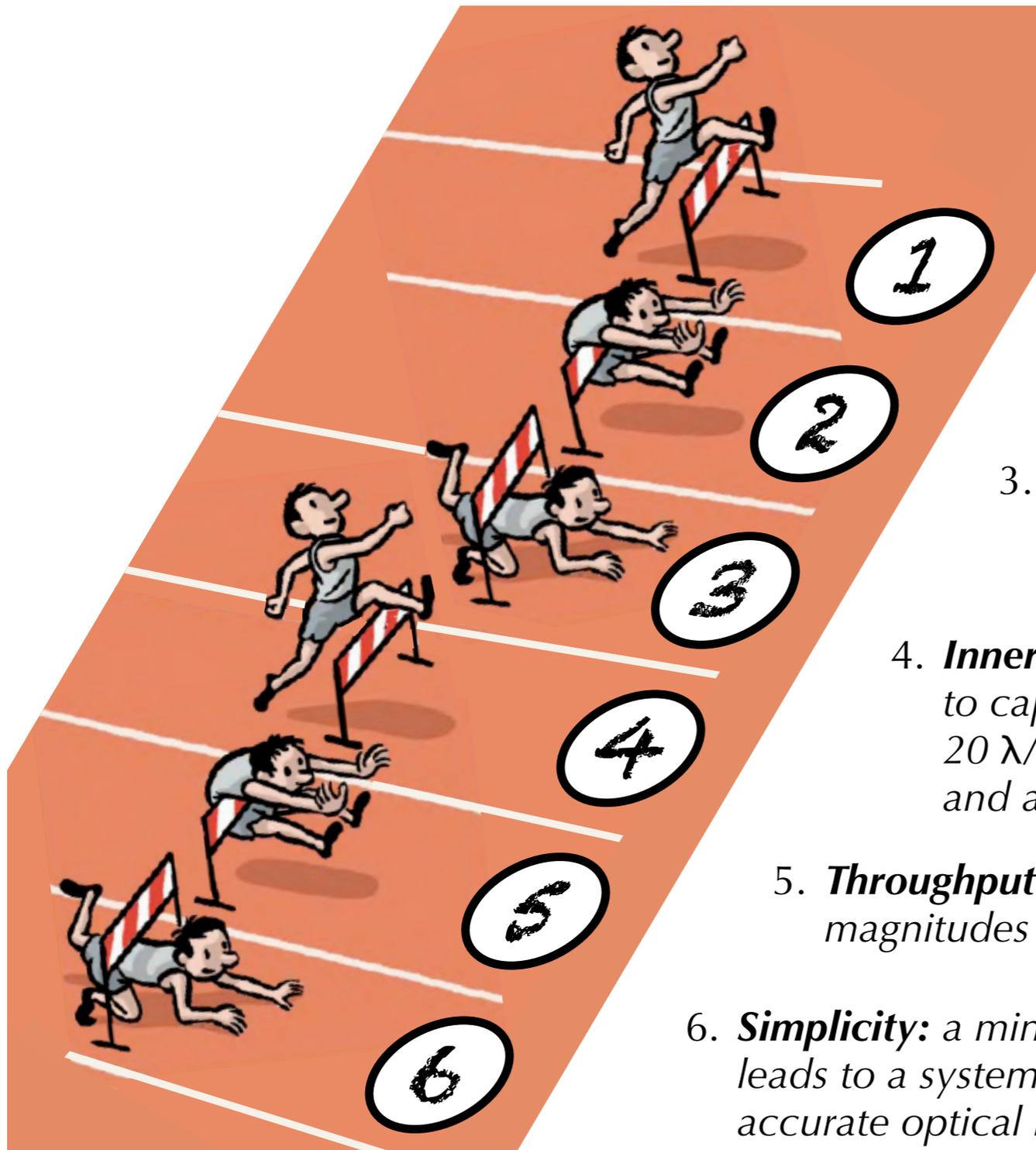


# *The hybrid Lyot coronagraph for the AFTA telescope*

*John Trauger, Dwight Moody, Brian Gordon  
Jet Propulsion Laboratory / Caltech*

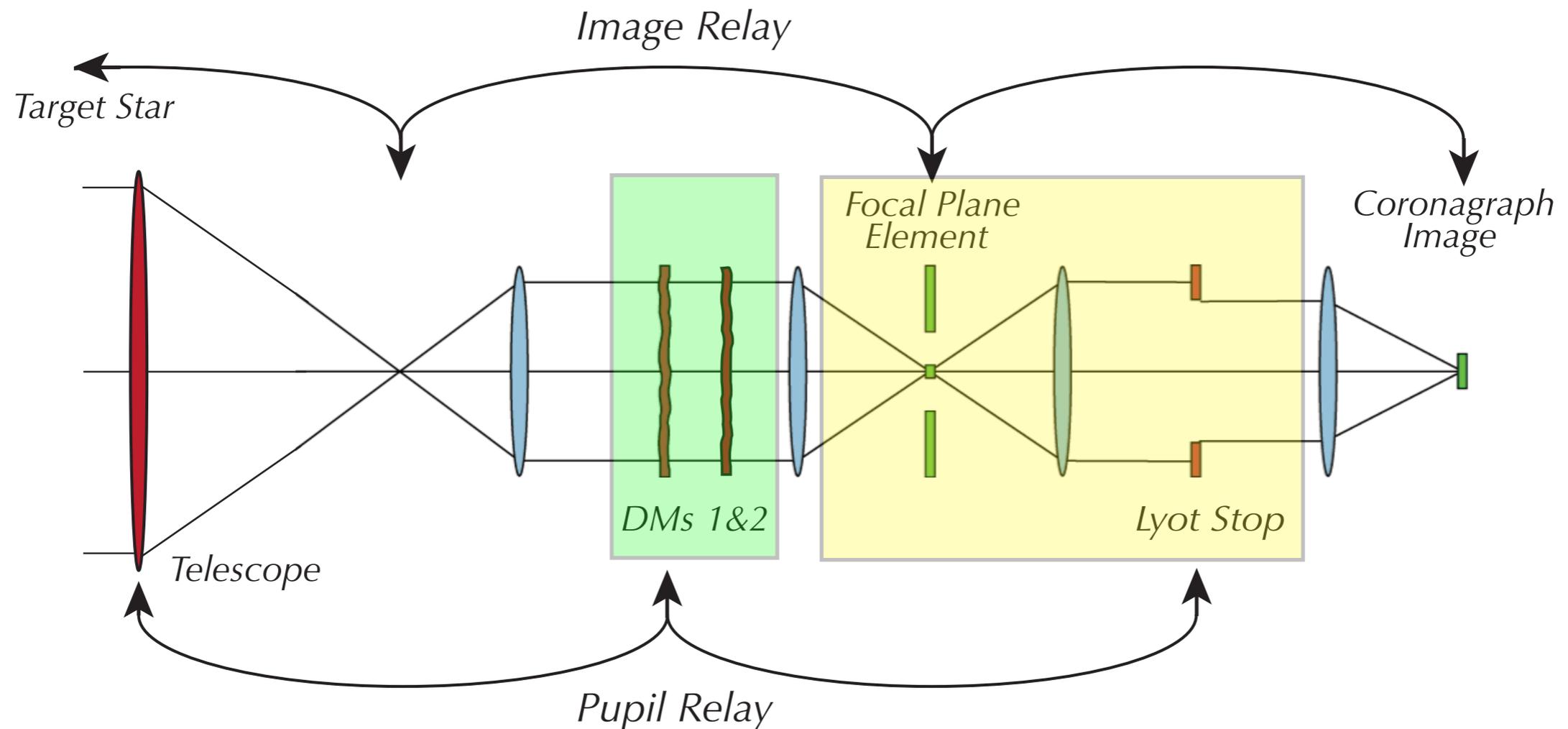
*Meeting of the AFTA Coronagraph Working Group  
JPL – Pasadena  
25 September 2013*

# Direct Imaging Coronagraph – Technical Objectives



1. **Raw contrast better than  $10^{-9}$** : Exoplanets are  $10^{-9}$  or fainter than the central star in reflected starlight. This is beyond the reach of large ground based telescopes, which are expected to achieve contrasts to  $10^{-8}$  in the coming decade.
2. **Pointing error tolerance** that preserves contrast performance with pointing jitter and stellar diameters of order 1 milliarcsecond.
3. **Spectral bandwidth**:  $\delta\lambda/\lambda$  greater than 10% – bandwidth needed to capture scarce photons and enable color photometry and spectroscopy.
4. **Inner and outer working angles**:  $3 \lambda/D$  or closer to the star to capture significant numbers of exoplanets, extending to  $20 \lambda/D$  or more to cover exoplanet systems, debris disks, and analysis of background objects.
5. **Throughput**: better than 30% – exoplanets are faint, with visual magnitudes of 23-30 in reflected starlight.
6. **Simplicity**: a minimum number of critical elements and alignments leads to a system with reduced cost and risk, greater overall efficiency, accurate optical models, and reliable performance predictions.

# Essential elements of the Lyot coronagraph

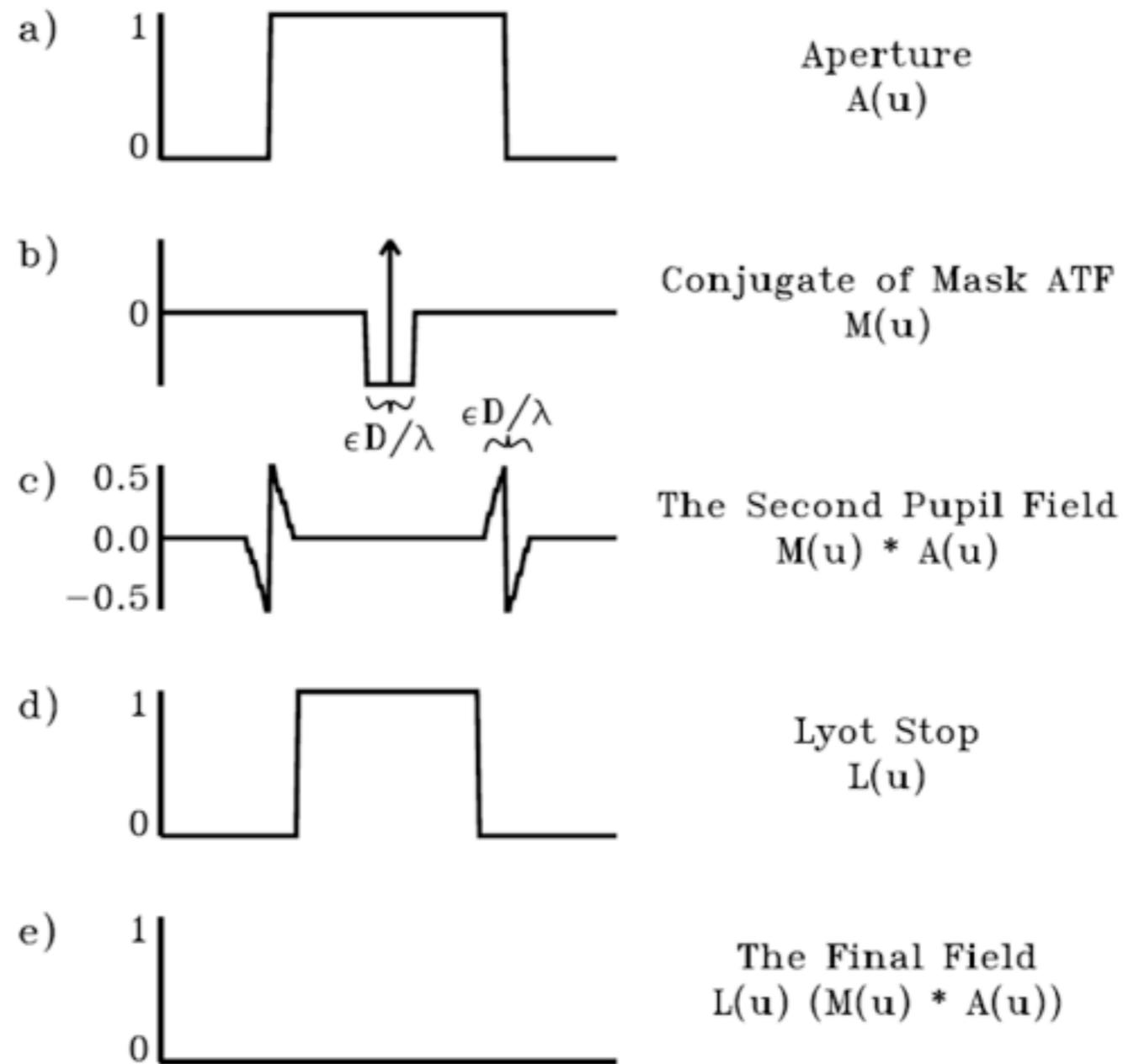


- This **actively corrected Lyot-type coronagraph** layout is compatible with number of major coronagraph types (hybrid Lyot, vector vortex, shaped pupil).
- Wavefront is controlled with a **sequential pair of deformable mirrors** in the collimated beam upstream from the coronagraph.
- Diagram unfolds the optical system and depicts powered elements as lenses for clarity.
- Coronagraph elements are highlighted in yellow, wavefront control elements in green.

# *Simplicity favors the Lyot coronagraph*

- **Minimum number of critical optical elements** (6) between the star and coronagraph that are subject to **super-tight** wavefront control, beam walk, polarization effects.
- Minimum optical elements favors **higher throughput** and **fewer critical alignments**.
- There are **no high-angle reflective elements**, minimizes polarization effects internal to the coronagraph.
- There are **no transmissive elements** upstream of the focal plane occulter, i.e., there are no apodizers or polarizers, avoids dispersion.
- The **critical apodization is captured** on a small occulting mask, which is one metal and one dielectric thin film layer on a glass substrate, stable and robust for flight.
- **No optical distortions** are introduced from sky to science focal plane, simplifies iterative wavefront sensing and control.
- **End-to-end optical propagation calculations** (Fresnel approximation) and **system optical tolerancing** are relatively straightforward.
- **Minimizes complexity!!** Parts count, engineering interfaces, mutual alignments snowball in the buildup of assembly fixtures and metrology, alignment tolerances, failure modes and risk analysis, engineering coordination and reviews, subsystem integrations and tests – and these ultimately increase the cost, mass, schedule, and overall risk of the instrument implementation.

# The Band-Limited Coronagraph



*Kuchner and Traub (2002)*

## Next step: hybrid Lyot coronagraph

$$A_{REAL} = \max(10^{-4}, A_S), \text{ where } A_S(x) = 1 - \left( \frac{\sin(\pi x/w)}{(\pi x/w)} \right)^2$$

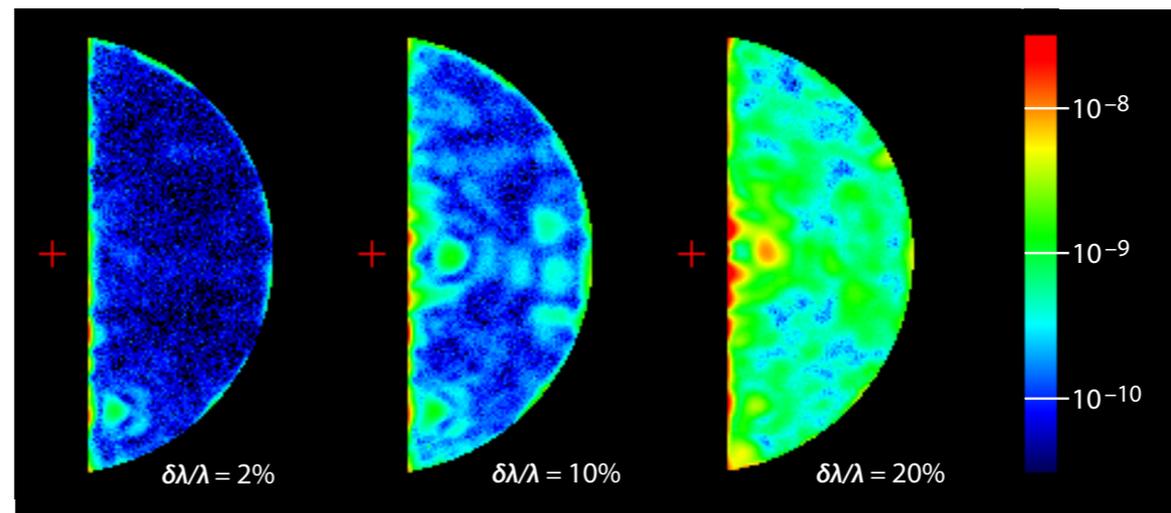
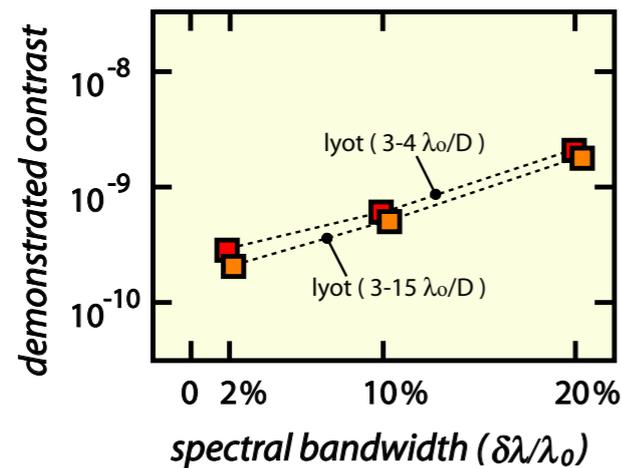
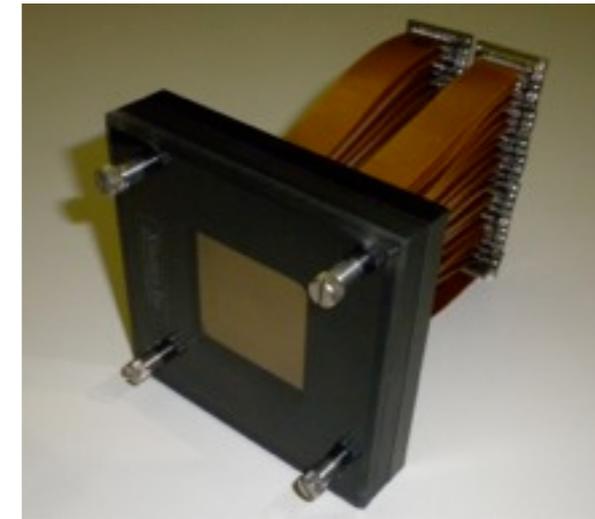
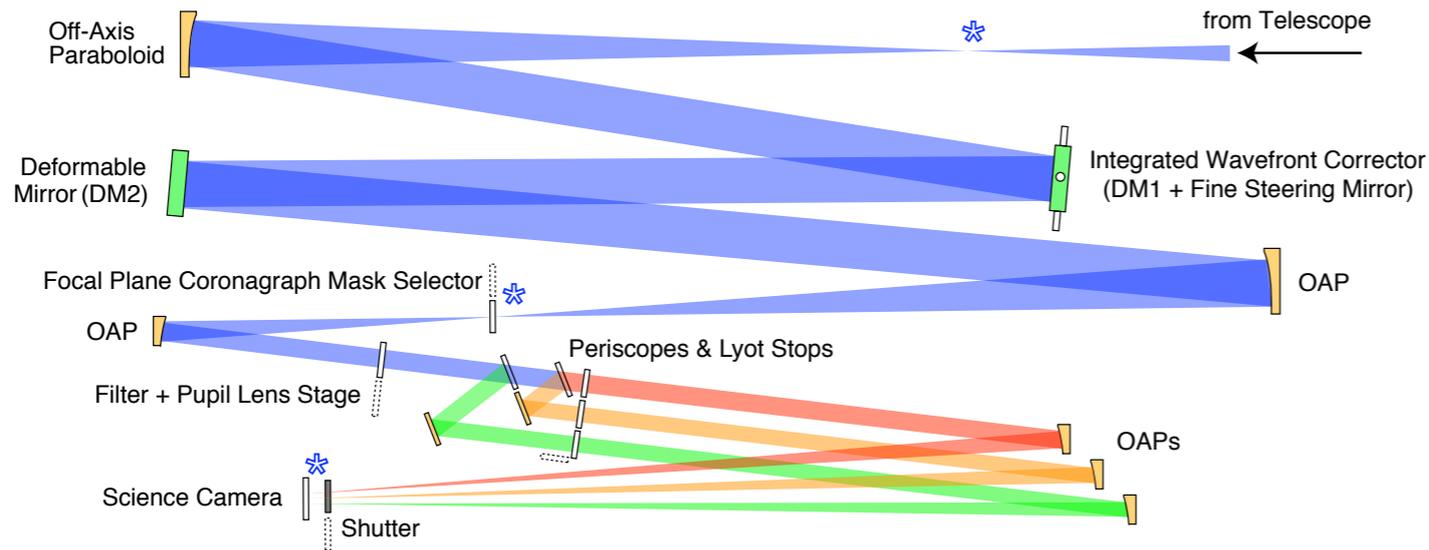
$$A_{IMAGINARY} = \alpha \left( \frac{\sin(\pi x/w_1 + \pi)}{(\pi x/w_1 + \pi)} \right) + \alpha \left( \frac{\sin(\pi x/w_1 - \pi)}{(\pi x/w_1 - \pi)} \right)$$

$$OD_{metal+dielectric}(x) = -2 \log_{10} \|A_{REAL} - jA_{IMAGINARY}\|$$

$$\delta \text{ phase}_{metal+dielectric}(x) = \text{phase}(A_{REAL} - jA_{IMAGINARY})$$

- Example: analytic band limited Lyot for an unobscured telescope aperture.
- Objective is an occulter that is **band limited in both real and imaginary parts**.
- Real part is  $\sim$ sinc-squared, imaginary part is a family of curves for  $-0.49 < \alpha < 0.49$ .
- **Monochromatic solution is exact**, provides a good starting point for broadband.
- **Broadband optimization** requires compromise between band-limited ideal and the physical effects of dispersion.
- **Optimization code** performs dark field nulling while regarding the metal and dielectric profiles as free parameters, varying simultaneously with the DM setting.

# Coronagraph configuration for an unobscured telescope

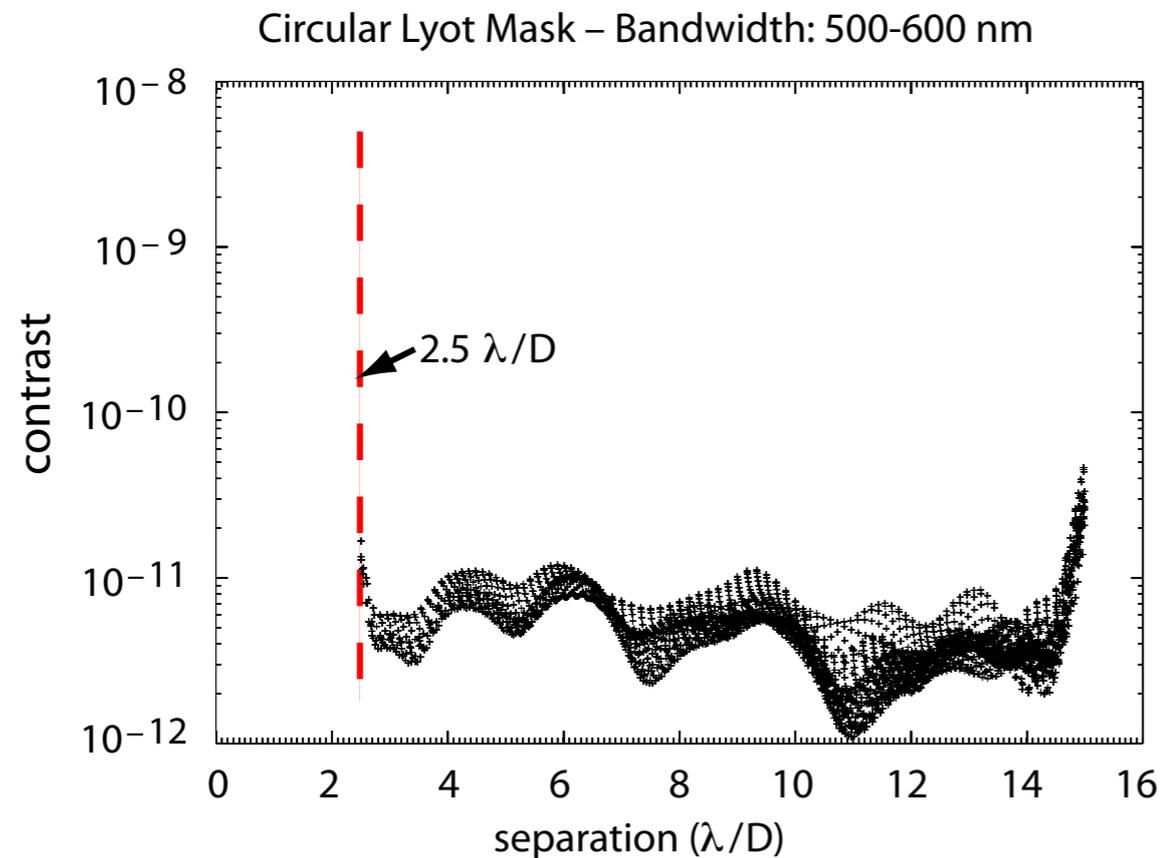


- **ACCESS optical layout** is functionally similar to the AFTA coronagraph.
- A pair of **protoflight qualified deformable mirrors** (a 48x48 actuator DM is shown) manipulate the wavefront amplitude and phase to create a high contrast dark field.
- Representative **Lyot coronagraph** raw contrast performance, as demonstrated in the NASA SAT/TDEM program, is used to forecast ACCESS mission performance with post-processing of images.

# Unobscured telescope apertures are preferred for high contrast coronagraphy

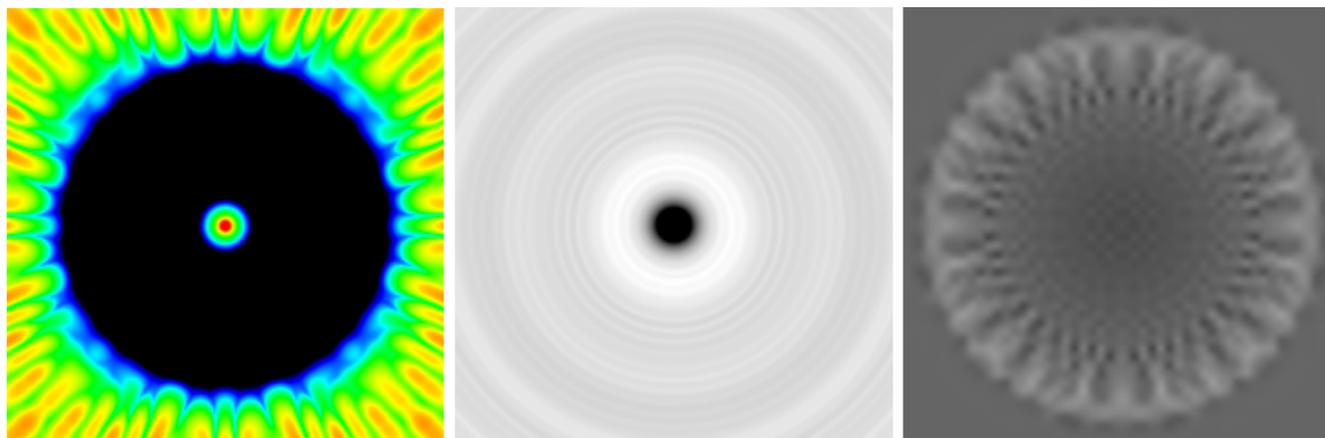
## COMPLEX APODIZATION LYOT CORONAGRAPHY

John Trauger, Brian Gordon, John Krist, Dimitri Mawet, Dwight Moody (Proc.SPIE 8442-04, 2012)



### Computed performance for a newly designed circular complex apodized Lyot mask:

- As for previous linear mask designs, the thickness profiles of the metal and dielectric layers are optimized simultaneously with the wavefront phase control of a single 48x48 DM.
- Focal plane mask is a layer of nickel plus a layer of cryolite superimposed on a fused silica substrate.
- These two layers provide the degrees of freedom to control both the real and imaginary parts of the optical wavefront.
- Inner working angle is  $2.5 \lambda_0/D$ . Note that a full  $360^\circ$  dark field has been created with a single deformable mirror.
- Contrast in the 500-600 nm ( $\delta\lambda/\lambda_0 = 18\%$ ) spectral band is  $5.3 \times 10^{-12}$  in both the inner  $2.5-3.5 \lambda_0/D$  annulus and averaged across the entire dark field extending from radii of 2.5 to  $15 \lambda_0/D$ .



Left: Raw contrast in the dark field is displayed with a logarithmic stretch from  $10^{-11}$  to  $10^{-7}$ .

Center: Intensity transmittance profile of the complex apodized focal plane mask is displayed with a linear stretch from zero to 1.

Right: Corresponding surface setting on the deformable mirror is displayed with a linear black-to-white stretch of 40 nm.

# Coronagraph design trade space

- **Global optimization of the coronagraph system:** we include as free parameters:
  - Thickness profiles on the focal plane occulting mask (metal & dielectric layers)
  - Shape and dimensions of the Lyot stop
  - Surface figure settings on each of the two DMs
- **Design trade metrics:** defined by the foregoing performance objectives:
  - Raw image contrast, better than  $1e-8$
  - Pointing error tolerance  $> 1$  milliarcsec
  - Spectral bandwidth  $> 10\%$
  - Inner and outer working angles, IWA  $< 3 \lambda/D$
  - Overall throughput  $> 30\%$
  - Engineering simplicity
- **Question for the ExEP/SDT/TAC !**
  - Given a coronagraph that meets the **MUST** requirements, what figure merit will be used in evaluating **WANTS** coronagraph performance enhancements?

# Coronagraph design optimization

- **Non-linear least squares optimization:**

- *Focal plane and pupil plane masks and DM settings as free parameters*
- *Iterative, small linear steps, method of steepest descent, parameter regularization*

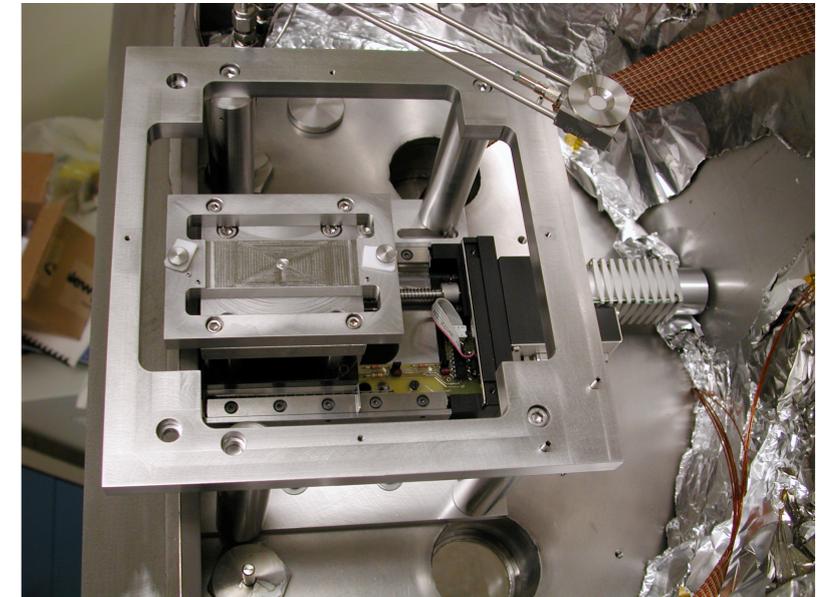
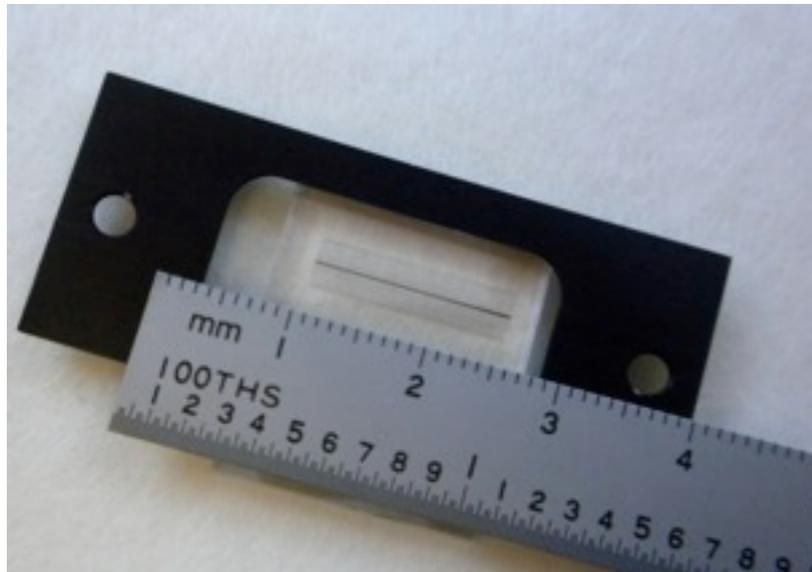
- **Design constraints:**

- *Circular symmetric metal-dielectric hybrid Lyot focal plane occulter*
- *AFTA 2.4 meter telescope (as supplied by John Krist on 8/21/13, with offset circular central obscuration, six SM support struts, zero surface figure and alignment errors)*
- *Wavefront control with a pair of 48x48 DMs in series*
- *Inner working angle =  $3 \lambda/D$  (142 milliarcsec with 2.4 meter telescope)*
- *$\delta\lambda/\lambda = 10\%$  spectral bandwidth centered at  $\lambda = 550 \text{ nm}$*
- *1 milliarcsec stellar diameter ( $\sim$ angular diameter of the sun at 10 pc)*

## *Coronagraph design optimization, continued ...*

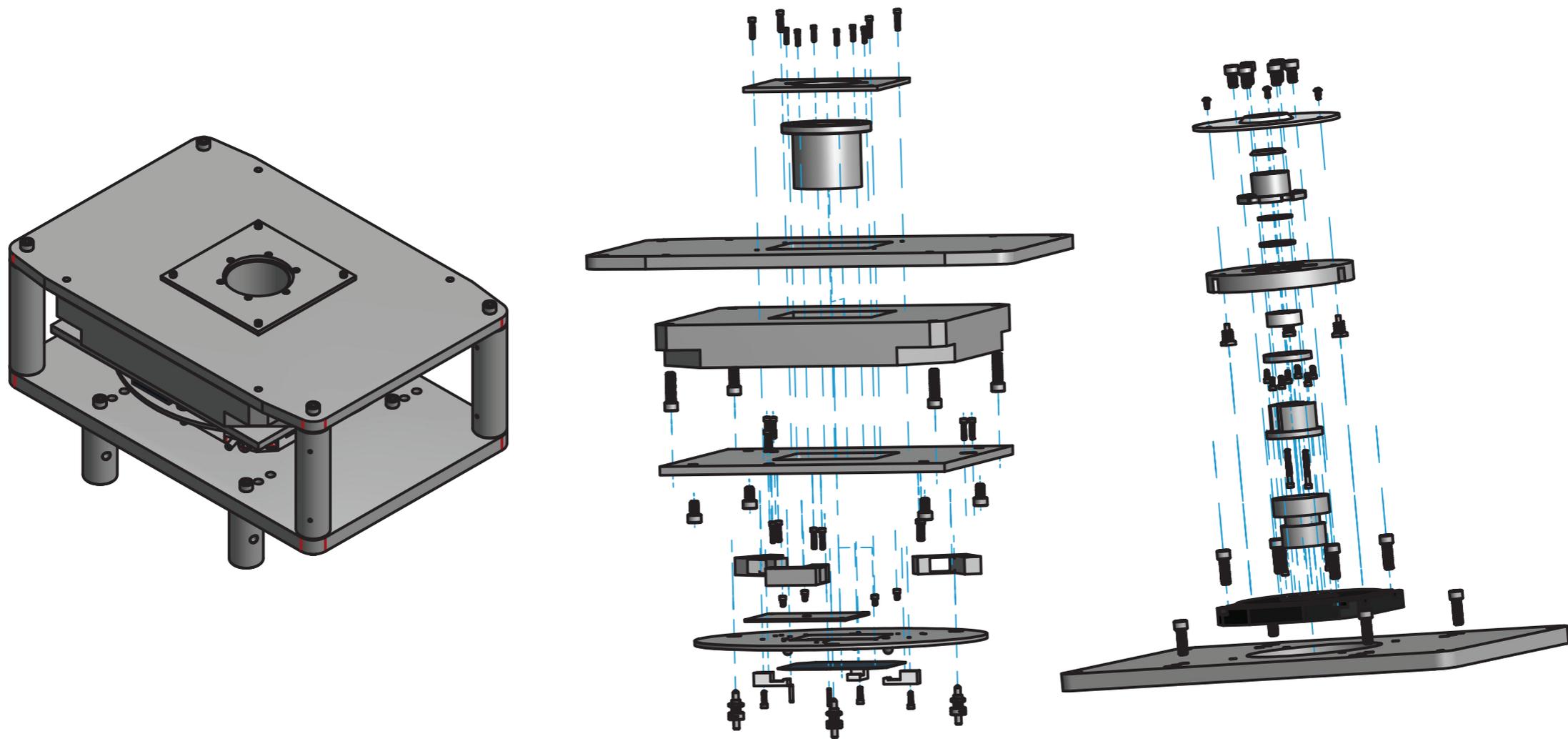
- ***Optimization goals:***
  - *High contrast, foregoing design trade metrics, minimal DM stroke.*
  - *Figure(s) of merit for coronagraph performance as defined by the AFTA TAC.*
- ***Optimization utilizes the available degrees of freedom in successive phases:***
  - *Adjust free parameters in an optimal sequence and in small linear steps*
  - *Pupil representation progresses from unobscured circular pupil, then adds central obscuration, then adds struts*
  - *DM settings progress from single DM, then two DMs with simple rules, then two DMs with numerically optimized settings.*

## *Occulter Fabrication – linear masks*



- *Profiled metal and dielectric thin films are vacuum deposited on a glass substrate.*
- *Vacuum deposition through a 15 micron slit (slit is made at JPL's MDL).*
- *Substrate is scanned in 1 micron steps behind the slit during deposition, dwell times define the thickness profiles.*
- *Mask performance has been validated in the coronagraph laboratory.*
- *Finished masks are stable and robust, a suitable technology for flight.*

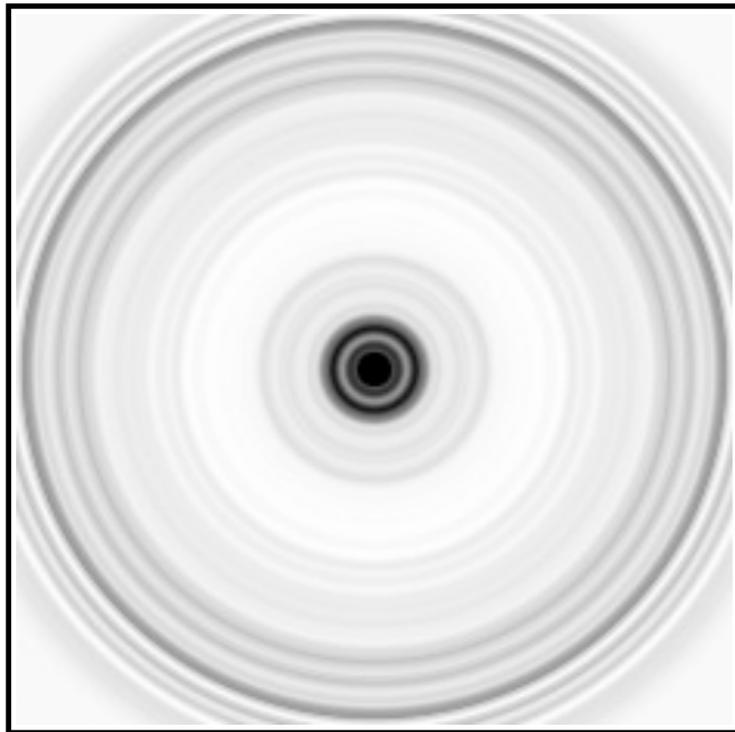
## Occulter Fabrication – circular masks



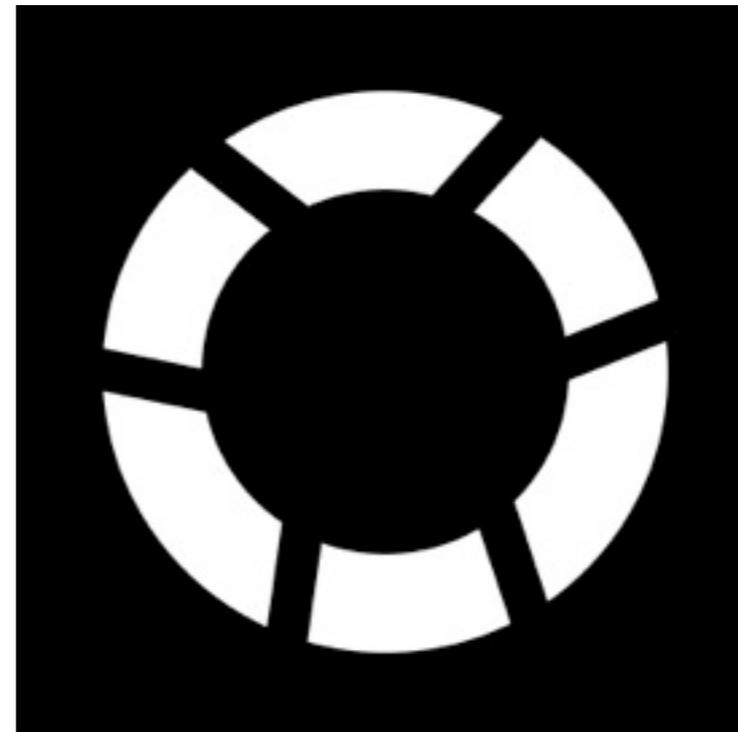
- Again, profiled **nickel** and **magnesium fluoride** thin films are vacuum deposited on a glass substrate.
- **New deposition fixture** rotates the glass substrate, uses an x/y positioner for microstencil selection, and again dwell times x deposition rate define the thickness profiles.
- Vacuum deposition through a set of circular/annular microstencils (made at JPL's MDL).
- Finished masks will be stable and robust, **a suitable technology for flight**.

## *Global optimization: focal plane and pupil plane elements*

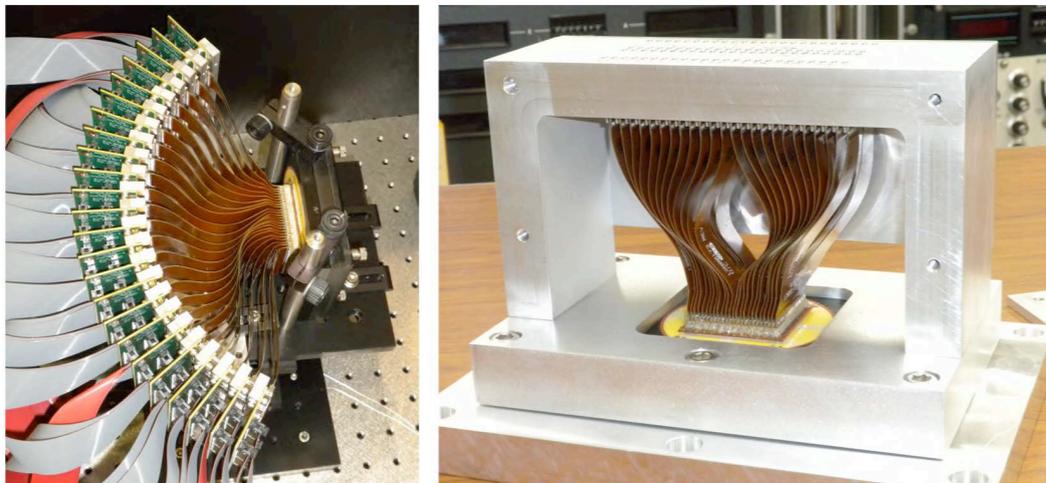
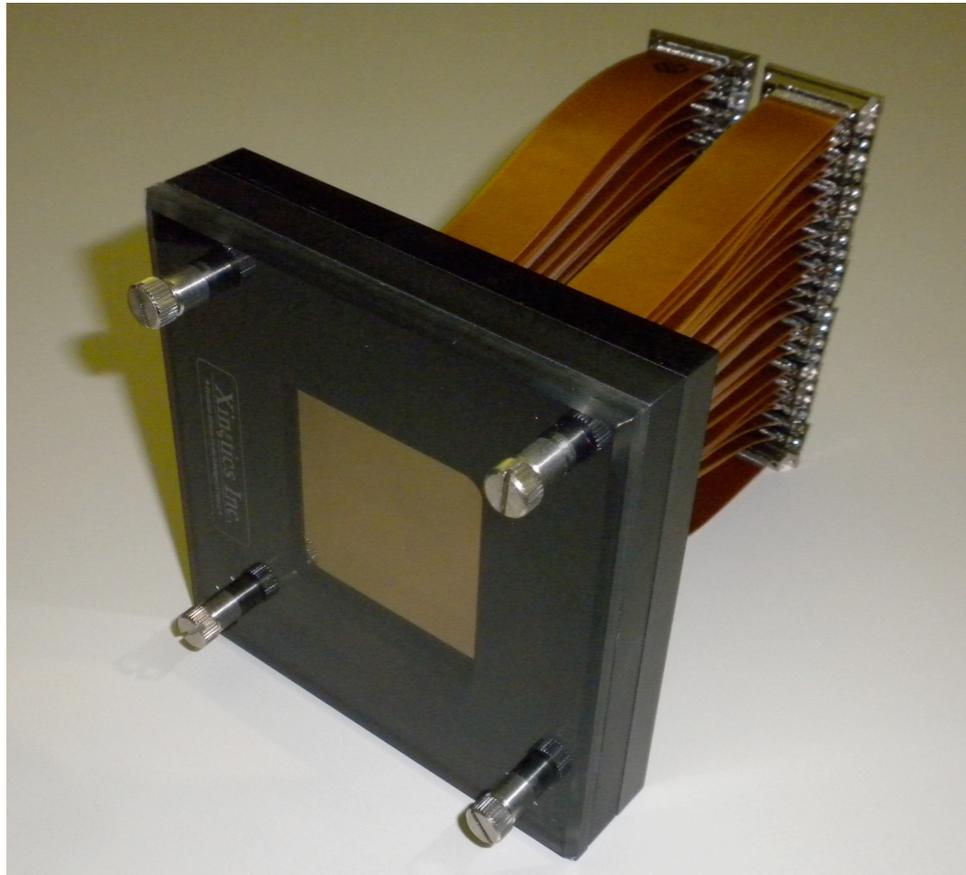
- *Metal-dielectric (nickel and MgF2) focal plane mask controls both the real and imaginary parts of the star's point spread function. The intensity transmittance is illustrated here.*
- *Pupil plane (Lyot) mask has cutouts for the central obscuration and the six support struts. Lyot throughput is 37%.*



*Focal Plane Element*



*Pupil Plane Element*

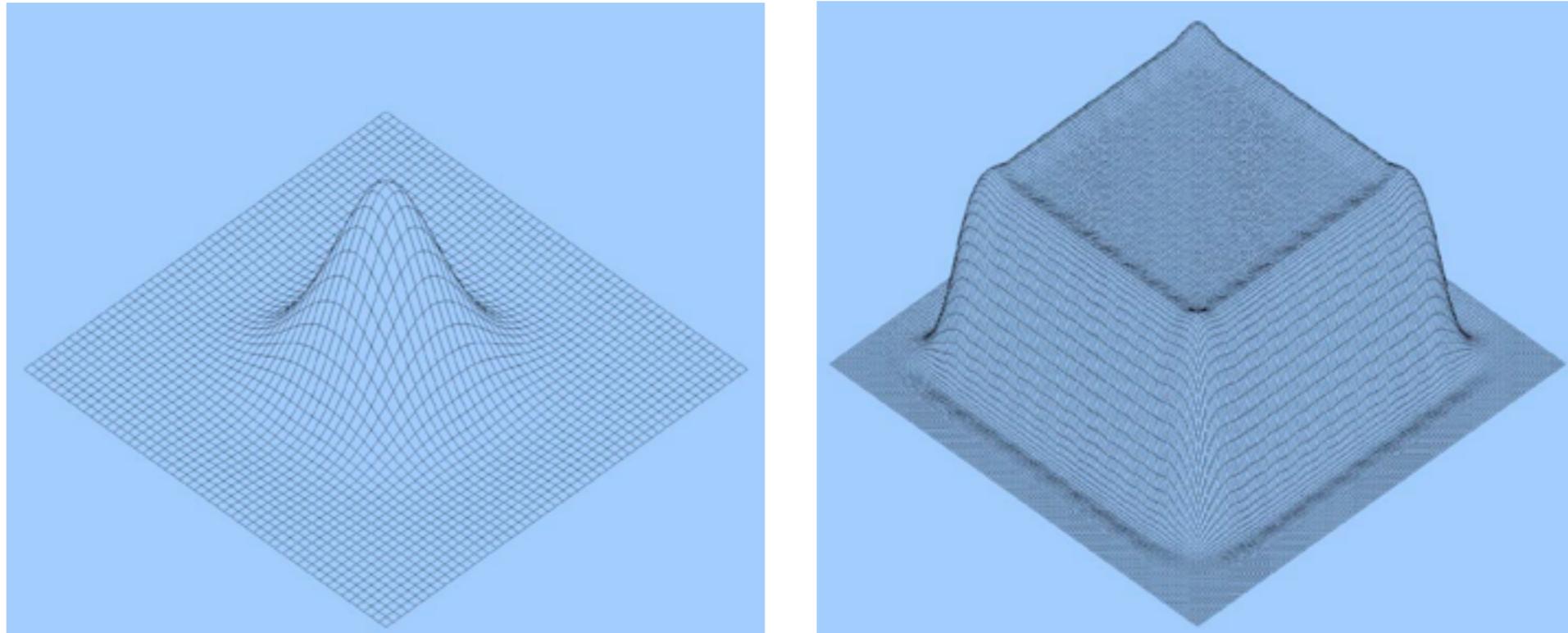


## *Measured DM characteristics are the basis for wavefront control*

- Shown is a **48x48 actuator** PMN electrostrictive deformable mirror.
- This DM is flight-ready, has completed a **protoflight qualification** 3-axis vibrate test to 10.8 grms.
- Mirror facesheet is fused silica polished flat to **5 nm rms surface** figure in the unpowered state.
- On the backside, a 50x48 pin grid header provides electrical connections to each of the 2304 actuator elements.
- Flex circuits carry the interconnects to 48 flight-quality 51-pin connectors.
- Manufactured by AOA Xinetics.

- Above, the 48x48 DM is mounted on the Zygo bench for optical tests. Flex ribbon cables fan out to the 2304-channel electronic driver system.
- Above right, the DM is clamped into its shake test fixture, with all flex connectors anchored as shown, prior to adding shear plates, ready for 3-axis random vibrate tests.

## Measured DM influence functions



- At left, the **surface influence profile** for a single actuator. Surface displacement relaxes typically to 10% of the central displacement at the nearest neighboring actuators (1 mm away).
- Actuator pitch is 1/mm, surface profile has been measured in a vacuum interferometer with 0.1 x 0.1 mm sample density.
- At right, **linear superposition** of individual influence functions predicts overall DM surface displacement: shown is the surface figure result for the simple addition of an 11x11 array of actuator influence functions.

## *Global optimization: wavefront control & Lyot stop*

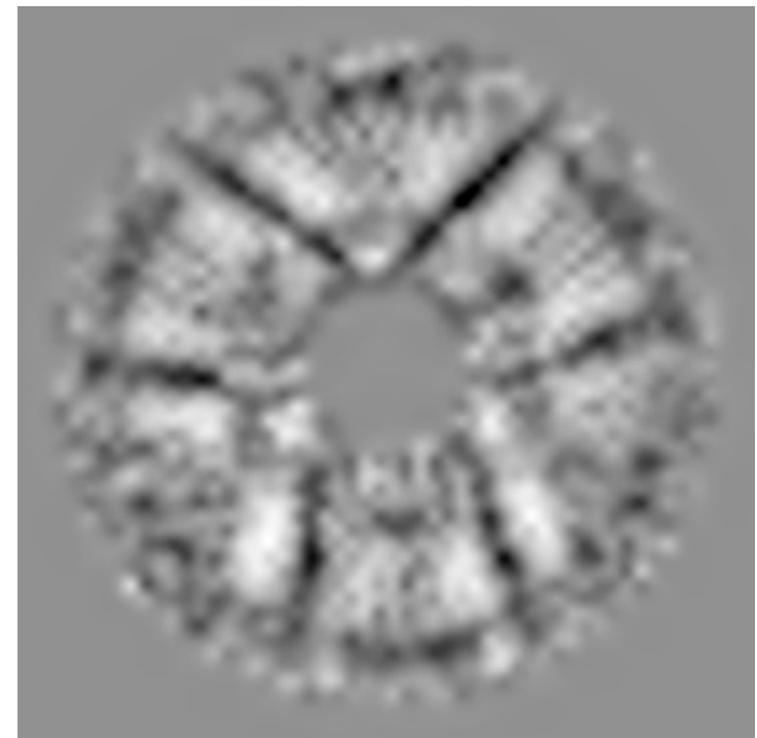
- *Shown here, the wavefront phase modulations introduced by the two 48x48 DMs with the foregoing occulting and Lyot masks. PV surface displacements are  $0.6 \mu\text{m}$ .*
- *Note that these DM settings show a similarity to the ACAD strategy (Pueyo). In fact, the pair of DMs can compensate entirely for the diffraction from the six struts using a circular symmetric Lyot stop (w/o cutouts for the struts), but this would require an undesirable large  $\sim 5 \mu\text{m}$  PV of DM surface displacement.*



*Lyot stop (blue)*

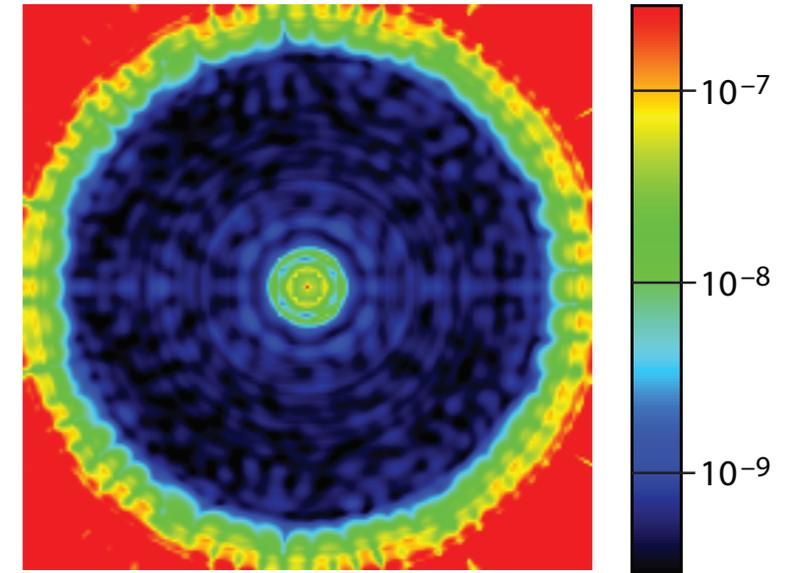
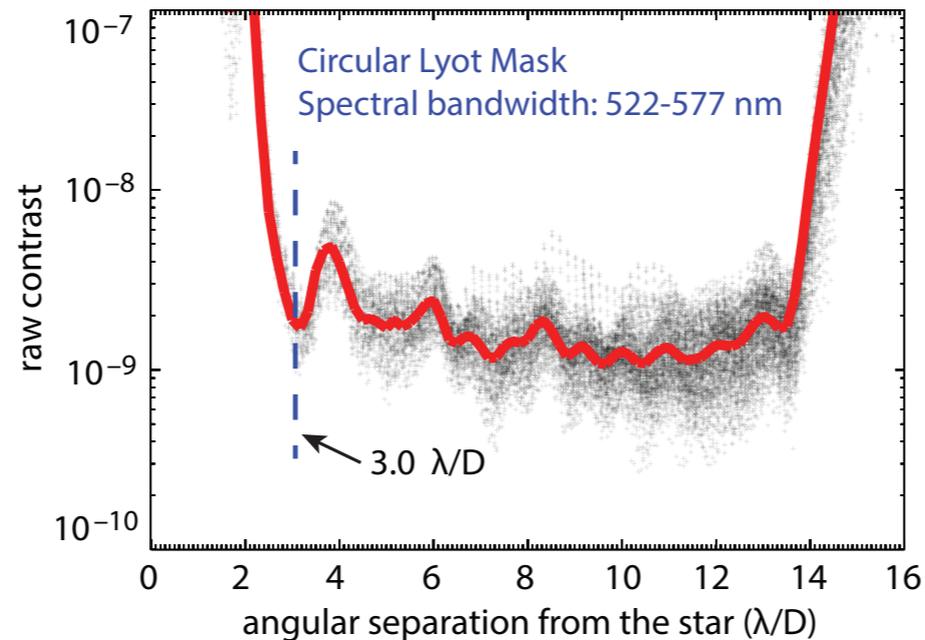


*delta phase for DM1*



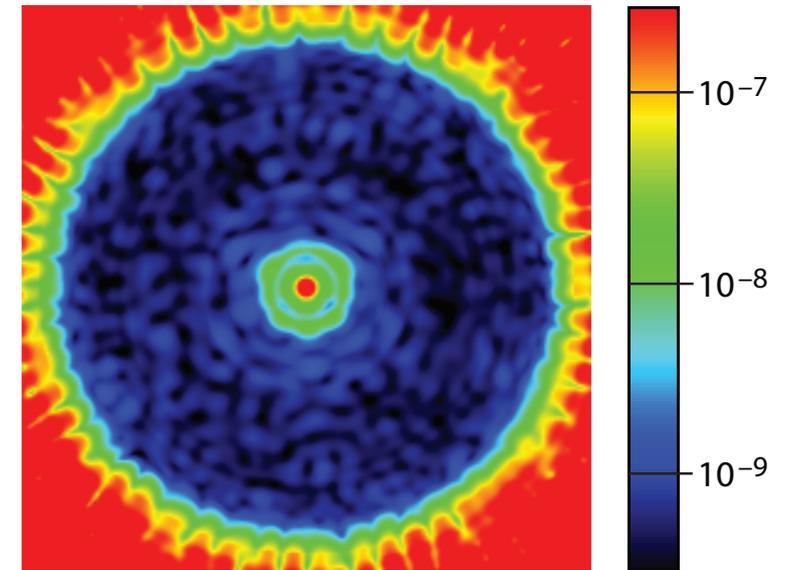
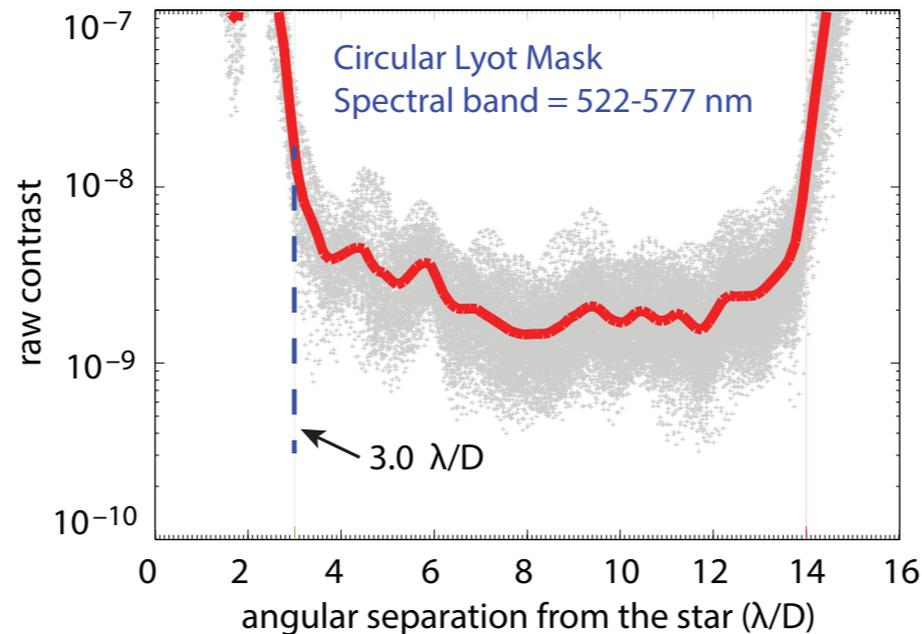
*delta phase for DM2*

## AFTA coronagraph design



- A **hybrid Lyot coronagraph** design is being optimized for a 2.4 meter telescope with central obscuration and six SM support struts
- Design utilizes a circular metal-dielectric Lyot focal plane mask plus Lyot stop and wavefront control with a pair of 48x48 actuator DMs
- Designed for **3.0  $\lambda/D$  inner working angle and 10% spectral bandwidth.**
- Performance predictions are based on optical models that have been validated to the  $2e-10$  contrast level in TDEM/HCIT demonstrations (Trauger et al.).

## AFTA coronagraph design

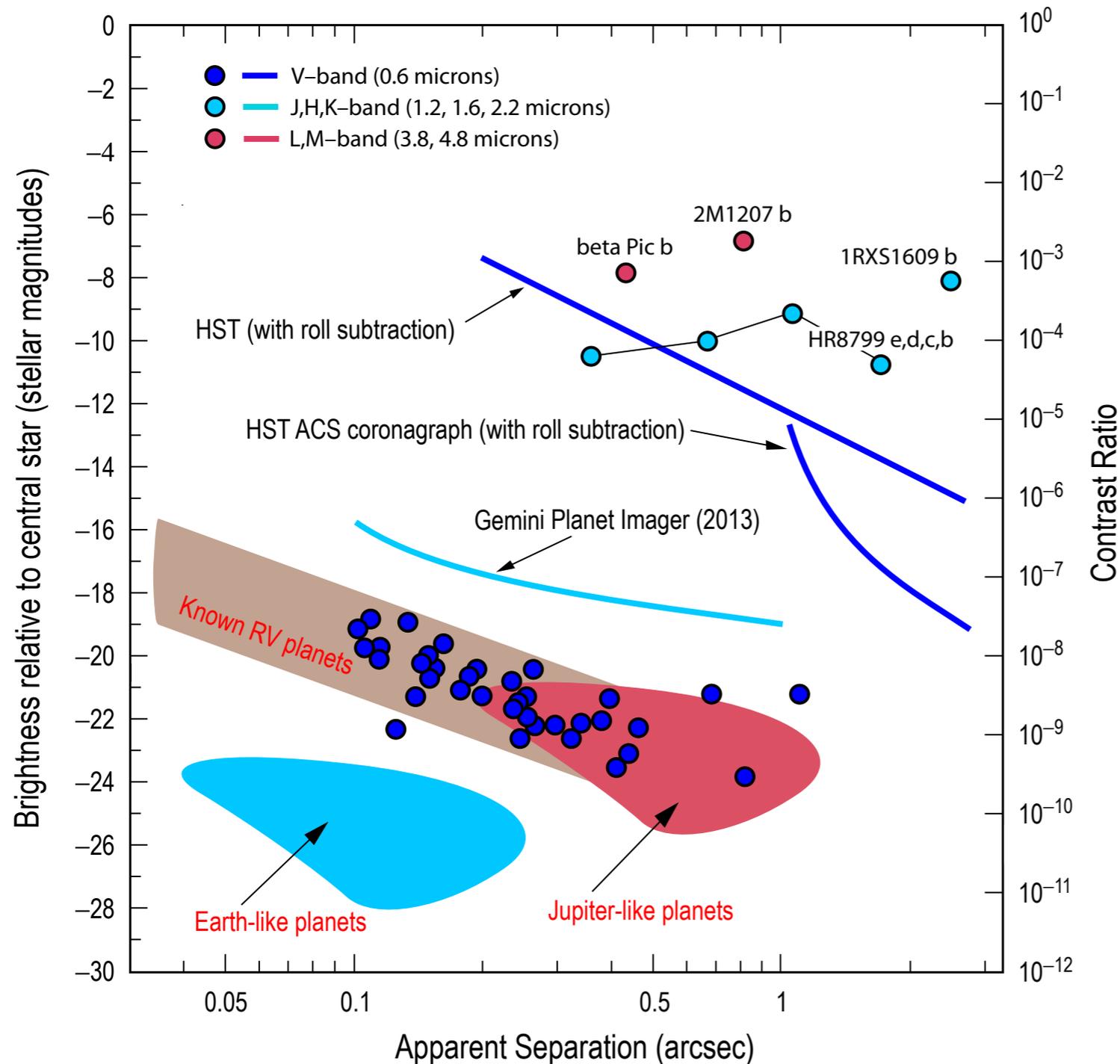


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## *Status: hybrid Lyot coronagraph for the AFTA telescope*

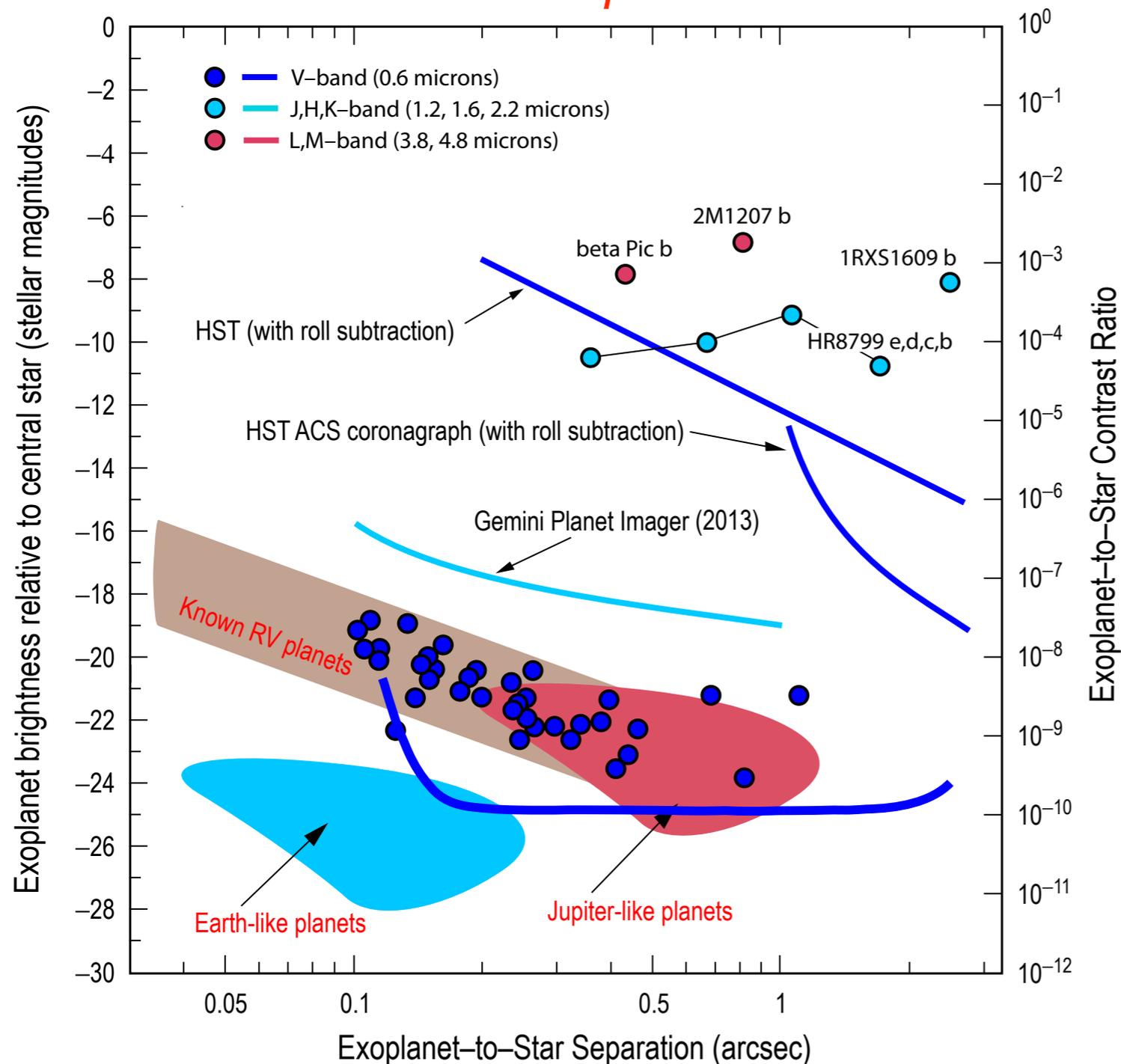
- *Hybrid Lyot design meets the AFTA MUST requirements (as currently understood).*
- *Technically, has low complexity, a robust technology for flight.*
- *Foregoing hybrid Lyot design is to be submitted to John Krist for detailed analysis and tolerancing.*
- *But further design optimizations are continuing – among the objectives:*
  - *Better contrast, especially at the IWA*
  - *Increased spectral bandwidth > 10%*
  - *Minimal DM peak-to-valley stroke*
  - *Increased throughput, optimal Lyot stop*
- *Fabrication of the mask is in progress under JPL institutional funding.*

# Discovery space for direct imaging of exoplanets



- **Blue dots:** 36 exoplanets known from RV surveys with separations of 0.1 arcsec or more, as they would be seen at elongation in reflected starlight.
- **Light blue and red dots:** self-luminous exoplanets already imaged from the ground.
- **Red blob** is where Jupiter twins at 5 AU would be found, should they exist orbiting the nearest 100 FGK stars.
- **Blue blob** is for Earth twins at 1 AU.

# Exoplanet discovery space for the 2.4-meter obscured aperture telescope



- **Blue coronagraph curve** illustrates the inner working angle (0.14 arcsec) and dark field contrast (1e-9 raw contrast, 1e-10 with post processing).
- Directly images the known RV planets with separations of 0.1 arcsec or more in reflected starlight.
- Sensitivity extends to super Earths and possibly a dozen Earth-like exoplanets.
- Facilitates color photometry and spectroscopy for atmospheric composition.
- Spectropolarimetry probes atmospheric cloud structure.
- Performance predictions derived from
  - New design studies for the obscured aperture coronagraph,
  - the ACCESS probe-class observatory concept study, and
  - TDEM coronagraph performance as demonstrated and validated in the laboratory.

*End*