.4-0.7) obscured by Rayleigh scattering, so NIR  $O_4$  (1.06 & 1.27 $\mu$ m) possibly more detectable.
- Detectability of  $CH_4$ ,  $CO_2$ ,  $H_2O$  and  $N_2$  (4-4.2 $\mu$ m) important, not just  $O_2$  or  $O_4$ .
- Recommend earlier type M dwarf targets ( $> 0.4 M_{\odot}$ ) to minimize the possibility of false positives from pre-MS runaway.
- Glint (phase curve) desirable to confirm habitability, and disequilibrium biosignatures - but highly unlikely to be detectable for HZ planets with JWST (Cowan et al., 2015).
- With a big enough telescope, refraction may allow temporal resolution to provide atmospheric altitude resolution. Photochemical  $O_2$  will be concentrated in the upper atmosphere: biological  $O_2$  near the surface.

# Impact for Direct Imaging



- Glint should be sought to help confirm habitability, disequilibrium biosignatures, and to identify whether aqueous reactions may affect the atmospheric composition.
  - Relatively “easy” photometric measurement at multiple phases.
- Will likely avoid late M dwarf targets, thereby removing several of the potential false positive mechanisms.
- You can get O<sub>4</sub> “for free” in the visible region (0.4-0.6μm)
- To guard against false positives, need to be able to measure CH<sub>4</sub>, CO<sub>2</sub> primarily, and CO and N<sub>2</sub> if possible.



# The Virtual Planetary Laboratory

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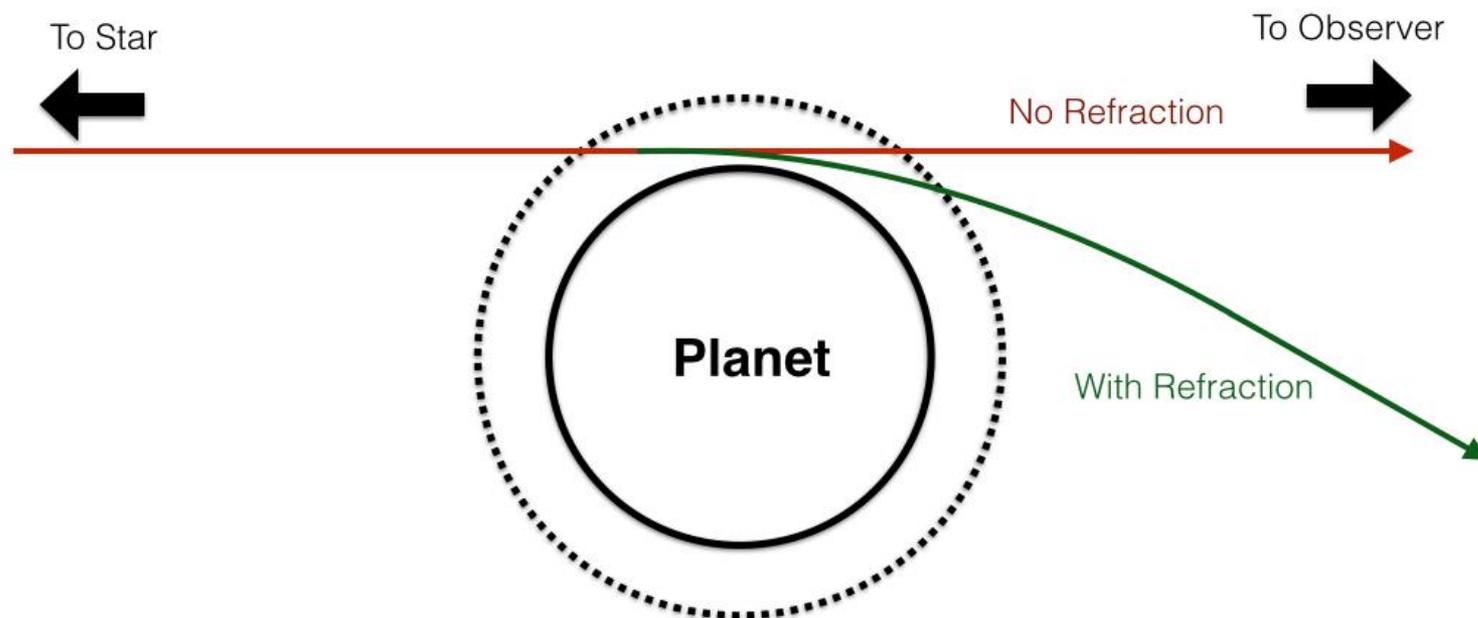
Yuk Yung (Caltech)

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# Backup Slides

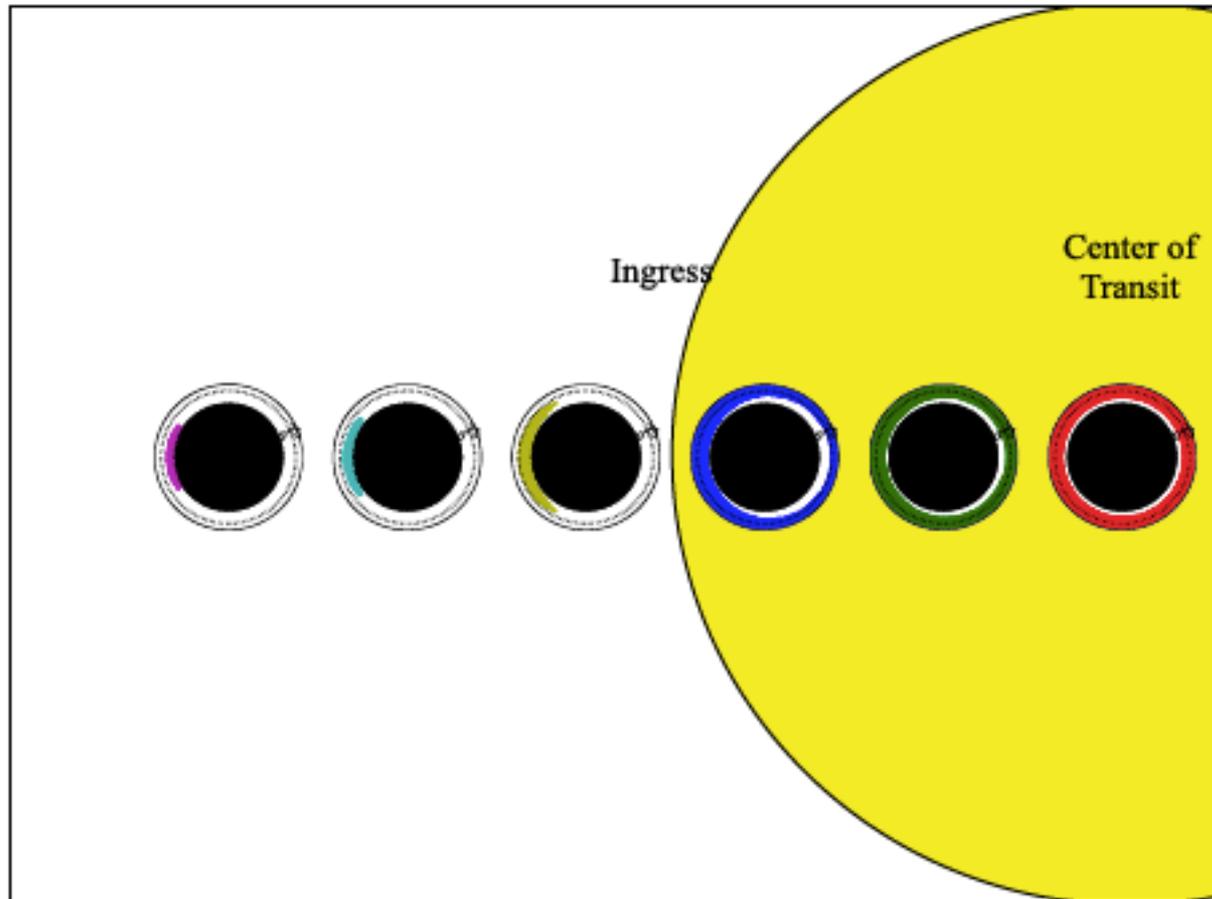
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## Refraction in Transit Transmission Spectra

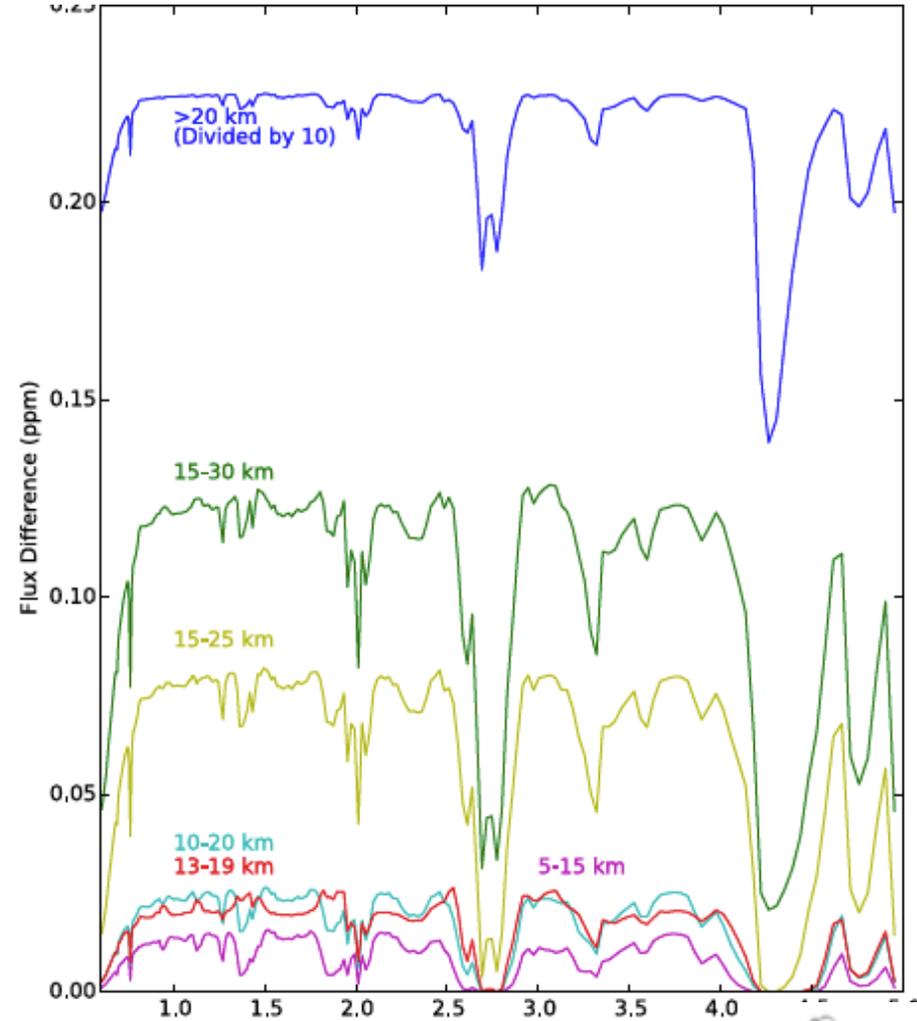
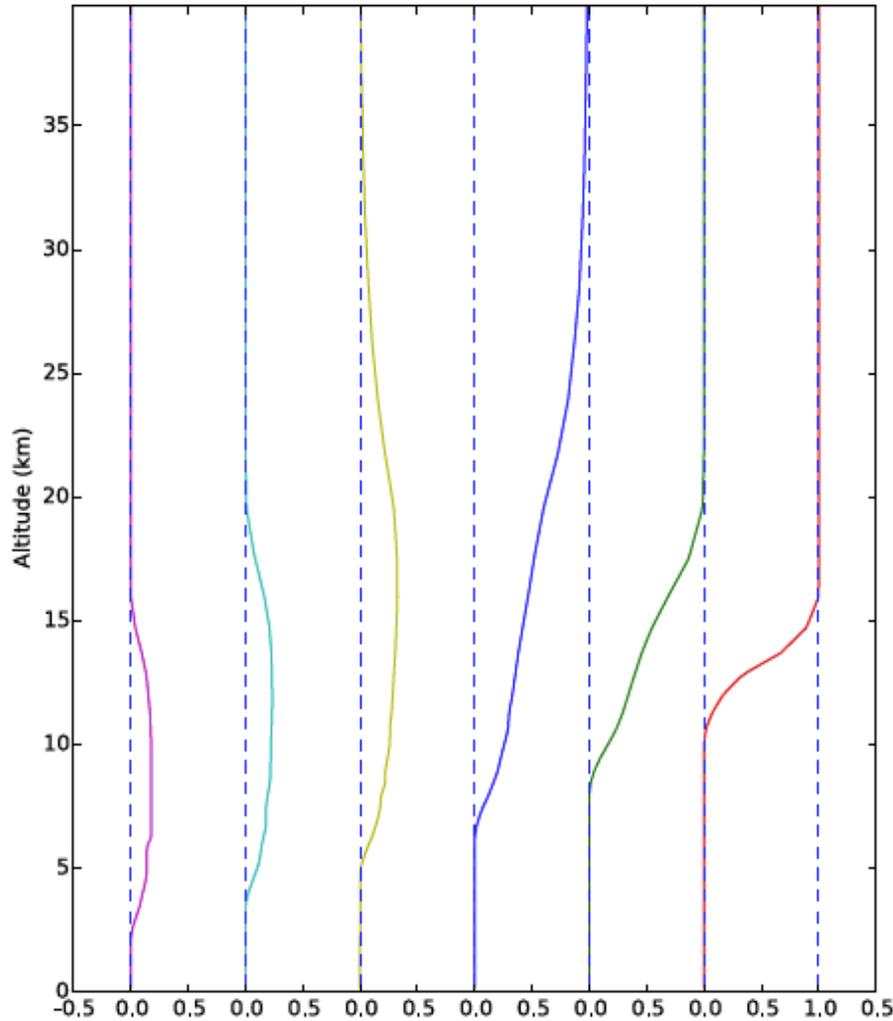


**For every planet/star system, there will be a maximum pressure (or minimum altitude) that can be probed because the refraction angle will be too large**

# Refraction as a probe of vertical structure

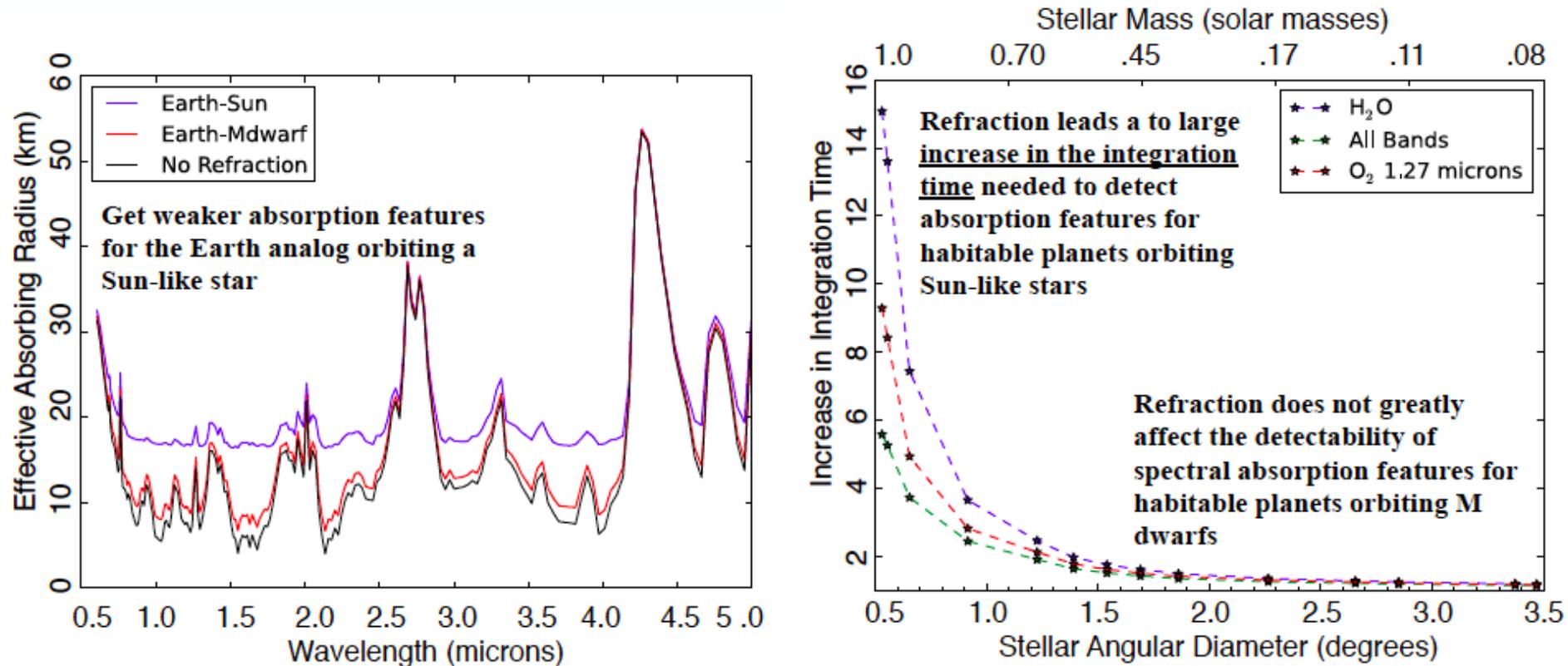


# Different atmospheric levels probed give vertical structure



Misra, Meadows and Crisp, 2014.

# Refraction Reduces Spectral Features



For planets in the habitable zone of their parent stars refraction does not affect detectability of spectral absorption for M dwarf planets, but does for G dwarf planets.